

**This Investment Options Supplement is provided under the terms of an exemption that the i-Select Superannuation Scheme has from some of the provisions of the Financial Markets Conduct Act 2013 and Financial Markets Conduct Regulations 2014. You can find a copy of the exemption notice on FMA's website at [www.fma.govt.nz/compliance/exemptions](http://www.fma.govt.nz/compliance/exemptions).**

## Description of your investment options

A description of the investment options that are currently available to Members, and the estimated annual fund charges for those investment options, is set out in the table below.

See the table of investment options below

## Please note that:

- any changes to the investment options will only be updated quarterly; and
- any changes to the estimated total annual fund charges, other charges and any individual action fees in respect of each investment option will only be updated annually.
- further possible investment options are available for selection by a Member after consultation with their Authorised Financial Adviser.

## Other charges

Bank fees may apply where the Manager has to move member funds between accounts, such as from the Scheme's trust account to the Custodian.

## Brokerage Fees

Brokerage fees may apply to the following types of investments and will be deducted from your investment amount:

## Custodian Brokerage Fees

	Aegis/ICSL	FNZ
<b>Investment type</b>		
NZX Shares	0.35% Min \$24.95 plus Trade Fee \$4.50	0.2% Min \$30 NZD plus Trade fee \$5.50
NZX Fixed Interest	0.25% Min \$25	0.2% Min \$30 NZD plus Trade fee \$5.50
ASX Shares	0.4% Min \$39.95 AUD	0.2% Min \$30 AUD
ASX Fixed Interest	N/A	0.2% Min \$30 AUD
US Shares	0.6% Min \$50 USD	0.4% Min \$50 USD
UK Shares	0.6% Min £25 (0.5% stamp duty may apply to buys)	0.4% Min £50 GBP

## Adviser Fees

As a member of the i-Select Superannuation Scheme you must appoint an Authorised Financial Adviser to assist you in making decisions on the investments to be included in your Personal Plan. The service that the Authorised Financial Adviser provides will be subject to a fee that is negotiated with you at the time of joining the Scheme and is deducted from your investment amount.



## Example of how fees apply to an investor

John Smith invests **\$10,000** in a number of investment options. He is charged brokerage when his funds are invested of **\$20.00** (0.2% of \$10,000).

This brings the starting value of his investment to **\$9,980.00**.

He is also charged the management fee, which works out to **\$39.92** (0.4% of \$9,980.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

He is also charged an ongoing Adviser fee, which works out to \$99.80 (1% of \$9,980.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

Over the next year John pays other charges of **\$15** being a bank charge to move funds.

### Estimated total fees for the first year:

Brokerage:	<b>\$20.00</b>
Management fee:	<b>\$39.92</b>
Adviser fee:	<b>\$99.80</b>
Other charges:	<b>\$15.00</b>

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Total:	<b>\$174.72</b>
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If John's investment options include any funds, those funds may be subject additional fees (including performance fees) and expenses.

This example may not be representative of the actual fees you may be charged and reflects a mix of investment options at the maximum management fee rate.

## Understanding the risk indicator

A risk indicator in relation to an investor's investment portfolio will be provided by an investor's authorised financial adviser prior to any investments being made on behalf of the investor.

The risk indicator is rated from 1 (low) to 7 (high). The rating reflects how much the value of the fund's assets goes up and down (volatility). A higher risk generally means there is the potential for higher returns over time, but with the risk of higher losses, and there are likely to be more ups and downs along the way.

To help you clarify your own attitude to risk, you must seek financial advice from an authorised financial adviser. In addition, you may work out your risk profile at <https://www.sorted.org.nz/tools/investor-kickstarter>.

Note that even the lowest category does not mean a risk-free investment, and there are other risks that are not captured by this rating.

The risk indicator is not a guarantee of a Personal Plan's future performance. The risk indicator is based on the returns data for a five year time period. While risk indicators are usually relatively stable, they do shift from time to time.

### Example risk indicator



See the product disclosure statement (PDS) for more information about the risks associated with investing in an investment portfolio.



## Exit Fees

An Exit Fee applies if funds in excess of 30% of the original contribution amounts that are subject to the ROPS rules are taken as lump sum benefits within a two year period (the amounts caught by the Exit Charge are called "Relevant Contributions" here). The Exit Fee is 3% if Relevant Contributions are taken as lump sum benefits within 12 months, and 1.5% if taken as lump sum benefits between 12 and 24 months.

The two year period for Members that have made Relevant Contributions before 1 July 2017 runs from 1 July 2017 until 30 June 2019. The two year period for those that make Relevant Contributions after 1 July 2017 is the two year period following the receipt of the Relevant Contributions.

The two year period for Australian resident Members that have made Relevant Contributions before 25 June 2017 runs from 25 June 2017 until 24 June 2019. The two year period for those that make Relevant Contributions after 25 June 2017 is the two year period following the receipt of the Relevant Contributions.

Part of any Exit Fee will be paid to your Financial Adviser unless they elect to waive it. Where your Financial Adviser elects to waive the payment, the Exit Fee will be reduced to 2.5% (within 12 months) and 1.25% (12 to 24 months) respectively.

Exit fees do not apply to contributions that are not subject to the ROPS rules, the growth on Relevant Contributions, or Income for Life Payments on Relevant Contributions.



**Investment Options**  
**September 2018**







Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Aberdeen Asian Income Fund Limited	Aberdeen Asian Income Fund Limited	0.00%	0.00%	0.40%	<a href="http://www.asian-income.co.uk/itasianincomefund/">http://www.asian-income.co.uk/itasianincomefund/</a>	To provide investors with a total return primarily through investing in Asian Pacific securities, including those with an above-average yield. Within its overall investment objective, the Company aims to grow its dividends over time.
Aberdeen Asian Income Fund Ltd - GBP (AAIF)	Aberdeen Asian Income Fund Limited	0.00%	0.00%	0.40%	<a href="http://www.asian-income.co.uk/itasianincomefund/">http://www.asian-income.co.uk/itasianincomefund/</a>	To provide investors with a total return primarily through investing in Asian Pacific securities, including those with an above-average yield. Within its overall investment objective, the Company aims to grow its dividends over time.
Aberdeen Asian Smaller Companies Investment Trust PLC	Aberdeen Asian Smaller Companies Investment Trust PLC	0.00%	0.00%	0.40%	<a href="http://www.asian-smaller.co.uk/itasiansmaller/">http://www.asian-smaller.co.uk/itasiansmaller/</a>	A total return investment trust with a concentrated portfolio, comprising smaller listed Asian companies, excluding Japan.
Aberdeen New Dawn Investment Trust PLC - GBP (ABD)	Aberdeen New Dawn Investment Trust PIC	0.00%	0.00%	0.40%	<a href="http://www.newdawn-trust.co.uk/itnewdawn/">http://www.newdawn-trust.co.uk/itnewdawn/</a>	To provide shareholders with a high level of capital growth through equity investment in the Asia Pacific countries excluding Japan. In addition, it is the Investment policy of the Company to invest in no more than 15 per cent of its gross assets in other listed investment companies (including listed investment trusts).
Aberdeen Std Emerging Opports Fd	Aberdeen Std Emerging Opports Fd	0.00%	0.00%	1.90%	<a href="http://www.aberdeenasset.com.au/en/australia/fund-centre/equity-funds/aberdien-emerging-opportunities-fund">http://www.aberdeenasset.com.au/en/australia/fund-centre/equity-funds/aberdien-emerging-opportunities-fund</a>	To provide investors with high capital growth over the medium to long term (3-5 years) by seeking exposure to emerging stock markets worldwide or companies with significant activities in emerging markets.
Aberforth Smaller Companies Trust Plc - GBP (ASL)	Aberforth Smaller Companies Trust Plc	0.00%	0.00%	0.40%	<a href="http://www.aberforth.co.uk/">http://www.aberforth.co.uk/</a>	The Trust's objective is to achieve a net asset value total return (with dividends reinvested) greater than on the Numis Smaller Companies Index (Excluding Investment Companies) over the long term by investing in a diversified portfolio of small UK quoted companies.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Activision Inc	Activision Inc	0.00%	0.00%	0.40%	<a href="https://www.activision.com/">https://www.activision.com/</a>	Activision Publishing, Inc. is an American video game publisher. It was founded on October 1, 1979 and was the world's first independent developer and distributor of video games for gaming consoles.
Affluence Investment Fund	Affluence Investment Fund	0.00%	A performance fee is charged based on the total returns of the Fund.	0.40%	<a href="https://affluencefunds.com.au/">https://affluencefunds.com.au/</a>	<p>The Affluence Investment Fund aims to provide you with the following:</p> <ul style="list-style-type: none"> <li>• A minimum distribution yield of 5% per annum, paid monthly.</li> <li>• A total annualised return (distributions plus increase in unit price) of at least inflation plus 5% over rolling 3 year periods.</li> <li>• Access to a diversified portfolio of underlying investments.</li> <li>• Volatility of returns which is less than half of the ASX200 Index, measured over rolling 3 year periods.</li> </ul>
AGL Energy Limited Fully Paid Shares – AUD (AGL)	AGL Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.agl.com.au/residential">https://www.agl.com.au/residential</a>	<p>AGL is one of Australia's leading integrated energy companies and the largest ASX listed owner, operator and developer of renewable energy generation in the country. We are taking action to responsibly reduce greenhouse gas emissions while providing customers with secure and affordable energy.</p> <p>Our diverse power generation portfolio includes base, peaking and intermediate generation plants, spread across traditional thermal generation as well as renewable sources including hydro, wind, landfill gas, solar and biomass. AGL also invests in and operates natural gas exploration, development and production tenements, and operates natural gas storage facilities.</p>
Albermarle Corporation – USD(ALB)	Albermarle Corporation	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/ALB:US">https://www.bloomberg.com/quote/ALB:US</a>	Albermarle Corporation produces specialty chemicals. The Company offers plastics, polymers, and elastomers, as well as cleaning products, agricultural compounds, pharmaceuticals, photographic chemicals, drilling compounds, and biocides. Albermarle focuses on lithium, bromine, refining catalysts, and applied surface treatment. Albermarle markets its products globally.
Alcatel SA	Alcatel SA	0.00%	0.00%	0.40%	<a href="https://www.nokia.com/en_int">https://www.nokia.com/en_int</a>	Nokia purchased Alcatel. Nokia is a global leader in innovations such as mobile networks, digital health and phones



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Alibaba Group Holdings – USD (BABA)	Alibaba Group Holdings	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/BABA:US">https://www.bloomberg.com/quote/BABA:US</a>	Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries. Alibaba Group Holding offers its products and services worldwide.
Allan Gray Australia Equity A	Allan Gray Australia Equity	0.00%	0.00%	0.40%	<a href="https://www.allangray.com.au">https://www.allangray.com.au</a>	Allan Gray Australia Equity Fund is a unit trust incorporated in Australia. The Fund's objective is to seek long-term returns that are higher than the S&P/ASX 300 Accumulation Index. The Fund invests in companies whose share price is much lower than what its underlying value suggests it should be, based on Orbis' determination of each company's long-term business fundamentals.
Allan Gray Australia Equity Fund – Class A	Allan Gray Australia Equity	0.00%	0.00%	0.40%	<a href="https://www.allangray.com.au">https://www.allangray.com.au</a>	Allan Gray Australia Equity Fund is a unit trust incorporated in Australia. The Fund's objective is to seek long-term returns that are higher than the S&P/ASX 300 Accumulation Index. The Fund invests in companies whose share price is much lower than what its underlying value suggests it should be, based on Orbis' determination of each company's long-term business fundamentals.
Alliance Trust PLC – GBP (ATST)	Alliance Trust PLC	0.00%	0.00%	0.40%	<a href="http://www.alliancetrust.co.uk">http://www.alliancetrust.co.uk</a>	Alliance Trust is an investment company with investment trust status. Our objective is to generate a real return for our shareholders over the medium to long term by a combination of capital growth and a rising dividend. We have no fixed asset allocation benchmark although we mainly invest in global equities but can use a range of asset classes throughout the world to achieve our objective. We aim to be a core holding for investors seeking to increase the value of their investments over the long term.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Alphabet Inc Class A - USD (GOOGL)	Alphabet Inc	0.00%	0.00%	0.40%	<a href="https://abc.xyz/">https://abc.xyz/</a>	Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. Google segment is engaged in advertising, sales of digital content, applications and cloud services, as well as sale of Google branded hardware. The Other Bets segment consists of various operating segments and includes businesses, such as Access/Google Fiber, Calico, Nest, Verily, GV, Google Capital, X and other initiatives. Other Bets segment is engaged in the sale of Nest hardware products, Internet and television services through Google Fiber, and licensing and research and development (R&D) services through Verily.
Alumina Limited - AUD (AWC)	Alumina Limited	0.00%	0.00%	0.40%	<a href="https://www.aluminalimited.com/">https://www.aluminalimited.com/</a>	Alumina Limited represents a unique opportunity for a pure investment in the world's largest alumina and bauxite producer, AWAC.
Amazon.Com Inc	Amazon.com Inc	0.00%	0.00%	0.40%	<a href="https://www.amazon.com">https://www.amazon.com</a>	Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). The Company's North America segment focuses on retail sales of consumer products from sellers and subscriptions, through its North America-focused Websites, such as www.amazon.com, www.amazon.ca and www.amazon.com.mx. The Company's International segment includes export sales from its internationally focused Websites, including export sales from its sites to customers in the United States, Mexico and Canada. The Company's AWS segment focuses on the sales of compute, storage, database and other AWS service offerings for start-ups, enterprises, government agencies and academic institutions. The Company offers Amazon Prime, which is an annual membership programme.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Amazon.com Inc – USD (AMZN)	Amazon.com Inc	0.00%	0.00%	0.40%	<a href="https://www.amazon.com">https://www.amazon.com</a>	Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). The Company's North America segment focuses on retail sales of consumer products from sellers and subscriptions, through its North America-focused Websites, such as <a href="http://www.amazon.com">www.amazon.com</a> , <a href="http://www.amazon.ca">www.amazon.ca</a> and <a href="http://www.amazon.com.mx">www.amazon.com.mx</a> . The Company's International segment includes export sales from its internationally focused Websites, including export sales from its sites to customers in the United States, Mexico and Canada. The Company's AWS segment focuses on the sales of compute, storage, database and other AWS service offerings for start-ups, enterprises, government agencies and academic institutions. The Company offers Amazon Prime, which is an annual membership programme.
Amcor Limited – AUD (AMC)	Amcor Limited	0.00%	0.00%	0.40%	<a href="https://www.amcor.com">https://www.amcor.com</a>	Amcor is a global leader in responsible global packaging solutions supplying a broad range of rigid & flexible packaging products into the food, beverage, healthcare, home and personal care and tobacco packaging industries
AMP Capital Australasian Property Index Fund (AIF P)(PIE) – PIA	AMP Investment Management (N.Z.) Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.82% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.ampcapital.com/nz/en/investments/funds/real-estate/australasian-property-index-fund">https://www.ampcapital.com/nz/en/investments/funds/real-estate/australasian-property-index-fund</a>	The Australasian Property Index Fund aims to provide you with an opportunity to participate in a passively managed low tracking error portfolio tracking the S&P/NZX All Real Estate (Industry Group) Gross with Imputation Index and the S&P/ASX 200 A-REIT Accumulation Index fully hedged to New Zealand dollars.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
AMP Capital Core Hedged Global Shares Fund (AIF HI) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.22%, Sell Spread 0.22%	None noted per PDS	1.78% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Global-Shares-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Global-Shares-Funds.pdf?ext=.pdf</a>	The fund invests in shares listed on share markets predominately in developed economies, and is fully hedged to the New Zealand dollar. The portfolio uses multiple managers and is diversified by region, manager and investment approaches.
AMP Capital Global Listed Infrastructure Fund (AIF GI) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.25%, Sell Spread 0.25%	None noted per PDS	1.71% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf</a>	The fund invests in infrastructure securities in both developed and emerging markets across a range of sectors. This provides access to a range of infrastructure sectors across geographic regions, with active portfolio management that seeks to target excess returns and predictable, stable cash flows
AMP Capital Global Property Securities Fund – AIF GP	AMP Investment Management (N.Z.) Limited	Buy Spread 0.25%, Sell Spread 0.25%	None noted per PDS	1.74% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf</a>	The fund invests in a global portfolio of property securities listed on stock exchanges around the world. It aims to generate medium to high returns over the long term by investing in a broad range of property regions, sectors and securities through a single fund.
AMP Capital Global Property Securities Fund (AIF GP) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.25%, Sell Spread 0.25%	None noted per PDS	1.74% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Property-Infrastructure-and-Commodities-Funds.pdf?ext=.pdf</a>	The fund invests in a global portfolio of property securities listed on stock exchanges around the world. It aims to generate medium to high returns over the long term by investing in a broad range of property regions, sectors and securities through a single fund.
AMP Capital Global Short Duration Fund – NWQ3	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.
AMP Capital Global Short Duration Fund ( NWQ3) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
AMP Capital Hedged Global Fixed Interest Fund (AIF Q) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.12%, Sell Spread 0.12%	None noted per PDS	1.24% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is a diversified portfolio of international fixed interest securities using a combination of specialist investment managers within a sustainable and responsible framework. Recognising that environmental, social and ethical considerations, labour standards and corporate governance factors can positively impact long term business success, the portfolio targets competitive investment performance with clear social and environmental outcomes. The multiple manager approach provides exposure to a range of sectors and securities as well as diversification across investment approaches.
AMP Capital Hedged Global Fixed Interest Fund (AIF Q) (PIE) – SWM	AMP Investment Management (N.Z.) Limited	Buy Spread 0.12%, Sell Spread 0.12%	None noted per PDS	1.24% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is a diversified portfolio of international fixed interest securities using a combination of specialist investment managers within a sustainable and responsible framework. Recognising that environmental, social and ethical considerations, labour standards and corporate governance factors can positively impact long term business success, the portfolio targets competitive investment performance with clear social and environmental outcomes. The multiple manager approach provides exposure to a range of sectors and securities as well as diversification across investment approaches.
AMP Capital Hgd Global Fixed Interest Fund- AIF Q	AMP Investment Management (N.Z.) Limited	Buy Spread 0.12%, Sell Spread 0.12%	None noted per PDS	1.24% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is a diversified portfolio of international fixed interest securities using a combination of specialist investment managers within a sustainable and responsible framework. Recognising that environmental, social and ethical considerations, labour standards and corporate governance factors can positively impact long term business success, the portfolio targets competitive investment performance with clear social and environmental outcomes. The multiple manager approach provides exposure to a range of sectors and securities as well as diversification across investment approaches.
AMP Capital Income Generator Fund (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.25%, Sell Spread 0.25%	None noted per PDS	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Goals-Based-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Goals-Based-Funds.pdf?ext=.pdf</a>	The fund aims to provide a gross fixed monthly income in excess of bank deposit rates, along with a positive return on capital over the long term. To achieve this, the fund invests in a diversified mix of growth and defensive assets, with a focus on reliable income generation.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
AMP Capital NZ Cash Fund (AIF D) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.00%, Sell Spread 0.00%	None noted per PDS	0.67% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities.
AMP Capital NZ Cash Fund (AIF D) (PIE) – SWM	AMP Investment Management (N.Z.) Limited	Buy Spread 0.00%, Sell Spread 0.00%	None noted per PDS	0.67% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities.
AMP Capital NZ Cash Fund AIF D	AMP Investment Management (N.Z.) Limited	Buy Spread 0.00%, Sell Spread 0.00%	None noted per PDS	0.67% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities.
AMP Capital NZ Fixed Interest Fund – AIF F W/Sale	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.97% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on government bonds and corporate securities in the New Zealand market.
AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.97% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on government bonds and corporate securities in the New Zealand market.
AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W) – NR	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.97% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on government bonds and corporate securities in the New Zealand market.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W) – SWM	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.97% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on government bonds and corporate securities in the New Zealand market
AMP Capital NZ Shares Index Fund (AIF PE)(PIE) – PLA	AMP Investment Management (N.Z.) Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.73% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.ampcapital.com/nz/en/investments/funds/new-zealand-equities/nz-shares-index-fund">https://www.ampcapital.com/nz/en/investments/funds/new-zealand-equities/nz-shares-index-fund</a>	The Fund aims to provide a return that closely matches the return of the S&P/NZX 50 Index (on a gross basis and including imputation credits).
AMP Capital NZ Short Duration Fund (AIF Y) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.
AMP Capital NZ Short Duration Fund (AIF Y) (PIE) – NR	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.
AMP Capital NZ Short Duration Fund (AIF Y) (PIE) – SWM	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.
AMP Capital NZ Short Duration Fund AIF Y	AMP Investment Management (N.Z.) Limited	Buy Spread 0.05%, Sell Spread 0.05%	None noted per PDS	0.99% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf">http://www.ampcapital.co.nz/AMPCapitalNZ/media/contents/Funds/InvestmentStatement/PDS-Cash-and-Fixed-Interest-Funds.pdf?ext=.pdf</a>	The fund is an actively managed portfolio of fixed interest securities, focusing predominantly on corporate securities in the New Zealand and Australian market with a shorter average maturity than a standard fixed interest fund.
Antipodes Global Fund – Class P	Antipodes Global Investment Partners	Buy Spread 0.30%, Sell Spread 0.30%	Management fee of 1.20% p.a. plus a performance fee of 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund.	1.60% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf">http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf</a>	The aim of the Fund is to achieve absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Antipodes Global Fund (PIE)	Antipodes Global Investment Partners	Buy Spread 0.30%, Sell Spread 0.30%	Management fee of 1.20% p.a. plus a performance fee of 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund.	1.60% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf">http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf</a>	The aim of the Fund is to achieve absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years).
Antipodes Global Fund (W)	Antipodes Global Investment Partners	Buy Spread 0.30%, Sell Spread 0.30%	Management fee of 1.20% p.a. plus a performance fee of 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund.	1.60% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf">http://antipodespartners.com/wp-content/uploads/2015/06/Global-Fund-PDS.pdf</a>	The aim of the Fund is to achieve absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years).
ANZ Bank NZ Ltd @ 3.75% Mat 01/09/2022 - (ANB140)	ANZ Bank New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>
ANZ Bank NZ Ltd Fixed Rate Bonds @ 4.03% Mat 25/09/2020 - NZD (ANB100)	ANZ Bank New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
ANZ Bank NZ Ltd Mandatory Conv Non-Cum Perp Notes @7.20% – NZD (ANBHB)	ANZ Bank New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	<p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>
ANZ Bank NZ Ltd Med Term Unsec Bonds @ 5.43% Mat 27/02/2019 – (ANB0219)	ANZ Bank New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	<p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>
ANZ Bank NZ Ltd Unsecured @ 3.71% Mat 01/09/2023 – (ANB130)	ANZ Bank New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	<p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>
ANZ Banking Group Limited Fully Paid Shares – AUD (ANZ)	Australia & New Zealand Banking Group Ltd	0.00%	0.00%	0.40%	<a href="https://www.anz.com.au">https://www.anz.com.au</a>	<p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
APN AREIT	APN AREIT	0.00%	0.00%	1.25%	<a href="http://apngroup.com.au/">http://apngroup.com.au/</a>	The Fund will invest in AREITs and cash/fixed interest. The Fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income.
APN AREIT Fund (W)	APN Property Group	0.00%	0.00%	1.25%	<a href="http://apngroup.com.au/">http://apngroup.com.au/</a>	The Fund will invest in AREITs and cash/fixed interest. The Fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income.
APN AREIT PIE Fund	APN Property Group	0.00%	0.00%	1.25%	<a href="http://apngroup.com.au/">http://apngroup.com.au/</a>	The Fund will invest in AREITs and cash/fixed interest. The Fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income.
APN AREIT PIE Fund (PIE)	APN Property Group	0.00%	0.00%	1.25%	<a href="http://apngroup.com.au/">http://apngroup.com.au/</a>	The Fund will invest in AREITs and cash/fixed interest. The Fund will not invest in direct property. We will continually review and, if appropriate, reweight the Fund's investments with the objective of optimising the Fund's distributable income.
APN Asian REIT Fund (W)	APN Property Group	0.00%	0.00%	1.6%	<a href="http://apngroup.com.au/">http://apngroup.com.au/</a>	The Fund will invest primarily in REITs listed on the securities exchanges of the Asia Region (including, but not limited to, Hong Kong, Singapore, Malaysia, Japan and New Zealand, but excluding Australia), as well as cash and fixed interest investments.
Apostle Dundas Global Equity Fund – Class C Units (W)	Apostle Funds Management Pty Limited	0.00%	0.00%	1.30%	<a href="http://heathcoteinvestment.com/wp-content/uploads/2015/06/Dundas_Global_Equity_Fund_Class-C_PDS_NZ.pdf">http://heathcoteinvestment.com/wp-content/uploads/2015/06/Dundas_Global_Equity_Fund_Class-C_PDS_NZ.pdf</a>	The goal is to build a portfolio capable of sustainable, long term wealth generation delivered in the form of stable dividends and capital growth. Dundas decomposes the components of total return and manages both elements for maximum long term benefit to its clients



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Apple Computer Inc	Apple Computer Inc	0.00%	0.00%	0.40%	<a href="http://www.apple.com/">http://www.apple.com/</a>	Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). The Company sells its products through its retail stores, online stores and direct sales force through third-party cellular network carriers, wholesalers, retailers and value-added resellers. The Company sells a range of third-party Apple compatible products, including application software and accessories through its retail and online stores. The Company sells to consumers, small and mid-sized businesses and education, enterprise and government customers.
Apple Computer Inc - USD (AAPL)	Apple Computer Inc	0.00%	0.00%	0.40%	<a href="http://www.apple.com/">http://www.apple.com/</a>	Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). The Company sells its products through its retail stores, online stores and direct sales force through third-party cellular network carriers, wholesalers, retailers and value-added resellers. The Company sells a range of third-party Apple compatible products, including application software and accessories through its retail and online stores. The Company sells to consumers, small and mid-sized businesses and education, enterprise and government customers.
Applied Materials Inc - USD (AMAT)	Applied Materials Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/AMAT:US">https://www.bloomberg.com/quote/AMAT:US</a>	Applied Materials, Inc. develops, manufactures, markets, and services semiconductor wafer fabrication equipment and related spare parts for the worldwide semiconductor industry. The Company's customers include semiconductor wafer and integrated circuit manufacturers, flat panel liquid crystal displays, solar photovoltaic cells and modules and other electronic devices manufacturers.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Argo Global Listed Infrastructure Limited – AUD (ALI)	Argo Global Listed Infrastructure Limited	0.00%	0.00%	0.40%	<a href="https://www.argoinfrastructure.com.au/">https://www.argoinfrastructure.com.au/</a>	Argo Global Listed Infrastructure Limited (AGLI) (ASX code:ALI) is an ASX listed investment company which manages a portfolio of global listed securities in the infrastructure sector. The sector is diversified by geography and infrastructure sub-sectors, including airports, water, pipelines, railways, ports, satellites, toll roads, telecommunications towers and electricity, gas and renewable energy utilities.
Argo Investments Limited – AUD (ARG)	Argo Investments Limited	0.00%	0.00%	0.40%	<a href="https://www.argoinvestments.com.au/">https://www.argoinvestments.com.au/</a>	Argo Investments Ltd is a listed investment company incorporated in Australia. The objective of the Company is to maximize long-term secure returns through a balance of capital and dividend growth. The Company invests in about 180 companies and trusts representing a cross section of Australian enterprises, including a number with substantial overseas operations.
Argosy Property Limited – NZD (ARG)	Argosy Property Limited	0.00%	0.00%	0.40%	<a href="http://www.argosy.co.nz/">http://www.argosy.co.nz/</a>	We are focused on maintaining a diversified portfolio of quality properties with an average value of between \$10 million and \$20 million. This allows us to be nimble and react quickly to changing market conditions. Liquid properties represent approximately 24% of the portfolio.
Aristocrat Leisure Limited – AUD (ALL)	Aristocrat Leisure Limited	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/ALL:AU">https://www.bloomberg.com/quote/ALL:AU</a>	Aristocrat Leisure Limited manufactures and sells gaming machines in Australia and internationally. The Company also supplies gaming systems, software, table gaming equipment and other gaming related products and services to casinos, clubs and hotels.
ARK Innovation ETF – USD (ARKK)	ARK Innovation	0.00%	0.00%	1.15%	<a href="https://ark-funds.com/arkk">https://ark-funds.com/arkk</a>	Securities within ARKK are expected to focus on and benefit from the development of new products or services, technological improvements and advancements in scientific research related to, among other things, disruptive innovation across ARK's three themes
ASB Bank Limited Sub Notes @6.65% Mat 15/06/2024 (Call 15/06/2019) – (ABB030)	ASB Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.asb.co.nz/documents/listed-securities/asb-subordinated-notes">https://www.asb.co.nz/documents/listed-securities/asb-subordinated-notes</a>	ASB Subordinated Notes are subordinated, unsecured debt securities of ASB Bank Limited (ASB) that are quoted on the NZX Debt Market and are due to be repaid in full on the maturity date.
ASB Bank Ltd @4.20% Mat 24/02/2022 – NZD (ABB060)	ASB Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.asb.co.nz/documents/listed-securities/asb-medium-term-notes-abb060">https://www.asb.co.nz/documents/listed-securities/asb-medium-term-notes-abb060</a>	ASB Medium Term Notes are unsubordinated, unsecured fixed rate notes of ASB Bank Limited (ASB) that are due to be repaid in full on the maturity date (24 February 2022).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
ASB Bank Ltd Fixed Rate Notes @ 4.245% Mat 26/05/2021 - NZD (ABB040)	ASB Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.asb.co.nz/documents/listed-securities/asb-medium-term-notes-abb060">https://www.asb.co.nz/documents/listed-securities/asb-medium-term-notes-abb060</a>	ASB Medium Term Notes are unsubordinated, unsecured fixed rate notes of ASB Bank Limited (ASB) that are due to be repaid in full on the maturity date (26 May 2021).
ASB Capital No. 1 Ltd Perpetual Preference Shares @3.30% NZD (ASBPA)	ASB Capital Limited	0.00%	0.00%	0.40%	<a href="https://www.asb.co.nz">https://www.asb.co.nz</a>	ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want.
ASB Capital No.2 Ltd Perpetual Preference Shares @3.05% Mat 15/05/2019 (ASBPB)	ASB Capital Limited	0.00%	0.00%	0.40%	<a href="https://www.asb.co.nz">https://www.asb.co.nz</a>	ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want.
Aspiring Asset Management Aspiring Fund	Aspiring Asset Management Limited	0.00%	1.14%	2.72%	<a href="https://www.aaml.co.nz/">https://www.aaml.co.nz/</a>	The Fund invests mostly in New Zealand and Australian listed financial products but may invest in any asset class. This may include foreign shares, investments in unlisted entities, underwriting arrangements, debt securities and property.
Aspiring Fund (PIE)	Aspiring Asset Management Limited	0.00%	1.14%	2.72%	<a href="https://www.aaml.co.nz/">https://www.aaml.co.nz/</a>	The Fund invests mostly in New Zealand and Australian listed financial products but may invest in any asset class. This may include foreign shares, investments in unlisted entities, underwriting arrangements, debt securities and property.
Asset Plus Limited - NZD (APL)	Asset Plus Limited	0.00%	0.00%	0.40%	<a href="https://assetplusnz.co.nz/">https://assetplusnz.co.nz/</a>	Asset Plus targets assets that fit with our 'Yield Plus Growth' investment strategy, targeting higher returns than typically available to investors in listed entities that are invested only in low risk CBD major buildings through a more diversified property portfolio.
AstraZeneca PLC - GBP (AZN)	AstraZeneca PLC	0.00%	0.00%	0.40%	<a href="https://www.astrazeneca.com/">https://www.astrazeneca.com/</a>	AstraZeneca PLC operates as a holding company. The Company, through its subsidiaries, researches, manufactures, and sells pharmaceutical and medical products. AstraZeneca focuses its operations on eight therapeutic areas, including gastrointestinal, oncology, cardiovascular, respiratory, central nervous system, pain control, anaesthesia, and infection.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Auckland Council Fixed Rate Bonds @5.806% Mat 25/03/2024 – AKC070	Auckland Council	0.00%	0.00%	0.40%	<a href="https://www.aucklandcouncil.govt.nz/about-auckland-council/business-in-auckland/docs/investorinformation/series-notice-july-2016">https://www.aucklandcouncil.govt.nz/about-auckland-council/business-in-auckland/docs/investorinformation/series-notice-july-2016</a>	Fixed-rate retail bonds that are listed on the NZX Limited Debt Market (NZDX). These bonds are debt securities that pay a fixed rate of interest until maturity date.
Auckland International Airport @3.97% Mat 02/11/2023 – NZD (AIA210)	Auckland International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>	Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world – welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed.
Auckland International Airport @4.28% Mat 09/11/2022 – (AIA200)	Auckland International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>	Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world – welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed.
Auckland International Airport Limited – NZD (AIA)	Auckland International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>	Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world – welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Auckland International Airport Ltd	Auckland International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>	Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world – welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed.
Auckland International Airport Notes @5.52% Mat 28/05/2021 – NZD (AIA130)	Auckland International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>	Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world – welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed.
Augusta Capital Limited – NZD (AUG)	Augusta Capital Limited	0.00%	0.00%	0.40%	<a href="http://www.augusta.co.nz">http://www.augusta.co.nz</a>	Augusta Capital Limited is an NZX Listed Property Funds Manager that is the market leader in its sector. The company was founded in 2001 by Managing Director and largest shareholder, Mark Francis. Augusta Capital now has two distinct divisions. Direct property investment, whereby it holds and manages a core portfolio of office and car parking properties in central Auckland, valued at approximately \$100 million.
Aurora Cannabis Inc – NZD (ACB – CAD)	Aurora Cannabis Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/ACB:CN">https://www.bloomberg.com/quote/ACB:CN</a>	Aurora Cannabis Inc. through operates in the medical marijuana industry. The Company, through its subsidiaries, is a licensed producer of medical marijuana, and is an active participant in the Cannabis market.
Australasian Property Index Fund (W) (AIF P) (PIE)	AMP Investment Management (N.Z.) Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.82% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.ampcapital.com/nz/en/investments/funds/real-estate/australasian-property-index-fund">https://www.ampcapital.com/nz/en/investments/funds/real-estate/australasian-property-index-fund</a>	The Australasian Property Index Fund aims to provide you with an opportunity to participate in a passively managed low tracking error portfolio tracking the S&P/NZX All Real Estate (Industry Group) Gross with Imputation Index and the S&P/ASX 200 A-REIT Accumulation Index fully hedged to New Zealand dollars.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Australia & New Zealand Banking Group Ltd	Australia & New Zealand Banking Group Ltd	0.00%	0.00%	0.40%	<a href="https://www.anz.co.nz">https://www.anz.co.nz</a>	<p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>
Australian Foundation Investment Company Ltd – AUD (AFI)	Australian Foundation Investment Company Limited	0.00%	0.00%	0.40%	<a href="http://www.afi.com.au">http://www.afi.com.au</a>	AFIC focuses on investing in Australian companies with unique high quality assets, brands and/or business footprints that can withstand the business cycles. Our view is that such businesses will generate superior returns over the long term.
Australian Mid Cap Fund (NS) – NZD (MZY)	Smartshares Limited	0.00%	0.00%	1.15%	<a href="http://smartshares.co.nz">http://smartshares.co.nz</a>	The Australian Mid Cap Fund invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX Mid Cap 50 Index. The S&P/ASX Mid Cap 50 Index is made up of financial products listed on the ASX and included in the S&P/ASX 100 Index, but excludes products included in the S&P/ASX 50 Index.
Aviva Limited – GBP (AV)	Aviva Limited	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/AV:LN">https://www.bloomberg.com/quote/AV:LN</a>	Aviva PLC is an international insurance company that provides all classes of general and life assurance, including fire, motor, marine, aviation, and transport insurance. The Company also supplies a variety of financial services, including unit trusts, stockbroking, long-term savings, and fund management.
Aviva Plc	Aviva Plc	0.00%	0.00%	0.40%	<a href="https://www.aviva.com">https://www.aviva.com</a>	Aviva PLC is an international insurance company that provides all classes of general and life assurance, including fire, motor, marine, aviation, and transport insurance. The Company also supplies a variety of financial services, including unit trusts, stockbroking, long-term savings, and fund management.
Baidu Inc – USD (BIDU)	Baidu Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/BIDU:US">https://www.bloomberg.com/quote/BIDU:US</a>	Baidu, Inc. operates an Internet search engine. The Company offers algorithmic search, enterprise search, news, MP3, and image searches, voice assistance, online storage, and navigation services. Baidu serves clients globally.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Baillie Gifford Japan Trust Plc - GBP (BGFD)	Baillie Gifford Japan Trust Plc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/BGFD:LN">https://www.bloomberg.com/quote/BGFD:LN</a>	The Baillie Gifford Japan Trust PLC is an investment trust incorporated in the United Kingdom. The aim of the Fund is to produce long-term capital growth. The Fund invests in medium to smaller sized Japanese companies.
Banco Latinoamericano de Exportaciones SA	Banco Latinoamericano de Exportaciones SA	0.00%	0.00%	0.40%	<a href="http://www.bladex.com/en">http://www.bladex.com/en</a>	Bladex has been serving the Latin American market since 1979, meeting the capitalization needs of other banks in the Region, as well as granting financing to medium and large-sized corporations to support their business operations.
Bank of China (New Zealand) Limited @ 4.02% Mat 19/04/2023	Bank of China	0.00%	0.00%	0.40%	<a href="http://nz.icbc.com.cn/ICBC/EN/Personal/RetailBonds/">http://nz.icbc.com.cn/ICBC/EN/Personal/RetailBonds/</a>	The retail bond is a medium-term investment product issued by Industrial and Commercial Bank of China (New Zealand) Limited (hereinafter referred to as ICBC New Zealand, ICBC NZ) for a period of three to five years.
Bank of China (New Zealand) Limited @ 4.09% Mat 17/10/2022	Bank of China	0.00%	0.00%	0.40%	<a href="http://nz.icbc.com.cn/ICBC/EN/Personal/RetailBonds/">http://nz.icbc.com.cn/ICBC/EN/Personal/RetailBonds/</a>	The retail bond is a medium-term investment product issued by Industrial and Commercial Bank of China (New Zealand) Limited (hereinafter referred to as ICBC New Zealand, ICBC NZ) for a period of three to five years.
Bank of New Zealand	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/">https://www.bnz.co.nz/</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
Bank of New Zealand Fixed Rate Senior Unsec @ 4.102% Mat 15/06/2023 - BNZ110	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/companies/BNZ/announcements/288065">https://www.nzx.com/companies/BNZ/announcements/288065</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Bank of New Zealand Notes @ 5.314% Mat 17/12/2025 – (BNZ090)	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/assets/about-us/subordinated-notes/subordinated-notes-investment-statement.pdf">https://www.bnz.co.nz/assets/about-us/subordinated-notes/subordinated-notes-investment-statement.pdf</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
Bank of New Zealand RTDs @ 5.57% Mat 25/06/2019 – (BNZ0619)	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/static/www/docs/terms-conditions/1007-RTD-Investment-Statement.pdf">https://www.bnz.co.nz/static/www/docs/terms-conditions/1007-RTD-Investment-Statement.pdf</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
Bank of New Zealand Unsec Notes @ 4.426% Mat 18/06/2020 – (BNZ100)	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/about-us/bnz-subordinated-notes">https://www.bnz.co.nz/about-us/bnz-subordinated-notes</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
Bankers Investment Trust Plc- GBP (BNKR)	Bankers Investment Trust Limited	0.00%	0.00%	0.40%	<a href="https://www.henderson.com/ukpi/fund/168/the-bankers-investment-trust-plc">https://www.henderson.com/ukpi/fund/168/the-bankers-investment-trust-plc</a>	The Bankers Investment Trust seeks to maximise returns for shareholders through a broadly diversified international portfolio. The trust aims to deliver capital growth in excess of the FTSE All-Share Index, and regular dividend growth that exceeds the rise in the Retail Prices Index (RPI).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Bankers Investment Trust PLC/The	Bankers Investment Trust Limited	0.00%	0.00%	0.40%	<a href="https://www.henderson.com/ukpi/fund/168/the-bankers-investment-trust-plc">https://www.henderson.com/ukpi/fund/168/the-bankers-investment-trust-plc</a>	The Bankers Investment Trust seeks to maximise returns for shareholders through a broadly diversified international portfolio. The trust aims to deliver capital growth in excess of the FTSE All-Share Index, and regular dividend growth that exceeds the rise in the Retail Prices Index (RPI).
Barclays PLC - GBP (BARC)	Barclays PLC	0.00%	0.00%	0.40%	<a href="https://www.home.barclays/">https://www.home.barclays/</a>	Our common purpose is to help people achieve their ambitions - in the right way. We'll measure and reward our people, not just on commercial results, but on how they live our Values and bring them to life every day. And we'll judge our success on a balanced scorecard of impact.
Bennelong Concentrated Australian Equities Fund	Bennelong Australian Equity Partners Pty Ltd	0.00%	15% of any amount by which the Fund's return is more than 2% greater than the return generated by the S&P/ASX 300 Accumulation Index.	1.25%	<a href="https://www.bennelongfunds.com/funds/bennelong-concentrated-australian-equities-fund">https://www.bennelongfunds.com/funds/bennelong-concentrated-australian-equities-fund</a>	The Bennelong Concentrated Australian Equities Fund primarily selects stocks from the S&P/ASX 300 Accumulation Index.
Bentham Global Income	Bentham Global Income	0.00%	0.00%	1.17%	<a href="http://www.benthamam.com.au/">http://www.benthamam.com.au/</a>	The Fund is actively managed and focused on generating stable investment income by providing a diversified exposure to domestic and global credit markets. Bentham seeks to add value through actively managing allocations across different credit sectors, trading of individual securities and managing risk.
Bentham Global Income Fund - \$NZD (W)	Bentham Asset Management	0.00%	0.00%	1.17%	<a href="http://www.benthamam.com.au/">http://www.benthamam.com.au/</a>	The Fund is actively managed and focused on generating stable investment income by providing a diversified exposure to domestic and global credit markets. Bentham seeks to add value through actively managing allocations across different credit sectors, trading of individual securities and managing risk.
Bentham Global Income Fund NZD	Bentham Asset Management	0.00%	0.00%	1.17%	<a href="http://www.benthamam.com.au/">http://www.benthamam.com.au/</a>	The Fund is actively managed and focused on generating stable investment income by providing a diversified exposure to domestic and global credit markets. Bentham seeks to add value through actively managing allocations across different credit sectors, trading of individual securities and managing risk.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Bentham Wholesale Global Income Fund – \$AUD (W)	Bentham Asset Management	0.00%	0.00%	1.17%	<a href="http://www.benthamam.com.au/">http://www.benthamam.com.au/</a>	The Fund is actively managed and focused on generating stable investment income by providing a diversified exposure to domestic and global credit markets. Bentham seeks to add value through actively managing allocations across different credit sectors, trading of individual securities and managing risk.
Berkshire Hathaway Inc B Shares – USD (BRKB)	Berkshire Hathaway Inc	0.00%	0.00%	0.40%	<a href="http://www.berkshirehathaway.com/">http://www.berkshirehathaway.com/</a>	Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses.
Betashares AUS Top20 Equity Yield Max Fund – AUD (YMAX)	Betashares	0.00%	0.00%	0.99%	<a href="http://www.betashares.com.au/">http://www.betashares.com.au/</a>	Aims to provide investors with exposure to a portfolio of 20 blue-chip Australian shares (as represented in the S&P/ASX 20 Index), while providing attractive quarterly income that exceeds the dividend yield of a portfolio of the underlying shares. In addition, the Fund aims to provide lower overall volatility than the underlying share portfolio.
Betashares Australian High Interest Cash ETF – AUD (AAA)	Betashares	0.00%	0.00%	0.58%	<a href="http://www.betashares.com.au/">http://www.betashares.com.au/</a>	Aims to provide attractive and regular income distributions and a high level of capital security, and aims to generate a return that exceeds the 30 day Bank Bill Swap Rate (after fees and expenses).
Betashares FTSE RAFI Australia 200 ETF – AUD (QOZ)	Betashares	0.00%	0.00%	0.70%	<a href="http://www.betashares.com.au/">http://www.betashares.com.au/</a>	Aims to provide an investment return that tracks the performance of the FTSE RAFI Australia 200 Index, before fees and expenses. The Index comprises the top 200 companies listed on the ASX as measured by fundamental size.
BHP Billiton Limited – AUD (BHP)	BHP Billiton Limited	0.00%	0.00%	0.40%	<a href="http://www.bhpbilliton.com/">http://www.bhpbilliton.com/</a>	<p>BHP Billiton is among the world's top producers of major commodities including iron ore, metallurgical coal, copper and uranium. We also have substantial interests in oil, gas and energy coal.</p> <p>We extract and process minerals, oil and gas from our production operations located primarily in Australia and the Americas.</p> <p>Our products are sold worldwide, with sales and marketing led through Singapore and Houston, United States. Our global headquarters are in Melbourne, Australia.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
BHP Billiton Ltd	BHP Billiton Limited	0.00%	0.00%	0.40%	<a href="http://www.bhpbilliton.com/">http://www.bhpbilliton.com/</a>	<p>BHP Billiton is among the world's top producers of major commodities including iron ore, metallurgical coal, copper and uranium. We also have substantial interests in oil, gas and energy coal.</p> <p>We extract and process minerals, oil and gas from our production operations located primarily in Australia and the Americas.</p> <p>Our products are sold worldwide, with sales and marketing led through Singapore and Houston, United States. Our global headquarters are in Melbourne, Australia.</p>
Bingo Industries Limited – AUD (BIN)	Bingo Industries Limited	0.00%	0.00%	0.40%	<a href="https://investors.bingoindustries.com.au/investor-centre/">https://investors.bingoindustries.com.au/investor-centre/</a>	<p>Bingo Industries Limited operates as a waste management and recycling company. The Company offers front and rear lift commercial waste bins and compactors to handle waste such as general waste, paper, cardboard, and co-mingled recyclables, as well as provides solutions for liquid waste such as oily waters, grease traps, wash waters, and chemicals.</p>
Blackrock Greater Europe Investment Trust – GBP (BRGE)	Blackrock Greater Europe Investment Trust	0.00%	0.00%	1.25%	<a href="https://www.blackrock.com/uk">https://www.blackrock.com/uk</a>	<p>The Company aims to provide capital growth, primarily through investment in a focused portfolio constructed from a combination of the securities of large, mid and small capitalisation European companies, together with some investment in the developing markets of Europe.</p>
Blackrock Smaller Companies TST PLC 25P – GBP (BRSC)	Blackrock Smaller Companies TST PLC	0.00%	0.00%	1.00%	<a href="https://www.blackrock.com/uk/individual/products/investment-trusts/our-range/blackrock-smaller-companies-investment-trust/trust-information">https://www.blackrock.com/uk/individual/products/investment-trusts/our-range/blackrock-smaller-companies-investment-trust/trust-information</a>	<p>The Company aims to achieve long-term capital growth for shareholders through investment mainly in smaller UK quoted companies.</p>
Blackrock World Mining Trust PLC ORD 5p – GBP (BRWM)	Blackrock World Mining Trust	0.00%	0.00%	2.47%	<a href="https://www.blackrock.com/uk">https://www.blackrock.com/uk</a>	<p>The Fund aims to maximise the return on your investment through a combination of capital growth and income on the Fund's assets. The Fund invests globally at least 70% of its total assets in the shares of companies the main business of which is the mining and/or production of base and precious metals and/or minerals. The Fund does not hold physical gold or metal.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
BNZ Fixed Rate RTD Notes @ 6.10% Mat 20/12/2018 – NZD (BNZ1218)	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/static/www/docs/terms-conditions/1007-RTD-Investment-Statement.pdf">https://www.bnz.co.nz/static/www/docs/terms-conditions/1007-RTD-Investment-Statement.pdf</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
BNZ Term Deposit 3.59% 19/02/2019	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/personal-banking/investments/term-deposits">https://www.bnz.co.nz/personal-banking/investments/term-deposits</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
BNZ Term Deposit 3.69% 18/06/2019	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/personal-banking/investments/term-deposits">https://www.bnz.co.nz/personal-banking/investments/term-deposits</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.



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BNZ Term Deposit 3.70% 16/05/2019	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/personal-banking/investments/term-deposits">https://www.bnz.co.nz/personal-banking/investments/term-deposits</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
BNZ Term Deposit 3.72% 08/04/2019	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/personal-banking/investments/term-deposits">https://www.bnz.co.nz/personal-banking/investments/term-deposits</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
BNZ Term Deposit 3.74% 29/10/2018	Bank of New Zealand	0.00%	0.00%	0.40%	<a href="https://www.bnz.co.nz/personal-banking/investments/term-deposits">https://www.bnz.co.nz/personal-banking/investments/term-deposits</a>	For over 150 years, we've helped people and businesses grow and make their goals a reality. We want to help all New Zealanders be good with money, so everyone can do good things with it. As part of the National Australia Bank Group of companies, we've more than 5,500 kiwis working for us and have some 170 stores across the country. We're led by a board of directors and an executive team of leaders. As a customer, you're at the heart of our business, so we've made six promises to you, so we can help you be good with money and give you great customer service.
BP Plc - USD (BP)	BP Plc	0.00%	0.00%	0.40%	<a href="http://www.bp.com/">http://www.bp.com/</a>	BP is one of the world's leading integrated oil and gas companies. We provide customers with fuel for transportation, energy for heat and light, lubricants to keep engines moving, and the petrochemicals products used to make everyday items as diverse as paints, clothes and packaging.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
BP Plc Limited – GBP (BP)	BP Plc	0.00%	0.00%	0.40%	<a href="http://www.bp.com/">http://www.bp.com/</a>	BP is one of the world's leading integrated oil and gas companies. We provide customers with fuel for transportation, energy for heat and light, lubricants to keep engines moving, and the petrochemicals products used to make everyday items as diverse as paints, clothes and packaging.
Brambles Limited – AUD (BXB)	Brambles Limited	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/BXB:AU">https://www.bloomberg.com/quote/BXB:AU</a>	Brambles Limited is a global support services group which provides pallet and plastic container pooling services and information management services.
British Empire Trust Plc – GBP (BTEM)	British Empire Securities & General Trust Plc	0.00%	0.00%	1.29%	<a href="http://www.british-empire.co.uk/">http://www.british-empire.co.uk/</a>	British Empire's investment objective is to achieve the creation of long-term value and capital growth through a focused portfolio of investments, particularly in companies whose share prices stand at a discount to estimated underlying net asset value.
British Land Co – GBP (BLND)	British Land Co	0.00%	0.00%	0.40%	<a href="http://www.britishland.com/">http://www.britishland.com/</a>	We are one of Europe's largest publicly listed real estate investment trusts (REITs). We own, manage and develop a portfolio of high quality properties focused on regional and local retail and leisure around the UK and offices and residential in London.
BT Group Plc – GBP (BT.A)	BT Group	0.00%	0.00%	0.40%	<a href="http://www.btplc.com/">http://www.btplc.com/</a>	BT is one of the world's leading communications services companies, serving the needs of customers in the UK and across the world, where we provide fixed-line services, broadband, mobile and TV products and services as well as networked IT services.
Bunzl PLC – GBP (BNZL)	Bunzl PLC	0.00%	0.00%	0.40%	<a href="https://markets.ft.com/data/equities/tearsheet/summary?s=BNZL:LSE">https://markets.ft.com/data/equities/tearsheet/summary?s=BNZL:LSE</a>	Bunzl plc is engaged in the distribution and outsourcing service. It supplies a range of non-food products to a range of market sectors.
Castle Point Ranger Fund	Castle Point Funds Management Limited	0.00%	0.00%	0.40%	<a href="http://www.castlepointfunds.com/ranger-fund">http://www.castlepointfunds.com/ranger-fund</a>	The Castle Point Ranger Fund can invest in a broad range of New Zealand and Australian assets, including cash, debt instruments, equities, derivatives and other assets.
Castle Point Ranger Fund (PIE)	Castle Point Funds Management Limited	0.00%	0.00%	0.40%	<a href="http://www.castlepointfunds.com/ranger-fund">http://www.castlepointfunds.com/ranger-fund</a>	The Castle Point Ranger Fund can invest in a broad range of New Zealand and Australian assets, including cash, debt instruments, equities, derivatives and other assets.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
China Construction Bank NZ @ 3.932% Mat 09/11/2022 – (CBB010)	China Construction Bank (New Zealand) Limited	0.00%	0.00%	0.40%	<a href="http://nz.ccb.com/newzealand/en/gywm.html">http://nz.ccb.com/newzealand/en/gywm.html</a>	China Construction Bank (New Zealand) Limited is a wholly-owned subsidiary of the Bank registered in New Zealand in July 2014, with an original registered capital of US\$50 million. In July 2016, the Bank increased the capital of CCB New Zealand in NZD with an equivalent value of US\$100 million. The registered capital of CCB New Zealand was approximately NZD199 million after the capital increase.
China Construction Bank NZ @ 4.005% Mat 19/06/2023	China Construction Bank (New Zealand) Limited	0.00%	0.00%	0.40%	<a href="http://nz.ccb.com/newzealand/en/gywm.html">http://nz.ccb.com/newzealand/en/gywm.html</a>	China Construction Bank (New Zealand) Limited is a wholly-owned subsidiary of the Bank registered in New Zealand in July 2014, with an original registered capital of US\$50 million. In July 2016, the Bank increased the capital of CCB New Zealand in NZD with an equivalent value of US\$100 million. The registered capital of CCB New Zealand was approximately NZD199 million after the capital increase.
Chorus Limited – NZD (CNU)	Chorus Limited	0.00%	0.00%	0.40%	<a href="https://www.chorus.co.nz/">https://www.chorus.co.nz/</a>	Chorus is New Zealand's largest telecommunications infrastructure company, Chorus continues its long heritage of building and looking after the country's fixed line telecommunications network for present and future generations.
Chorus Ltd Unsubordinated Bonds @ 4.12% mat 06/05/2021-NZD (CNU010)	Chorus Limited	0.00%	0.00%	0.40%	<a href="https://www.chorus.co.nz/">https://www.chorus.co.nz/</a>	Chorus is New Zealand's largest telecommunications infrastructure company, Chorus continues its long heritage of building and looking after the country's fixed line telecommunications network for present and future generations.
Christchurch City Holdings Limited @ 3.40% Mat 06/12/2022	Christchurch City Holdings Limited	0.00%	0.00%	0.40%	<a href="https://www.cchl.co.nz/bond-offer">https://www.cchl.co.nz/bond-offer</a>	Christchurch City Holdings Limited (CCHL) has made an offer of 5 year unsecured, unsubordinated fixed rate bonds to institutional investors and New Zealand retail investors. This offer is now closed.
Christchurch International Airport @ 4.13% Mat 24/05/2024 – (CHC010)	Christchurch International Airport Limited	0.00%	0.00%	0.40%	<a href="http://www.christchurchairport.co.nz">http://www.christchurchairport.co.nz</a>	At Christchurch Airport our mission is to be a champion airport, recognised at home and abroad as an engine room for the prosperity of Christchurch, the South Island and New Zealand.
Christchurch International Airport Limited 4.13% 24/05/2024	Christchurch International Airport Limited	0.00%	0.00%	0.40%	<a href="http://www.christchurchairport.co.nz">http://www.christchurchairport.co.nz</a>	At Christchurch Airport our mission is to be a champion airport, recognised at home and abroad as an engine room for the prosperity of Christchurch, the South Island and New Zealand.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Citigroup Inc – USD (C)	Citigroup Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/C:US">https://www.bloomberg.com/quote/C:US</a>	Citigroup Inc. is a diversified financial services holding company that provides a broad range of financial services to consumer and corporate customers. The Company services include investment banking, retail brokerage, corporate banking, and cash management products and services. Citigroup serves customers globally.
City Merchants High Yield Trust Limited – GBP (CMHY)	City Merchants High Yield	0.00%	0.00%	1.42%	<a href="https://www.invescopetperpetual.co.uk/portal/site/ip/products/productDetail?contentId=861ad1587857d210VgnVCM1000002e1ebf0aRCRD">https://www.invescopetperpetual.co.uk/portal/site/ip/products/productDetail?contentId=861ad1587857d210VgnVCM1000002e1ebf0aRCRD</a>	The Company's investment objective is to seek to obtain both high income and capital growth from investment, predominantly in high-yielding fixed-interest securities.
City Merchants High Yield Trust PLC – Closed-end Fund	City Merchants High Yield	0.00%	0.00%	1.42%	<a href="https://www.invescopetperpetual.co.uk/portal/site/ip/products/productDetail?contentId=861ad1587857d210VgnVCM1000002e1ebf0aRCRD">https://www.invescopetperpetual.co.uk/portal/site/ip/products/productDetail?contentId=861ad1587857d210VgnVCM1000002e1ebf0aRCRD</a>	The Company's investment objective is to seek to obtain both high income and capital growth from investment, predominantly in high-yielding fixed-interest securities.
City of London Investment Trust PLC/The	City of London Investment Trust PLC	0.00%	0.00%	0.82%	<a href="https://www.henderson.com/ukpi/fund/169/the-city-of-london-investment-trust-plc">https://www.henderson.com/ukpi/fund/169/the-city-of-london-investment-trust-plc</a>	The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders.
Clarity Global Shares Fund (PIE) – SWM	JMIS Limited	0.00%	0.00%	1.4%	<a href="https://clarityfunds.co.nz/funds/global-shares-fund">https://clarityfunds.co.nz/funds/global-shares-fund</a>	The Fund aims to achieve returns over the long term by investing in a portfolio of well-diversified global securities listed on sharemarkets around the world. These assets may include listed equities, exchange traded funds and cash.
Coca-Cola Amatil Limited – AUD (CCL)	Coca Cola Amatil Limited	0.00%	0.00%	0.40%	<a href="https://www.ccamatil.com/">https://www.ccamatil.com/</a>	<p>We work together across a diverse group of businesses, across six countries, and thanks to our world-class manufacturing and sales capabilities, together with our unrivalled relationships, reach and execution, we delight millions of consumers everywhere and every day with our leading range of ready-to-drink non-alcoholic and alcoholic beverages, coffee and ready-to-eat food snacks.</p> <p>As both brand partner and brand owner, we operate across a dynamic mix of markets – all of them different, each of them unique. We do this safely and responsibly, bringing to life our exceptional range of globally renowned brands and local favourites for all occasions.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Commonwealth Bank of Australia - AUD (CBA)	Commonwealth Bank of Australia Limited	0.00%	0.00%	0.40%	<a href="https://www.commbank.com.au/">https://www.commbank.com.au/</a>	We are Australia's leading provider of integrated financial services, including retail, premium, business and institutional banking, funds management, superannuation, insurance, investment and share-broking products and services.
Computershare Limited - AUD (CPU)	Computershare Limited	0.00%	0.00%	0.40%	<a href="http://www.computershare.com/nz">http://www.computershare.com/nz</a>	One of Melbourne's first start-up technology companies, we were founded in the city's suburbs in 1978. Our initial ambition was to provide computer services to businesses that needed to automate processes. Eventually, we progressed to providing specialist computer bureau services to Australian share registrars, something we quickly excelled at. Harnessing our entrepreneurial spirit, we continued to develop best in class technology that minimises risk, reduces cost and simplifies processes for our clients and their customers.
Contact Energy @ 5.80% Mat 15/05/2019 - (CEN020)	Contact Energy Limited	0.00%	0.00%	0.40%	<a href="https://contact.co.nz/personal">https://contact.co.nz/personal</a>	At Contact, we have your energy options covered. Contact is one of New Zealand's leading energy generators and retailers, providing electricity, natural gas and LPG to about 562,000 customers nationwide. We are one of the country's largest listed companies and the only electricity and natural gas supplier that rewards our residential customers with Fly Buys.
Contact Energy Limited Fixed Rate Bonds @4.40% Mat 15/11/2021 - (CEN030)	Contact Energy Limited	0.00%	0.00%	0.40%	<a href="https://contact.co.nz/personal">https://contact.co.nz/personal</a>	At Contact, we have your energy options covered. Contact is one of New Zealand's leading energy generators and retailers, providing electricity, natural gas and LPG to about 562,000 customers nationwide. We are one of the country's largest listed companies and the only electricity and natural gas supplier that rewards our residential customers with Fly Buys.
Contact Energy Limited Ordinary Shares - NZD (CEN)	Contact Energy Limited	0.00%	0.00%	0.40%	<a href="https://contact.co.nz/personal">https://contact.co.nz/personal</a>	At Contact, we have your energy options covered. Contact is one of New Zealand's leading energy generators and retailers, providing electricity, natural gas and LPG to about 562,000 customers nationwide. We are one of the country's largest listed companies and the only electricity and natural gas supplier that rewards our residential customers with Fly Buys.
Costco Wholesale Corp - USD (COST)	Costco Wholesale Corp	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/COST:US">https://www.bloomberg.com/quote/COST:US</a>	Costco Wholesale Corporation operates wholesale membership warehouses in multiple countries. The Company sells all kinds of food, automotive supplies, toys, hardware, sporting goods, jewelry, electronics, apparel, health, and beauty aids, as well as other goods.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Crown Resorts Limited – AUD (CWN)	Crown Resorts Limited	0.00%	0.00%	0.40%	<a href="http://www.crownresorts.com.au/">http://www.crownresorts.com.au/</a>	Crown is one of Australia's largest entertainment groups. The group's core businesses and investments are in the integrated resorts sector.  In Australia, Crown wholly owns and operates two of Australia's leading integrated resorts, Crown Melbourne Entertainment Complex and Crown Perth Entertainment Complex. Overseas, Crown also fully owns and operates Crown Aspinall's in London.
CSL Limited – AUD (CSL)	CSL Limited	0.00%	0.00%	0.40%	<a href="http://www.csl.com.au/">http://www.csl.com.au/</a>	CSL is a global specialty biotherapeutics company that develops and delivers innovative biotherapies that save lives, and help people with life-threatening medical conditions live full lives.
CYBG Plc – AUD (CYB)	CYBG Plc	0.00%	0.00%	0.40%	<a href="http://www.cybg.com/">http://www.cybg.com/</a>	The established and trusted brands of Clydesdale Bank and Yorkshire Bank offer a full range of financial products and services to help people and businesses grow. Big enough to matter, small enough to care, we have a strong personal customer base and a business banking capability through a UK-wide network.
DB X-Trackers S&P/ ASX 200 ETF – GBP (XAUS)	DB X-Trackers	0.00%	0.00%	0.40%	<a href="https://etf.deutscheam.com/">https://etf.deutscheam.com/</a>	X-trackers Exchange Traded Funds (ETF) are passive investment funds which aim to closely track an underlying benchmark index. db X-trackers Exchange Traded Funds (ETF) combine the advantages of stocks and funds in one product.
Deere & Co – USD (DE)	Deere and Co	0.00%	0.00%	0.40%	<a href="https://www.deere.com/">https://www.deere.com/</a>	Since its founding in 1837, John Deere has seen a great many changes in its business, its products, its services. Change always comes with opportunity. And Deere has always been ready and willing to embrace it. Yet, through it all, John Deere's mission is still dedicated to those who are linked to the land – farmers and ranchers, landowners, builders, and loggers. And Deere has never outgrown, nor forgotten, its founder's original core values. Those values determine the way we work, the quality we offer, and the unsurpassed treatment you get as a customer, investor, employee.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Devon Alpha Fund	Devon Funds Management	0.00%	The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a.	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a concentrated portfolio of approximately 10-15 select companies listed on the New Zealand and Australian share markets. The Alpha Fund does not follow an equity index and is actively managed, which means that we make our own investment decisions rather than have the Alpha Fund passively follow an index. When appropriate investment opportunities cannot be identified, the Alpha Fund may hold cash or cash equivalent securities. The Alpha Fund aims to generate capital growth over the long term. The Fund is able to hold shares listed outside of Australasia and to short-sell shares (sell shares without owning them, on the expectation that prices will fall and could be purchased later for a lesser price).
Devon Alpha Fund (PIE)	Devon Funds Management	0.00%	The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a.	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a concentrated portfolio of approximately 10-15 select companies listed on the New Zealand and Australian share markets. The Alpha Fund does not follow an equity index and is actively managed, which means that we make our own investment decisions rather than have the Alpha Fund passively follow an index. When appropriate investment opportunities cannot be identified, the Alpha Fund may hold cash or cash equivalent securities. The Alpha Fund aims to generate capital growth over the long term. The Fund is able to hold shares listed outside of Australasia and to short-sell shares (sell shares without owning them, on the expectation that prices will fall and could be purchased later for a lesser price).
Devon Alpha Fund (PIE) - SWM	Devon Funds Management	0.00%	The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a.	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a concentrated portfolio of approximately 10-15 select companies listed on the New Zealand and Australian share markets. The Alpha Fund does not follow an equity index and is actively managed, which means that we make our own investment decisions rather than have the Alpha Fund passively follow an index. When appropriate investment opportunities cannot be identified, the Alpha Fund may hold cash or cash equivalent securities. The Alpha Fund aims to generate capital growth over the long term. The Fund is able to hold shares listed outside of Australasia and to short-sell shares (sell shares without owning them, on the expectation that prices will fall and could be purchased later for a lesser price).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Devon Australian Fund	Devon Funds Management	0.00%	The performance fee is the first 10.00% of gross portfolio performance above the hurdle rate of return of 10.00%.	1.40% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a select portfolio of approximately 25-35 companies which are primarily Australian listed companies. The Australian market offers exposure to a number of sectors that are not available in New Zealand. The Australian Fund is actively managed, which means the holdings and investment returns may differ considerably from its benchmark. While the Fund tends to be fully invested in shares, it may hold some cash or cash equivalent securities.
Devon Australian Fund (PIE)	Devon Funds Management	0.00%	The performance fee is the first 10.00% of gross portfolio performance above the hurdle rate of return of 10.00%.	1.40% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a select portfolio of approximately 25-35 companies which are primarily Australian listed companies. The Australian market offers exposure to a number of sectors that are not available in New Zealand. The Australian Fund is actively managed, which means the holdings and investment returns may differ considerably from its benchmark. While the Fund tends to be fully invested in shares, it may hold some cash or cash equivalent securities.
Devon Dividend Yield Fund (PIE)	Devon Funds Management	0.00%	The performance fee is the first 10.00% of gross portfolio performance above the hurdle rate of return of the 50:50 composite of the S&P/NZX50G index and the S&P/ASX200G index fully hedged.	1.10% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a select portfolio of approximately 25-35 New Zealand and Australian listed equity securities chosen for their attractive dividend yields, with some growth prospects to maintain the dividend yield and capital value in real terms. The Dividend Yield Fund is actively managed, which means the holdings and investment returns may differ considerably from its benchmark.
Devon Trans-Tasman Fund	Devon Funds Management	0.00%	Estimated at 0.57%	1.65% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf">https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf</a>	The Fund invests in a select portfolio of approximately 25-35 well researched companies which are primarily New Zealand and Australian listed companies. The Trans-Tasman Fund is actively managed, which means the holdings and returns may differ considerably from its benchmark. While the Trans-Tasman Fund tends to be fully invested in shares, when there are few compelling investment opportunities the Fund may hold some cash or cash equivalent securities.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Devon Trans-Tasman Fund (PIE)	Devon Funds Management	0.00%	Estimated at 0.57%	1.65% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf">https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf</a>	The Fund invests in a select portfolio of approximately 25-35 well researched companies which are primarily New Zealand and Australian listed companies. The Trans-Tasman Fund is actively managed, which means the holdings and returns may differ considerably from its benchmark. While the Trans-Tasman Fund tends to be fully invested in shares, when there are few compelling investment opportunities the Fund may hold some cash or cash equivalent securities.
Devon Trans-Tasman Fund (PIE) – NR	Devon Funds Management	0.00%	Estimated at 0.57%	1.65% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf">https://devonfunds.co.nz/sites/default/files/Devon-Investment-Funds-Product-Disclosure-Satemen-30.09.2016.pdf</a>	The Fund invests in a select portfolio of approximately 25-35 well researched companies which are primarily New Zealand and Australian listed companies. The Trans-Tasman Fund is actively managed, which means the holdings and returns may differ considerably from its benchmark. While the Trans-Tasman Fund tends to be fully invested in shares, when there are few compelling investment opportunities the Fund may hold some cash or cash equivalent securities.
Devon Trans-Tasman Fund (PIE) – SWM	Devon Funds Management	0.00%	0.00%	1.4%	<a href="https://devonfunds.co.nz/">https://devonfunds.co.nz/</a>	The Fund invests in a select portfolio of approximately 25-35 well researched companies which are primarily New Zealand and Australian listed companies. The Trans-Tasman Fund is actively managed, which means the holdings and returns may differ considerably from its benchmark. While the Trans-Tasman Fund tends to be fully invested in shares, when there are few compelling investment opportunities the Fund may hold some cash or cash equivalent securities.
DFA Australian Core Equity Trust (W)	DFA Australia Limited	0.00%	0.00%	0.71%	<a href="https://au.dimension.com/">https://au.dimension.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
DFA Australian Large Company Trust (W)	DFA Australia Limited	0.00%	0.00%	0.63%	<a href="https://au.dimension.com/">https://au.dimension.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies listed in Australia.
DFA Australian Small Company Trust (W)	DFA Australia Limited	0.00%	0.00%	1.02%	<a href="https://au.dimension.com/">https://au.dimension.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of small companies listed in Australia.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
DFA Australian Value Trust (W)	DFA Australia Limited	0.00%	0.00%	0.735%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of small companies listed in Australia.
DFA Emerging Markets Trust (W)	DFA Australia Limited	0.00%	0.00%	1.12%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies associated with approved emerging markets.
DFA Five Year Diversified Fixed Interest Trust (W) – NZD	DFA Australia Limited	0.00%	0.00%	0.68%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	Within the risk constraints of investing in eligible short-term, high credit quality instruments, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest and money market securities.
DFA Global Bond Trust (W) – NZD	DFA Australia Limited	0.00%	0.00%	0.75%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	The Trust is managed to invest in a broadly diversified portfolio of eligible short to intermediate term domestic and global fixed interest and money market securities. Within portfolio constraints, including controls on portfolio maturity, security maturity, credit quality and diversification, the objective of the Trust is to maximise the return of the portfolio.
DFA Global Core Equity Trust (W) – NZD Hedged Class Units	DFA Australia Limited	0.00%	0.00%	0.79%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
DFA Global Core Equity Trust (W) – Unhedged Class Units	DFA Australia Limited	0.00%	0.00%	0.79%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
DFA Global Real Estate Trust (W) – Unhedged Class Units	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensional.com/funds/global-real-estate-trust">https://au.dimensional.com/funds/global-real-estate-trust</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
DFA Global Small Company Trust (W)	DFA Australia Limited	0.00%	0.00%	1.06%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia).
DFA Global Sustainability Trust – Unhedged (W)	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.87% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class">https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
DFA Global Sustainability Trust(W)-NZD Hedged Class Units	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.87% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class">https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
DFA Global Value Trust (W)	DFA Australia Limited	0.00%	0.00%	0.86%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia).
Diageo Plc – GBP (DGE)	Diageo Plc	0.00%	0.00%	0.40%	<a href="http://www.diageo.com/en-row/Pages/default.aspx">http://www.diageo.com/en-row/Pages/default.aspx</a>	<p>Diageo is a global leader in beverage alcohol. We provide consumers with choice and quality across categories and price points.</p> <p>Diageo has built a strong platform for growth through investing in our brands and route to consumer. Over the last five years we have made acquisitions in brands and local distribution while doubling the size of our luxury business. We have also made changes to our operating model and culture aligning Diageo behind the need for greater agility and responsiveness, creating a business that is closer to the consumer.</p>
Dimensional 2-Yr Diversified FI NZD	DFA Australia Limited	0.00%	0.00%	0.65%	<a href="https://au.dimensionalfund.com/funds/two-year-diversified-fixed-interest-trust">https://au.dimensionalfund.com/funds/two-year-diversified-fixed-interest-trust</a>	Within the risk constraints of investing in eligible short-term, Investment Grade securities, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Dimensional Aust Large Company Trust	DFA Australia Limited	0.00%	0.00%	0.63%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies listed in Australia.
Dimensional Aust Small Company Trust	DFA Australia Limited	0.00%	0.00%	1.02%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of small companies listed in Australia.
Dimensional Australian Core Equity Trust	DFA Australia Limited	0.00%	0.00%	0.71%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
Dimensional Australian Value Trust	DFA Australia Limited	0.00%	0.00%	0.74%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of companies listed in Australia.
Dimensional Emerging Markets Trust	DFA Australia Limited	0.00%	0.00%	1.12%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies associated with approved emerging markets.
Dimensional Five-Year Diversified FI NZD	DFA Australia Limited	0.00%	0.00%	0.68%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	Within the risk constraints of investing in eligible short-term, high credit quality instruments, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest and money market securities.
Dimensional Gbl Sustainability NZD Hdg	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.87% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class">https://au.dimensionalfund.com/funds/global-sustainability-trust-unhedged-class</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
Dimensional Global Bond Trust NZD	DFA Australia Limited	0.00%	0.00%	0.86%	<a href="https://au.dimensionalfund.com/">https://au.dimensionalfund.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Dimensional Global Core Equity NZD Hgd	DFA Australia Limited	0.00%	0.00%	0.79%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
Dimensional Global Core Equity Trust	DFA Australia Limited	0.00%	0.00%	0.79%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.
Dimensional Global Real Estate NZD Hgd	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensional.com/funds/global-real-estate-trust">https://au.dimensional.com/funds/global-real-estate-trust</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
Dimensional Global Real Estate Trust	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://au.dimensional.com/funds/global-real-estate-trust">https://au.dimensional.com/funds/global-real-estate-trust</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
Dimensional Global Small Company Trust	DFA Australia Limited	Buy Spread 0.10%, Sell Spread 0.10%	None noted per PDS	1.06%	<a href="https://au.dimensional.com/funds/global-real-estate-trust">https://au.dimensional.com/funds/global-real-estate-trust</a>	The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.
Dimensional Global Value Trust	DFA Australia Limited	0.00%	0.00%	0.86%	<a href="https://au.dimensional.com/">https://au.dimensional.com/</a>	To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Ebos Group Limited – NZD (EBO)	Ebos Group Limited	0.00%	0.00%	0.40%	<a href="http://www.ebosgroup.com/">http://www.ebosgroup.com/</a>	EBOS Group is the largest and most diversified Australasian marketer, wholesaler and distributor of healthcare, medical and pharmaceutical products. It is also a leading Australasian animal care products marketer and distributor.
Edinburgh Dragon Trust Plc – GBP (EFM)	Edinburgh Dragon Trust Plc	0.00%	0.00%	1.55%	<a href="http://www.edinburghdragon.co.uk/itedinburghdragon/">http://www.edinburghdragon.co.uk/itedinburghdragon/</a>	To achieve long term capital growth through investment in the Far East. The company's benchmark index is the MSCI All Country Asia (ex Japan) Index. Investments are made in stock markets in the region, with the exception of Japan and Australasia, principally in large companies. When appropriate, the trust will utilise gearing to maximise long term returns.
Edinburgh Worldwide Investment Trust Plc – GBP (EWI)	Edinburgh Worldwide Investment Trust	0.00%	0.00%	1.01%	<a href="http://www.hl.co.uk/shares/shares-search-results/e/edinburgh-investment-trust-ord-25p-share">http://www.hl.co.uk/shares/shares-search-results/e/edinburgh-investment-trust-ord-25p-share</a>	To achieve capital growth at a higher rate than the FTSE All-Share Index and dividend growth above the UK rate of inflation. The Company will generally invest in companies quoted on a recognised stock exchange in the UK. The Company may also invest up to 20% of the market value of the Company's investment portfolio, measured at the time of any acquisition, in securities listed on stock exchanges outside the UK. The portfolio is selected by the Manager on the basis of its assessment of the fundamental value available in individual securities.
Entertainment One Limited – GBP (ETO.L)	Entertainment One Limited	0.00%	0.00%	0.40%	<a href="https://www.entertainmentone.com/">https://www.entertainmentone.com/</a>	Entertainment One Ltd. is an independent international entertainment group specialising in the acquisition, production and distribution of film and television content across all media.
EROAD Limited – NZD (ERD)	EROAD Limited	0.00%	0.00%	0.40%	<a href="http://www.eroad.co.nz/">http://www.eroad.co.nz/</a>	EROAD is a fully integrated technology, tolling and services provider. We were the first company to implement a GNSS/cellular-based road charging solution across an entire country. Our advanced technology provides road charging, compliance and commercial services with the same platform to lower overall client and delivery costs.
Estia Health Limited – AUD (EHE)	Estia Health Limited	0.00%	0.00%	0.40%	<a href="http://www.estiahealth.com.au/">http://www.estiahealth.com.au/</a>	Estia Health Limited provides aged care services. The Company operates a network of retirement homes, providing housing, nursing, food preparation, community building, and related services. Estia Health serves residents throughout Victoria, Australia.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Eurobond National Capital Trust @ 5.62% Mat 29/09/2049 – GBP	National Capital Trust	0.00%	0.00%	0.40%	<a href="http://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapId=32337568">http://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapId=32337568</a>	National Capital Trust I operates as a subsidiary of National Australia Bank Limited.
F&C Commercial Property Trust Ltd	F&C Commercial Property Trust Ltd	0.00%	0.00%	0.40%	<a href="http://www.fandc.com/uk/private-investors/investment-trusts/property/fandc-commercial-property-trust/">http://www.fandc.com/uk/private-investors/investment-trusts/property/fandc-commercial-property-trust/</a>	The trust is a vehicle for investors who wish to gain exposure to prime UK commercial property. It is a constituent of the FTSE 250 Index and aims to provide shareholders with an attractive level of income together with the potential for capital and income growth from investing in a diversified UK commercial property portfolio.
F&C Global Smaller Companies PLC – GBP (FCS)	Foreign & Colonial PLC	0.00%	0.00%	1.2%	<a href="http://www.fandc.com/foreign-and-colonial-investment-trust/">http://www.fandc.com/foreign-and-colonial-investment-trust/</a>	Foreign & Colonial Investment Trust aims to grow your capital and income over the mid to long term. To find the best opportunities for your money to grow, we invest globally: In the shares, or equities, of well established companies listed on the world's major stock markets, in rising star companies in developing markets, in some long-term less liquid investments, such as private equity.
F&C Smaller Companies PLC	Foreign & Colonial PLC	0.00%	0.00%	1.2%	<a href="http://www.fandc.com/foreign-and-colonial-investment-trust/">http://www.fandc.com/foreign-and-colonial-investment-trust/</a>	Foreign & Colonial Investment Trust aims to grow your capital and income over the mid to long term. To find the best opportunities for your money to grow, we invest globally: In the shares, or equities, of well established companies listed on the world's major stock markets, in rising star companies in developing markets, in some long-term less liquid investments, such as private equity.
Facebook Inc	Facebook Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapId=20765463&amp;goback=.nsf_type_1">https://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapId=20765463&amp;goback=.nsf_type_1</a>	Facebook, Inc. provides various products to connect and share through mobile devices, personal computers, and other surfaces worldwide. Its solutions include Facebook Website and mobile application that enables people to connect, share, discover, and communicate each other on mobile devices and personal computers;
Fidelity Asian Values PLC	Fidelity Asian Values PLC	0.00%	0.00%	0.40%	<a href="https://investment-trusts.fidelity.co.uk/fidelity-asian-values/">https://investment-trusts.fidelity.co.uk/fidelity-asian-values/</a>	Fidelity Asian Values PLC provides shareholders with a differentiated equity exposure to Asian Markets
Fidelity China Special Situations PLC – GBP (FCSS)	Fidelity China Special Situations PLC	0.00%	0.00%	0.40%	<a href="https://www.fidelity.co.uk/investmenttrusts/investment-range/china-special-situations-plc.page">https://www.fidelity.co.uk/investmenttrusts/investment-range/china-special-situations-plc.page</a>	To achieve long-term capital growth from an actively managed portfolio made up primarily of securities issued by companies listed in China or Hong Kong and Chinese companies listed elsewhere. The Company may also invest in listed companies with significant interests in China and Hong Kong.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Fidelity European Values – GBP (FEV)	Fidelity European Values	0.00%	0.00%	0.40%	<a href="https://www.fidelity.co.uk/investmenttrusts/default.page">https://www.fidelity.co.uk/investmenttrusts/default.page</a>	To achieve long-term capital growth principally from the stockmarkets of continental Europe.
Fidelity Investment Trust – Fidelity Special Values Plc – GBP (FSV)	Fidelity Investment Trust – Fidelity Special Value	0.00%	0.00%	0.40%	<a href="https://investment-trusts.fidelity.co.uk/fidelity-special-values/">https://investment-trusts.fidelity.co.uk/fidelity-special-values/</a>	Fidelity Special Values PLC's aim is to achieve long term capital growth for investors by investing in special situations. It is an actively managed contrarian investment trust that thrives on volatility and uncertainty.
Fiducian India	Fiducian India	0.00%	0.00%	0.40%	<a href="http://www.fiducian.com.au/Content/FndsMgtFundsIndia.aspx">http://www.fiducian.com.au/Content/FndsMgtFundsIndia.aspx</a>	The Fiducian India Fund provides investors with the unique opportunity to invest in a well-diversified range of companies listed on Indian stock exchanges. It is managed by carefully chosen Indian fund managers who are based in India and can satisfy Fiducian's selection criteria. These fund managers employ large teams of research analysts with substantial local knowledge of the many languages, business processes in different geographical regions, economic activity and regulatory environment.
Finsbury Growth & Income Trust – GBP (FGT)	Finsbury Growth & Income Trust	0.00%	0.00%	0.40%	<a href="http://www.finsburygt.com/">http://www.finsburygt.com/</a>	<p>Finsbury Growth &amp; Income Trust PLC ("the Company") invests principally in the securities of UK quoted companies, whilst up to a maximum of 20% of the Company's portfolio, at the time of acquisition, can be invested in quoted companies worldwide.</p> <p>Where possible, a minimum position size of 1% of the Company's gross assets is held unless the holding concerned is being built or disposed of.</p> <p>The portfolio will normally comprise approximately 30 investments. Unless driven by market movements, securities in FTSE 100 companies and comparable companies listed on an overseas stock exchange will normally represent between 50% and 100% of the portfolio; securities in FTSE 350 companies and comparable companies listed on overseas stock exchanges will normally represent at least 70% of the portfolio.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
First Majestic Silver Corp – USD (AG)	First Majestic Silver Corp	0.00%	0.00%	0.40%	<a href="http://www.firstmajestic.com/">http://www.firstmajestic.com/</a>	First Majestic is a mining company focused on silver production in Mexico and is aggressively pursuing the development of its existing mineral property assets. The Company presently owns and operates six producing silver mines; the La Parrilla Silver Mine, the San Martin Silver Mine, the La Encantada Silver Mine, the La Guitarra Silver Mine, Del Toro Silver Mine and the Santa Elena Silver Mine. Production from these six mines is anticipated to be between 10.7 to 11.9 million ounces of pure silver or 16.8 to 18.7 million ounces of silver equivalents in 2016.
Fisher and Paykel Healthcare Corporation Limited – NZD (FPH)	Fisher and Paykel Healthcare Corporation Limited	0.00%	0.00%	0.40%	<a href="https://www.fphcare.com/">https://www.fphcare.com/</a>	Fisher & Paykel entered the respiratory care market in 1971 with the development of a unique respiratory humidifier system for use in critical care. We now offer a broad range of products and systems for use in respiratory and acute care and in the treatment of obstructive sleep apnea (OSA).
Fisher Funds BondPlus Fund (PIE)	Fisher Funds Management Limited	0.00%	0.00%	1.47%	<a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>	<p>The Fund is widely diversified across the world's financial markets for cash, bonds and foreign currencies. It predominantly invests in international fixed interest securities including sovereign debt, agency debt, corporate credits, mortgage-backed securities and asset-backed securities.</p> <p>The Fund may gain its investment exposure either directly (by buying the asset), indirectly (by investing in other managed funds which hold the asset, including other funds managed by FisherFunds) or artificially (by purchasing a Derivative instrument or "derivative" which has a price that is derived from the price of the underlying asset).</p>
Fisher Funds New Zealand Growth Fund (PIE)	Fisher Funds Management Limited	0.00%	0.00%	1.47%	<a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>	<p>The Fund is widely diversified across the world's financial markets for cash, bonds and foreign currencies. It predominantly invests in international fixed interest securities including sovereign debt, agency debt, corporate credits, mortgage-backed securities and asset-backed securities.</p> <p>The Fund may gain its investment exposure either directly (by buying the asset), indirectly (by investing in other managed funds which hold the asset, including other funds managed by FisherFunds) or artificially (by purchasing a Derivative instrument or "derivative" which has a price that is derived from the price of the underlying asset).</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Fisher Funds NZ Growth Fund	Fisher Funds Management Limited	0.00%	0.00%	1.47%	<a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>	<p>The Fund is widely diversified across the world's financial markets for cash, bonds and foreign currencies. It predominantly invests in international fixed interest securities including sovereign debt, agency debt, corporate credits, mortgage-backed securities and asset-backed securities.</p> <p>The Fund may gain its investment exposure either directly (by buying the asset), indirectly (by investing in other managed funds which hold the asset, including other funds managed by FisherFunds) or artificially (by purchasing a Derivative instrument or "derivative" which has a price that is derived from the price of the underlying asset).</p>
Fletcher Building Industries 5.00% 15/03/2023	Fletcher Building Limited	0.00%	0.00%	0.40%	<a href="https://fletcherbuilding.com/">https://fletcherbuilding.com/</a>	We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman.
Fletcher Building Limited – NZD (FBU)	Fletcher Building Limited	0.00%	0.00%	0.40%	<a href="http://www.fletcherbuilding.com/">http://www.fletcherbuilding.com/</a>	<p>Since 1909 we've been all about building a great company. While the world, our customers and our roles change, one thing is set in stone: we always build better, together.</p> <p>That's how our company was built: one partner, team, business, and community at a time, and that's what makes us different. We are more powerful together, because together we offer so much more.</p>
Fletcher Building Ltd	Fletcher Building Limited	0.00%	0.00%	0.40%	<a href="http://www.fletcherbuilding.com/">http://www.fletcherbuilding.com/</a>	<p>Since 1909 we've been all about building a great company. While the world, our customers and our roles change, one thing is set in stone: we always build better, together.</p> <p>That's how our company was built: one partner, team, business, and community at a time, and that's what makes us different. We are more powerful together, because together we offer so much more.</p>
Fonterra Co-Op Group Bonds @4.42% Mat 07/03/2023 – FCC040	Fonterra Co-Operative Group Limited	0.00%	0.00%	0.40%	<a href="http://www3.fonterra.com/nz/en.html">http://www3.fonterra.com/nz/en.html</a>	With a can-do attitude and a collaborative spirit, we're a world leading dairy exporter – shaping the industry in quality and innovation. We share the goodness of dairy nutrition with the world through our brands, farming and processing operations across four continents.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Fonterra Co-Op Group Limited Bonds @4.33% Mat 20/10/2021 – FCG030	Fonterra Co-Operative Group Limited	0.00%	0.00%	0.40%	<a href="http://www3.fonterra.com/nz/en.html">http://www3.fonterra.com/nz/en.html</a>	With a can-do attitude and a collaborative spirit, we're a world leading dairy exporter – shaping the industry in quality and innovation. We share the goodness of dairy nutrition with the world through our brands, farming and processing operations across four continents.
Fonterra Co-Op Group Ltd Notes @5.52% Mat 25/02/2020 – (FCG220)	Fonterra Co-Operative Group Limited	0.00%	0.00%	0.40%	<a href="http://www3.fonterra.com/nz/en.html">http://www3.fonterra.com/nz/en.html</a>	With a can-do attitude and a collaborative spirit, we're a world leading dairy exporter – shaping the industry in quality and innovation. We share the goodness of dairy nutrition with the world through our brands, farming and processing operations across four continents.
Fonterra Shareholders Fund Units – NZD (FSF)	Fonterra Co-Operative Group Limited	0.00%	0.00%	0.40%	<a href="http://www3.fonterra.com/nz/en.html">http://www3.fonterra.com/nz/en.html</a>	With a can-do attitude and a collaborative spirit, we're a world leading dairy exporter – shaping the industry in quality and innovation. We share the goodness of dairy nutrition with the world through our brands, farming and processing operations across four continents.
Ford Motor Company – USD (F)	Ford Motor Company	0.00%	0.00%	0.40%	<a href="http://corporate.ford.com/homepage.html">http://corporate.ford.com/homepage.html</a>	Ford Motor Company designs, manufactures, and services cars and trucks. The Company also provides vehicle-related financing, leasing, and insurance through its subsidiary.
Foreign & Colonial Investment Trust – GBP (FRCL)	Foreign & Colonial Investment Trust	0.00%	0.00%	1.2%	<a href="http://www.fandc.com/foreign-and-colonial-investment-trust/">http://www.fandc.com/foreign-and-colonial-investment-trust/</a>	Foreign & Colonial Investment Trust aims to grow your capital and income over the mid to long term. To find the best opportunities for your money to grow, we invest globally: In the shares, or equities, of well established companies listed on the world's major stock markets, in rising star companies in developing markets, in some long-term less liquid investments, such as private equity.
Freightways Limited – NZD (FRE)	Freightways Limited	0.00%	0.00%	0.40%	<a href="http://www.freightways.co.nz/">http://www.freightways.co.nz/</a>	Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers – a pioneer in the express package industry in New Zealand. Since commencing operations in Auckland, Freightways has grown organically and by acquisition to become a leading New Zealand service provider, with representation in every major town and city throughout the country.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Freightways Ltd	Freightways Limited	0.00%	0.00%	0.40%	<a href="http://www.freightways.co.nz/">http://www.freightways.co.nz/</a>	Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers – a pioneer in the express package industry in New Zealand. Since commencing operations in Auckland, Freightways has grown organically and by acquisition to become a leading New Zealand service provider, with representation in every major town and city throughout the country.
Fundsmith Emerging Equities Trust – GBP (FEET)	Fundsmith Emerging Equities Trust	0.00%	0.00%	0.40%	<a href="https://www.feetplc.co.uk/">https://www.feetplc.co.uk/</a>	The Company's investment policy is to invest in companies which, in the opinion of the Investment Manager, have the majority of their operations in, or revenue derived from, Developing Economies and which provide direct exposure to the rise of the consumer classes in those countries. The Investment Manager intends to find companies which make their money by a large number of everyday, repeat, relatively predictable transactions. Its strategy is to not overpay when buying the shares of such companies and then do as little dealing as possible in order to minimise the expenses of the Company, allowing the investee companies' returns to compound for Shareholders with minimum interference.
Future Generation Global Investment Co – AUD (FGG)	Future Generation Global Investments	0.00%	0.00%	0.40%	<a href="http://futuregeninvest.com.au/lic/future-generation-global-investment-company/">http://futuregeninvest.com.au/lic/future-generation-global-investment-company/</a>	Listed in 2015, FGG is Australia's first internationally focused listed investment company (LIC) with the dual objectives of providing shareholders with diversified exposure to global equities and changing the lives of young Australians affected by mental illness.
General Electric Company – USD (GE)	General Electric Company	0.00%	0.00%	0.40%	<a href="http://www.ge.com/nz/">http://www.ge.com/nz/</a>	GE was first established in New Zealand in 1982. Today, GE is made up of 6 businesses employing over 600 people based across the country. From jet engines to power generation, financial services and medical imaging to news and information, GE people worldwide are dedicated to turning imaginative ideas into leading products and services that help solve some of the world's toughest problems



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Genesis Energy Limited – NZD (GNE)	Genesis Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>	Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.
Genesis Energy Limited Capital Bonds @ 4.65% Mat 16/07/2048 – NZD (GNE050)	Genesis Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>	Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.
Genesis Energy Ltd	Genesis Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>	Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.
Genesis Energy Ltd Bonds @ 4.14% mat 18/03/2022 – NZD (GNE030)	Genesis Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>	Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.
Gentrack Group Limited – NZD (GTK)	Gentrack Group	0.00%	0.00%	0.40%	<a href="http://www.gentrack.com/">http://www.gentrack.com/</a>	Gentrack has an extensive history of developing, implementing and supporting its specialist software for energy utilities, water companies and airports. Established in 1989, Gentrack now has over 150 utilities and airports using its software – including some of the most innovative utilities companies in Australasia and the UK, and Tier 1 airports around the world.
GlaxoSmithKline plc	Glaxosmithkline Plc	0.00%	0.00%	0.40%	<a href="http://www.gentrack.com/">http://www.gentrack.com/</a>	Gentrack has an extensive history of developing, implementing and supporting its specialist software for energy utilities, water companies and airports. Established in 1989, Gentrack now has over 150 utilities and airports using its software – including some of the most innovative utilities companies in Australasia and the UK, and Tier 1 airports around the world.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Glaxosmithkline Plc - GBP (GSK)	Glaxosmithkline Plc	0.00%	0.00%	0.40%	<a href="http://www.gsk.com/">http://www.gsk.com/</a>	Today there are still millions of people without access to basic healthcare, thousands of diseases without adequate treatments and millions more people who suffer from everyday ailments. At GSK we want to change this.
Global Bond Fund (NS) Units - NZD (GBF)	Global Bond Trust	0.00%	0.00%	0.94%	<a href="https://smartshares.co.nz/types-of-funds/smartincome/globalbondtrust">https://smartshares.co.nz/types-of-funds/smartincome/globalbondtrust</a>	The Global Bond Fund invests in international fixed interest assets, with the objective of outperforming the Barclays Global Aggregate Index by 1% per annum over rolling 3-year periods, hedged against the New Zealand dollar. The investment manager for the fund is PIMCO Australia Pty Ltd.
Goodman Group Limited - AUD (GMG)	Goodman Group Limited	0.00%	0.00%	0.40%	<a href="https://nz.goodman.com/investor-centre/goodman-bonds">https://nz.goodman.com/investor-centre/goodman-bonds</a>	Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability.
Goodman Property Trust	Goodman Property Trust	0.00%	0.00%	0.40%	<a href="https://nz.goodman.com/investor-centre/goodman-bonds">https://nz.goodman.com/investor-centre/goodman-bonds</a>	Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability.
Goodman Property Trust Units - NZD (GMT)	Goodman Property Trust	0.00%	0.00%	0.40%	<a href="https://nz.goodman.com/investor-centre/goodman-bonds">https://nz.goodman.com/investor-centre/goodman-bonds</a>	Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability.
Goodman+Bonds Fixed Rate Notes @ 4% Mat 01/09/2023 - (GMB050)	Goodman Property Trust	0.00%	0.00%	0.40%	<a href="https://nz.goodman.com/investor-centre/goodman-bonds">https://nz.goodman.com/investor-centre/goodman-bonds</a>	Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability.
Goodman+Bonds Fixed Rate Notes @ 5.00% mat 23/06/2022 (GMB030)	Goodman Property Trust	0.00%	0.00%	0.40%	<a href="https://nz.goodman.com/investor-centre/goodman-bonds">https://nz.goodman.com/investor-centre/goodman-bonds</a>	Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Google Inc	Google Inc	0.00%	0.00%	0.40%	<a href="https://www.google.com/intl/en/about/">https://www.google.com/intl/en/about/</a>	Google Inc. is an American company, based in Mountain View, California that specializes in internet services, most notably as a search engine. Google search is known worldwide and has the largest market share in the field of internet search.
Hallenstein Glasson Holdings Limited - NZD (HLG)	Hallenstein Glasson Holdings Limited	0.00%	0.00%	0.40%	<a href="http://www.hallensteinglasson.co.nz/">http://www.hallensteinglasson.co.nz/</a>	Hallensteins is New Zealand's iconic menswear store. Recognised for its fashion and value equation, generations of New Zealand's males have been loyal customers. Stores are located in most metropolitan areas throughout New Zealand.
Harbour Australasian Equity Focus Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.35%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	To deliver medium to long term capital growth through investing in quality businesses with strong growth through investing in quality businesses with strong growth prospects.
Harbour Australasian Equities Fund	Harbour Asset Management Limited	0.00%	0.00%	1.5%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	Harbour believes in a growth orientated active investment management approach to generate out-performance for a client's portfolio. Harbour's investment process seeks to identify companies with strong prospective growth in earnings which are under-appreciated by the market. We combine the talents of Harbour's experienced analysts (who place fundamental ratings on companies) with quantitative analysis of growth and quality factors such as Return on Equity. We believe that the combination of bottom up company research with consistent screening across the market provides a disciplined approach to investing.
Harbour Australasian Equity Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.5%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	Harbour believes in a growth orientated active investment management approach to generate out-performance for a client's portfolio. Harbour's investment process seeks to identify companies with strong prospective growth in earnings which are under-appreciated by the market. We combine the talents of Harbour's experienced analysts (who place fundamental ratings on companies) with quantitative analysis of growth and quality factors such as Return on Equity. We believe that the combination of bottom up company research with consistent screening across the market provides a disciplined approach to investing.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Harbour Australasian Equity Income Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.45%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund is designed to generate attractive levels of quarterly income from a diversified portfolio of New Zealand and Australian listed equities that pay sustainable and growing dividend yields as well as holding cash and fixed interest securities.
Harbour Australasian Equity Income Fund R	Harbour Asset Management Limited	0.00%	0.00%	1.45%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund is designed to generate attractive levels of quarterly income from a diversified portfolio of New Zealand and Australian listed equities that pay sustainable and growing dividend yields as well as holding cash and fixed interest securities.
Harbour Income Fund (PIE)	Harbour Asset Management Limited	None noted in PDS	None noted per PDS	1.03% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.harbourasset.co.nz/wp-content/uploads/2017/12/Harbour-Product-Disclosure-Statement-December-2017-final.pdf">https://www.harbourasset.co.nz/wp-content/uploads/2017/12/Harbour-Product-Disclosure-Statement-December-2017-final.pdf</a>	The Fund is designed to give a favourable level of income. The Fund invests predominantly in New Zealand investment grade fixed interest securities and Australasian Equities which pay a sustainable dividend yield. Other tools, such as active management and scope to invest in sub investment grade securities may also be used to enhance returns.
Harbour NZ Core FI Fund	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund aims to generate returns from two activities. The majority of returns will come from investing in a diversified range of New Zealand government and corporate fixed income securities. This enables the Fund to capture the premium above cash that medium to longer-term securities typically offer. Additional returns are targeted from research-driven, active investment decisions. We will increase the duration or credit exposure of the Fund when these are offering attractive returns. Conversely, we will reduce or hedge positions when we believe there is insufficient compensation for these risks. At all times, we emphasize the use of low-cost, efficient hedging instruments, and ensuring the Fund has a strong liquidity position.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Harbour NZ Core Fixed Interest Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund aims to generate returns from two activities. The majority of returns will come from investing in a diversified range of New Zealand government and corporate fixed income securities. This enables the Fund to capture the premium above cash that medium to longer-term securities typically offer. Additional returns are targeted from research-driven, active investment decisions. We will increase the duration or credit exposure of the Fund when these are offering attractive returns. Conversely, we will reduce or hedge positions when we believe there is insufficient compensation for these risks. At all times, we emphasize the use of low-cost, efficient hedging instruments, and ensuring the Fund has a strong liquidity position.
Harbour NZ Core Fixed Interest Fund (PIE) – SWM	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund aims to generate returns from two activities. The majority of returns will come from investing in a diversified range of New Zealand government and corporate fixed income securities. This enables the Fund to capture the premium above cash that medium to longer-term securities typically offer. Additional returns are targeted from research-driven, active investment decisions. We will increase the duration or credit exposure of the Fund when these are offering attractive returns. Conversely, we will reduce or hedge positions when we believe there is insufficient compensation for these risks. At all times, we emphasize the use of low-cost, efficient hedging instruments, and ensuring the Fund has a strong liquidity position.
Harbour NZ Corporate Bond Fund	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides access to favourable income yields through a diversified portfolio of primarily investment grade corporate bond fixed interest securities.
Harbour NZ Corporate Bond Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides access to favourable income yields through a diversified portfolio of primarily investment grade corporate bond fixed interest securities.
Harbour NZ Corporate Bond Fund (PIE) – Consilium	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides access to favourable income yields through a diversified portfolio of primarily investment grade corporate bond fixed interest securities.
Harbour NZ Corporate Bond Fund (Synergy)	Harbour Asset Management Limited	0.00%	0.00%	1.03%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides access to favourable income yields through a diversified portfolio of primarily investment grade corporate bond fixed interest securities.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Harbour NZ Equity Advanced Beta Fund	Harbour Asset Management Limited	0.00%	0.00%	0.94%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides exposure to New Zealand equities. The Fund is designed to track the market index with some quantitative tilting to selected companies. 70% of the Fund mirrors the market index with the remaining 30% of the Fund tilted towards those companies in the index that score well on our financial models for value, yield & growth.
Harbour NZ Equity Advanced Beta Fund (PIE) – Consilium	Harbour Asset Management Limited	0.00%	0.00%	0.94%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund provides exposure to New Zealand equities. The Fund is designed to track the market index with some quantitative tilting to selected companies. 70% of the Fund mirrors the market index with the remaining 30% of the Fund tilted towards those companies in the index that score well on our financial models for value, yield & growth.
Harbour Wholesale AU Equity Focus Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.35%	<a href="https://www.harbourasset.co.nz/assets/Legal/FMA-Docs/c79d4f7a93/Harbour-Product-Disclosure-Statement-16-February-2018.pdf">https://www.harbourasset.co.nz/assets/Legal/FMA-Docs/c79d4f7a93/Harbour-Product-Disclosure-Statement-16-February-2018.pdf</a>	To deliver medium to long term capital growth through investing in quality businesses with strong growth through investing in quality businesses with strong growth prospects.
Harbour Wholesale NZ Core Fixed Interest Fund (PIE)	Harbour Asset Management Limited	None noted in PDS	None noted per PDS	1.03% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.harbourasset.co.nz/wp-content/uploads/2017/12/Harbour-Product-Disclosure-Statement-December-2017-final.pdf">https://www.harbourasset.co.nz/wp-content/uploads/2017/12/Harbour-Product-Disclosure-Statement-December-2017-final.pdf</a>	The Fund is an actively managed investment grade bond fund that invests mainly in New Zealand Government bond and corporate bond fixed interest securities.
Harbour Wholesale NZ Short Duration Fund (PIE)	Harbour Asset Management Limited	0.00%	0.00%	1.0%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Harbour NZ Short Duration Fund is an investment grade portfolio that holds liquid money market securities and short-term maturity corporate bonds, all denominated in New Zealand Dollars. The Fund is designed to earn a premium over 90 day bank bills, while aiming to avoid the volatility of traditional fixed interest funds.
Hargreaves Lansdown PLC	Hargreaves Lansdown PLC	0.00%	0.00%	0.40%	<a href="http://www.hl.co.uk/">http://www.hl.co.uk/</a>	Hargreaves Lansdown plc is a financial service company based in Bristol that sells funds and shares and related products via its website and through the post to retail investors in the United Kingdom. The company is listed on the London Stock Exchange and is a constituent of the FTSE 100 Index.
Heartland Bank Limited	Heartland Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.heartland.co.nz/default.aspx">https://www.heartland.co.nz/default.aspx</a>	We pride ourselves on delivering the best personal service for our customers. We work hard to earn and retain our customers' trust and loyalty every day, and develop long-standing relationships as a result. Our team of locals are available by phone, mail, email or in person to help you.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Heartland Bank Limited Ordinary Share – NZD (HBL)	Heartland Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.heartland.co.nz/default.aspx">https://www.heartland.co.nz/default.aspx</a>	We pride ourselves on delivering the best personal service for our customers. We work hard to earn and retain our customers' trust and loyalty every day, and develop long-standing relationships as a result. Our team of locals are available by phone, mail, email or in person to help you.
Heartland Bank Ltd 3.70% 15/02/2019	Heartland Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.heartland.co.nz/default.aspx">https://www.heartland.co.nz/default.aspx</a>	We pride ourselves on delivering the best personal service for our customers. We work hard to earn and retain our customers' trust and loyalty every day, and develop long-standing relationships as a result. Our team of locals are available by phone, mail, email or in person to help you.
Heartland Bank Ltd 3.70% 16/05/2019	Heartland Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.heartland.co.nz/default.aspx">https://www.heartland.co.nz/default.aspx</a>	We pride ourselves on delivering the best personal service for our customers. We work hard to earn and retain our customers' trust and loyalty every day, and develop long-standing relationships as a result. Our team of locals are available by phone, mail, email or in person to help you.
Henderson Diversified Income	Henderson Diversified Income	0.00%	0.00%	1.50%	<a href="https://www.henderson.com/ukpi/fund/195/henderson-diversified-income-limited">https://www.henderson.com/ukpi/fund/195/henderson-diversified-income-limited</a>	The Company seeks to provide shareholders with a high level of income, and capital growth over the long term. The Company aims to deliver these outcomes by investing selectively across the full spectrum of fixed income asset classes including secured loans, high yield corporate bonds and investment grade corporate bonds.
Henderson Diversified Income – GBP (HDIV)	Henderson Diversified Income	0.00%	0.00%	1.50%	<a href="https://www.henderson.com/ukpi/fund/195/henderson-diversified-income-limited">https://www.henderson.com/ukpi/fund/195/henderson-diversified-income-limited</a>	The Company seeks to provide shareholders with a high level of income, and capital growth over the long term. The Company aims to deliver these outcomes by investing selectively across the full spectrum of fixed income asset classes including secured loans, high yield corporate bonds and investment grade corporate bonds.
Henderson Euro Trust PLC – GBP (HNE)	Henderson Euro Trust PLC	0.00%	0.26%	1.42%	<a href="https://www.janushenderson.com/ukpi/fund/200/henderson-eurotrust-plc">https://www.janushenderson.com/ukpi/fund/200/henderson-eurotrust-plc</a>	The Company aims to achieve a superior total return from a portfolio of high quality European (excluding the UK) investments.
Henderson Far East Income Ltd – GBP (HFEL)	Henderson Far East Income Ltd	0.00%	0.00%	1.46%	<a href="https://www.henderson.com/ukpi/fund/201/henderson-far-east-income-limited">https://www.henderson.com/ukpi/fund/201/henderson-far-east-income-limited</a>	Henderson Far East Income aims to provide a high level of dividend as well as capital appreciation from a diversified portfolio of investments traded on the Pacific, Australasian, Japanese and Indian stock markets.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
HICL Infrastructure Co Ltd – GBP (HICL)	HICL Infrastructure Co Ltd	0.00%	0.00%	0.40%	<a href="https://hicl.com/">https://hicl.com/</a>	HICL Infrastructure Company Limited (HICL) is a long-term equity investor in infrastructure, working with public sector clients to deliver high-quality projects which support the community and provide essential public services.
HSBC Holdings Plc – GBP (HSBA)	HSBC Holdings Plc	0.00%	0.00%	0.40%	<a href="http://www.hsbc.com/">http://www.hsbc.com/</a>	Founded in 1865 to finance trade between Asia and the West, today HSBC is one of the world's largest banking and financial services organisations serving around 46 million customers. Our aim is to be acknowledged as the world's leading and most respected international bank.
Impax Environmental Markets Plc – GBP (IEM.L)	Impax Environmental Markets Plc	0.00%	0.00%	1.53%	<a href="http://impaxenvironmentalmarkets.co.uk/">http://impaxenvironmentalmarkets.co.uk/</a>	The Company's objective is to enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. Investments are made predominantly in quoted companies which provide, utilise, implement or advise upon technology-based systems, products or services in environmental markets, particularly those of alternative energy and energy efficiency, water treatment and pollution control, and waste technology and resource management (which includes sustainable food, agriculture and forestry).
Infratil Infrastructure Bonds (PIIBs) @ 3.50% – NZD (IFTA)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/for-investors/bonds/">https://infratil.com/for-investors/bonds/</a>	All Infratil Infrastructure Bonds are listed on the NZX and most trade on a regular basis. Most investors however hold to maturity so trading is much less than for Infratil's shares. The secondary market price tends to reflect the number of buyers and sellers and fluctuates over time.
Infratil Limited – NZD (IFT)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/">https://infratil.com/</a>	Investment is principally in energy, transport and social infrastructure businesses. Within those sectors the priority is for companies that have an opportunity to grow so that if they are well managed they will be able to invest additional capital to improve earnings and valuations.
Infratil Limited Bonds @ 5.65% Mat 15/12/2022 – NZD (IFT240)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/">https://infratil.com/</a>	Investment is principally in energy, transport and social infrastructure businesses. Within those sectors the priority is for companies that have an opportunity to grow so that if they are well managed they will be able to invest additional capital to improve earnings and valuations.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Infratil Limited Bonds @6.75% Mat 15/11/2019 - NZD (IFT200)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/">https://infratil.com/</a>	Investment is principally in energy, transport and social infrastructure businesses. Within those sectors the priority is for companies that have an opportunity to grow so that if they are well managed they will be able to invest additional capital to improve earnings and valuations.
Infratil Limited Infrastructure Bonds @6.85% 15/11/2018 - (IFT180)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/">https://infratil.com/</a>	Investment is principally in energy, transport and social infrastructure businesses. Within those sectors the priority is for companies that have an opportunity to grow so that if they are well managed they will be able to invest additional capital to improve earnings and valuations.
Infratil Limited Unsub Bonds @5.25% Mat 15/09/2023 - NZD (IFT210)	Infratil Limited	0.00%	0.00%	0.40%	<a href="https://infratil.com/">https://infratil.com/</a>	Investment is principally in energy, transport and social infrastructure businesses. Within those sectors the priority is for companies that have an opportunity to grow so that if they are well managed they will be able to invest additional capital to improve earnings and valuations.
Insurance Australia Group @ 5.15% Mat 15/06/2043 - NZD (IAGFB)	Insurance Australia Group Limited	0.00%	0.00%	0.40%	<a href="https://www.iag.com.au/">https://www.iag.com.au/</a>	Insurance Australia Group Limited (IAG) is the parent company of a general insurance group with controlled operations in New Zealand, Australia, Thailand, and Vietnam, employing over 13,500 people. Its current businesses underwrite approaching \$10 billion of gross written premium (GWP) per annum, selling insurance under many leading brands including NZI, Lumley, State and AMI (New Zealand); NRMA Insurance, CGU, SGIO, SGIC, and Swann (Australia); Safety and NZI (Thailand); and AAA Assurance (Vietnam). IAG also has interests in general insurance joint ventures in Malaysia, India and China.
Insurance Australia Group Limited - AUD (IAG)	Insurance Australia Group Limited	0.00%	0.00%	0.40%	<a href="https://www.iag.com.au/">https://www.iag.com.au/</a>	Insurance Australia Group Limited (IAG) is the parent company of a general insurance group with controlled operations in New Zealand, Australia, Thailand, and Vietnam, employing over 13,500 people. Its current businesses underwrite approaching \$10 billion of gross written premium (GWP) per annum, selling insurance under many leading brands including NZI, Lumley, State and AMI (New Zealand); NRMA Insurance, CGU, SGIO, SGIC, and Swann (Australia); Safety and NZI (Thailand); and AAA Assurance (Vietnam). IAG also has interests in general insurance joint ventures in Malaysia, India and China.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Invesco DB Commodity Index Tracking Fund – USD (DBC)	PowerShares DB Commodity Index Tracking Fund	0.00%	0.00%	1.25%	<a href="https://www.invesco.com/static/us/investors/contentdetail?contentId=1fd207c649400410VgnVCM10000046f1bf0aRCRD">https://www.invesco.com/static/us/investors/contentdetail?contentId=1fd207c649400410VgnVCM10000046f1bf0aRCRD</a>	The Invesco DB Commodity Index Tracking Fund seeks to track changes, whether positive or negative, in the level of the DBIQ Optimum Yield Diversified Commodity Index Excess Return™ (DBIQ Opt Yield Diversified Comm Index ER) plus the interest income from the Fund's holdings of primarily US Treasury securities and money market income less the Fund's expenses.
Invesco Income Growth Trust Plc – GBP (IVI)	Invesco Income Growth Trust Plc	0.00%	0.00%	1.19%	<a href="https://www.invescopetual.co.uk/portal/site/ip/products/productDetail?contentId=b9343a3d7485f210VgnVCM1000002e1ebf0aRCRD">https://www.invescopetual.co.uk/portal/site/ip/products/productDetail?contentId=b9343a3d7485f210VgnVCM1000002e1ebf0aRCRD</a>	The Company's investment objective is to produce income and capital growth superior to that of the UK stock market and dividends paid quarterly that, over time, grow above the rate of inflation.
Invesco QQQ Trust – Series 1 USD (QQQ)	Powershares QQQ Trust	0.00%	0.00%	0.40%	<a href="https://www.invesco.com/static/us/financial-professional/contentdetail?contentId=3a48e01e98630410VgnVCM10000046f1bf0aRCRD&amp;dnsName=us">https://www.invesco.com/static/us/financial-professional/contentdetail?contentId=3a48e01e98630410VgnVCM10000046f1bf0aRCRD&amp;dnsName=us</a>	Invesco QQQ is an exchange-traded fund based on the Nasdaq-100 Index®. The Fund will, under most circumstances, consist of all of stocks in the Index. The Index includes 100 of the largest domestic and international nonfinancial companies listed on the Nasdaq Stock Market based on market capitalization. The Fund and the Index are rebalanced quarterly and reconstituted annually.
Investore Property Limited	Investore Property Limited	0.00%	0.00%	0.40%	<a href="http://www.investoreproperty.co.nz/">http://www.investoreproperty.co.nz/</a>	Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.
Investore Property Limited @ 4.40% Mat 18/04/2024 – (IPL010)	Investore Property Limited	0.00%	0.00%	0.40%	<a href="http://www.investoreproperty.co.nz/">http://www.investoreproperty.co.nz/</a>	Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.
Investore Property Ltd – NZD (IPL)	Investore Property Limited	0.00%	0.00%	0.40%	<a href="http://www.investoreproperty.co.nz/">http://www.investoreproperty.co.nz/</a>	Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.
Investors Mutual Australian Smaller Companies Fund (W)	Investors Mutual Limited	0.00%	0.00%	1.393%	<a href="http://www.iml.com.au/">http://www.iml.com.au/</a>	The Fund will invest in a diversified portfolio of quality ASX listed Australian and New Zealand shares outside the Top 100 shares listed on the ASX, where these shares are identified by our investment team as being undervalued.
iPath Dow-Jones UBS Commodity Index Total Return ETN – USD (DJP)	iPath Dow Jones	0.00%	0.00%	0.40%	<a href="http://www.ipathetn.com/US/16/en/home.app">http://www.ipathetn.com/US/16/en/home.app</a>	iPath® Exchange Traded Notes (ETNs) are innovative investment products from Barclays that seek to provide investors with a way to access the returns of a market or strategy, less investor fees and costs. An investment in iPath ETNs involves significant risks, including possible loss of principal, and may not be suitable for all investors.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares – IBOXX Sterling Corporate Bond Fund	iShares – IBOXX Sterling Corporate Bond Fund	0.00%	0.00%	0.60%	<a href="https://www.ishares.com">https://www.ishares.com</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations.
iShares Australian Equity Index	iShares Australian Equity Index	0.00%	0.00%	0.60%	<a href="https://www.ishares.com">https://www.ishares.com</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations.
iShares Barcap GBP Index Linked Gilts – GBP (INXG)	iShares Barcap GBP Index Linked Gilts	0.00%	0.00%	0.65%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of UK inflation-linked government bonds.
iShares Core FTSE 100 UCITS ETF – GBP (CUKX)	iShares Core FTSE 100 UCITS ETF	0.00%	0.00%	0.47%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of the 100 largest UK companies.
iShares Core UK Gilt UCITS ETF – GBP (IGLT)	iShares FTSE UK Gilt All Stocks	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated UK government bonds.
iShares Developed Markets Property Yield UCITS ETF – GBP (IWDP)	iShares Developed Markets Property Yield UCITS ETF	0.00%	0.00%	0.99%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of listed real estate companies and Real Estate Investment Trusts (REITS) from developed countries, excluding Greece, which also comply with dividend yield criteria.
iShares Dow Jones Sel Div – USD (DVY)	iShares Dow Jones Sel Div	0.00%	0.00%	0.71%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 100 stocks with leading dividend yields selected from companies in the U.S.
iShares Dow Jones US Financial Sector – USD (IYF)	iShares Dow Jones US Financial Sector	0.00%	0.00%	0.84%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares U.S. Financial Services ETF seeks to track the investment results of an index composed of US equities in the financial services sector.
iShares Dow Jones US Healthcare – USD (IYH)	iShares Dow Jones US Healthcare	0.00%	0.00%	0.84%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares U.S. Healthcare Provider ETF seeks to track the investment results of an index composed of US equities in the healthcare providers sector.
iShares Emerging Markets IMI Equity Idx	iShares Emerging Markets IMI Equity Idx	0.00%	0.00%	0.95%	<a href="https://www.ishares.com">https://www.ishares.com</a>	Seeks long-term capital growth by replicating the performance of the MSCI Emerging Markets Investable Market Index, net of expenses.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares Euro Stoxx 50 Plc – GBP (EUE)	iShares Euro Stoxx 50 Plc	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/uk/individual/en/products/251781/ishares-euro-stoxx-50-ucits-etf-inc-fund?siteEntryPassthrough=true&amp;locale=en_GB&amp;userType=individual">https://www.ishares.com/uk/individual/en/products/251781/ishares-euro-stoxx-50-ucits-etf-inc-fund?siteEntryPassthrough=true&amp;locale=en_GB&amp;userType=individual</a>	The fund is an exchange traded fund (ETF) that aims to track the performance of the EURO STOXX 50 Index as closely as possible. The ETF invests in physical index securities. The EURO STOXX 50 Index offers exposure to 50 of the largest stocks in the Euro zone. The index is free float market capitalisation weighted. iShares ETFs are funds managed by BlackRock. They are transparent, cost-efficient, liquid vehicles that trade on stock exchanges like normal securities. iShares ETFs offer flexible and easy access to a wide range of markets and asset classes.
iShares EURO STOXX Banks 30-15 UCITS ETF	iShares EURO STOXX Banks 30-15 UCITS ETF	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/uk/individual/en/products/251781/ishares-euro-stoxx-50-ucits-etf-inc-fund?siteEntryPassthrough=true&amp;locale=en_GB&amp;userType=individual">https://www.ishares.com/uk/individual/en/products/251781/ishares-euro-stoxx-50-ucits-etf-inc-fund?siteEntryPassthrough=true&amp;locale=en_GB&amp;userType=individual</a>	The fund is an exchange traded fund (ETF) that aims to track the performance of the EURO STOXX 50 Index as closely as possible. The ETF invests in physical index securities. The EURO STOXX 50 Index offers exposure to 50 of the largest stocks in the Euro zone. The index is free float market capitalisation weighted. iShares ETFs are funds managed by BlackRock. They are transparent, cost-efficient, liquid vehicles that trade on stock exchanges like normal securities. iShares ETFs offer flexible and easy access to a wide range of markets and asset classes.
iShares Europe ETF – AUD (IEU)	iShares Europe ETF	0.00%	0.00%	1.0%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The fund seeks to track the investment results of an index composed of European equities.
ishares FTSE 100 – GBP (ISF)	ishares FTSE 100	0.00%	0.00%	0.47%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of the 100 largest UK companies.
iShares FTSE 250 – GBP (MIDD)	iShares FTSE 250	0.00%	0.00%	0.80%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 250 mid cap UK companies that rank below the FTSE 100 Index.
iShares FTSE UK Dividend Plus – GBP (IUKD)	iShares FTSE UK Dividend Plus	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 50 stocks with leading dividend yields from UK listed companies, excluding investment trusts.
iShares GBP Corporate Bond – GBP (SLXX)	iShares GBP Corporate Bond	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.
iShares GBP Corporate Bond ex-Financials UCITS ETF	iShares GBP Corporate Bond ex-Financials UCITS ETF	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares Global Healthcare ETF	iShares Global Healthcare ETF	0.00%	0.00%	0.86%	<a href="https://www.ishares.com/us/products/239744/ishares-global-healthcare-etf">https://www.ishares.com/us/products/239744/ishares-global-healthcare-etf</a>	The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector.
iShares Global Inflation Linked Government Bonds UCITS ETF – GBP (SGIL)	iShares Global Inflation Linked Government Bonds	0.00%	0.00%	0.65%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of investment grade developed world inflation-linked government bonds issued in local currency.
iShares Global Infrastructure ETF Units – USD (IGF)	iShares Global Infrastructure ETF Units	0.00%	0.00%	0.87%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Global Infrastructure ETF seeks to track the investment results of an index composed of developed market equities in the infrastructure industry.
iShares Global Infrastructure UCITS ETF – GBP (INFR)	iShares Global Infrastructure	0.00%	0.00%	1.05%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 100 of the largest international infrastructure companies from both developed and emerging countries.
iShares Goldman Sachs Technology Index Fund	iShares Goldman Sachs Technology Index Fund	0.00%	0.00%	0.90%	<a href="https://www.sec.gov/Archives/edgar/data/1100663/000119312504062296/d497.htm#toc83162_19">https://www.sec.gov/Archives/edgar/data/1100663/000119312504062296/d497.htm#toc83162_19</a>	The iShares Goldman Sachs Technology Index Fund seeks investment results that correspond generally to the price and yield performance, before fees and expenses, of the Goldman Sachs Technology Sector Index (the "Index").
iShares iBoxx Corporate Bond ex-Financials – GBP (ISXF)	iShares iBoxx Corporate Bond ex-Financials	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations.
iShares II Plc iShares MSCI USA – GBP (HDIQ)	iShares II Plc iShares MSCI USA	0.00%	0.00%	0.90%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of companies from the U.S. and which comply with Shariah investment principles.
iShares IV Plc Ultrashort Bond UCITS ETF – GBP (ERNS)	iShares IV Plc Ultrashort Bond UCITS ETF	0.00%	0.00%	0.49%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds and quasi-government bonds.
iShares Listed Private Equity UCITS ETF – GBP (IPRV)	iShares Listed Private Equity UCITS ETF	0.00%	0.00%	0.98	<a href="https://www.ishares.com">https://www.ishares.com</a>	The Fund seeks to track the performance of an index composed of publicly-listed companies active in the private equity space.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares MSCI ACWI Index Fund -USD (ACWI)	iShares MSCI ACWI Index Fund	0.00%	0.00%	0.73%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares MSCI ACWI ETF seeks to track the investment results of an index composed of large and mid-capitalization developed and emerging market equities.
iShares MSCI China A UCITS EFT USD Acc – (IASH.LN)	iShares MSCI China A UCITS EFT	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/ch/intermediaries/en/products/273192/ishares-msci-china-a-ucits-etf">https://www.ishares.com/ch/intermediaries/en/products/273192/ishares-msci-china-a-ucits-etf</a>	The Fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the MSCI China A International Index, the Fund's benchmark index. The Fund aims to invest so far as possible and practicable in the equity securities (e.g. shares) that make up the benchmark index. The benchmark index measures the performance of large and midcapitalisation companies that are incorporated in the People's Republic of China (PRC) and traded in Renminbi on the Shanghai and Shenzhen Stock Exchanges.
iShares MSCI Emerging Markets – AUD (IEM)	iShares MSCI Emerging Markets	0.00%	0.00%	1.08%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the investment results of an index composed of large- and mid-capitalisation emerging market equities.
iShares MSCI Emerging Markets – GBP (IEEM)	iShares MSCI Emerging Markets	0.00%	0.00%	1.15%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of companies from emerging markets countries.
iShares MSCI Emerging Markets ETF	iShares MSCI Emerging Markets ETF	0.00%	0.00%	1.08%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Edge MSCI Emerging Markets ETF seeks to track the investment results of an index composed of emerging market equities that, in the aggregate, have lower volatility characteristics relative to the broader emerging equity markets.
iShares MSCI Emerging Markets Mini Vol – USD (EEMV)	iShares MSCI Emerging Markets Mini Vol	0.00%	0.00%	1.08%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Edge MSCI Min Vol Emerging Markets ETF seeks to track the investment results of an index composed of emerging market equities that, in the aggregate, have lower volatility characteristics relative to the broader emerging equity markets.
iShares MSCI Europe ex-UK GBP Hedged – GBP (EUXS)	iShares MSCI Europe ex-UK GBP Hedged UCITS ETF	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/uk/individual/en/products/272403/ishares-msci-europe-ex-uk-gbp-hedged-fund">https://www.ishares.com/uk/individual/en/products/272403/ishares-msci-europe-ex-uk-gbp-hedged-fund</a>	The Fund aims to achieve a return on your investment, through a combination of capital growth and income on the Fund's assets, which reflects the return of the MSCI Europe ex UK 100% Hedged to GBP Index, the Fund's benchmark index (Index).
iShares MSCI Europe Minimum Volatility – GBP (IMV)	iShares MSCI Europe Minimum Volatility	0.00%	0.00%	0.65%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of selected European companies that, in the aggregate, have lower volatility characteristics relative to the broader European equity market.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares MSCI Europe Minimum Volatility ETF – USD (EUMV)	iShares MSCI Europe Minimum Volatility ETF	0.00%	0.00%	0.65%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Edge MSCI Min Vol Europe ETF seeks to track the investment results of an index composed of European developed market equities that, in the aggregate, have lower volatility characteristics relative to the broader European developed equity markets.
iShares MSCI UK Small Cap Uciits ETF	iShares MSCI UK Small Cap Uciits ETF	0.00%	0.00%	0.98%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of small cap companies from the UK.
iShares MSCI UK Small Cap UCITS ETF – GBP (CUKS)	iShares MSCI UK Small Cap UCITS ETF	0.00%	0.00%	0.98%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of small cap companies from the UK.
iShares MSCI USA-B UCITS ETF – USD (CSUS)	iShares MSCI USA-B UCITS ETF	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/uk/individual/en/products/253740/ishares-msci-usa-b-ucits-etf">https://www.ishares.com/uk/individual/en/products/253740/ishares-msci-usa-b-ucits-etf</a>	The investment objective of the Fund is to deliver the net total return performance of the Reference Index (being the MSCI USA), less the fees and expenses and transaction costs of the Fund. The Reference Index is a broad-based equity index of securities generally incorporated in the United States of America. Securities listed on the New York Stock Exchange, NASDAQ, or the American Stock Exchange are eligible for inclusion. The Reference Index represents companies that are available to investors world wide and provides a representation of 10 sectors with 588 constituents as of 30 September 2011.
iShares MSCI World – GBP (IWRD)	iShares MSCI World	0.00%	0.00%	0.90%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of companies from developed countries.
iShares MSCI World Monthly GBP Hedged – GBP (IGWD)	iShares MSCI World Monthly GBP Hedged	0.00%	0.00%	0.95%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of companies which also hedges each currency exposure in the index back to GBP on a monthly basis.
iShares Nasdaq Biotechnology Index Fund	iShares NASDAQ Biotechnology Index Fund	0.00%	0.00%	0.87%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Nasdaq Biotechnology ETF seeks to track the investment results of an index composed of biotechnology and pharmaceutical equities listed on the NASDAQ.
iShares Plc Markit iBoxx Corp Bond 1-5 – GBP (IS15)	iShares Plc Markit iBoxx Corp Bond 1-5	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares PLC-IFTSE 100 Fund	iShares PLC-IFTSE 100 Fund	0.00%	0.00%	0.60%	<a href="https://www.ishares.com">https://www.ishares.com</a>	The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.
iShares Russell 2000 Index Fund – USD (IWM)	iShares Russell 2000 Index Fund	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Russell 2000 ETF seeks to track the investment results of an index composed of small-capitalization U.S. equities.
iShares S&P 500 Index Fund – AUD (IVV)	iShares S&P 500 Index Fund	0.00%	0.00%	0.44%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The fund seeks to track the investment results of an index composed of large-capitalization U.S. equities.
iShares S&P 500 Index Fund – USD (IVV)	iShares S&P 500 Index Fund	0.00%	0.00%	0.44%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Core S&P 500 ETF seeks to track the investment results of an index composed of large-capitalization U.S. equities.
iShares S&P 500 UCITS ETF DIST – GBP (IUSA)	iShares S&P 500 UCITS ETF DIST	0.00%	0.00%	0.44%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 500 large cap U.S. companies.
iShares S&P Asia 50 Index Fund – USD (AIA)	iShares S&P Asia 50 Index Fund	0.00%	0.00%	0.90%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Asia 50 ETF seeks to track the investment results of an index composed of 50 of the largest Asian equities.
iShares S&P Europe 350 Index Fund – USD (IEV)	iShares S&P Europe 350 Index Fund	0.00%	0.00%	1.0%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Europe ETF seeks to track the investment results of an index composed of European equities.
iShares S&P Global 100 – AUD (IOO)	iShares S&P Global 100	0.00%	0.00%	0.40%	<a href="https://www.ishares.com/us/products/239737/ishares-global-100-etf">https://www.ishares.com/us/products/239737/ishares-global-100-etf</a>	The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities.
iShares S&P Global Healthcare Index Fund – USD (IXJ)	iShares S&P Global Healthcare Index Fund	0.00%	0.00%	0.88%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector.
iShares S&P Global Healthcare Sector Index Fund	iShares S&P Global Healthcare Sector Index Fund	0.00%	0.00%	0.88%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector.
iShares S&P Global Technology Sector Index – USD (IXN)	iShares S&P Global Technology Sector Index	0.00%	0.00%	0.55%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of U.S. Information Technology Sector companies as defined by the Global Industry Classification Standard (GICS)



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
iShares STOXX Europe 600 Health Care	iShares STOXX Europe 600 Health Care	0.00%	0.00%	0.86%	<a href="https://www.ishares.com">https://www.ishares.com</a>	iShares STOXX Europe 600 Health Care UCITS ETF (DE) is an open-end, UCITS compliant exchange traded fund incorporated in Germany. The fund aims to track the performance of the STOXX Europe 600 Health Care index.
iShares UBS Composite Bond Index Fund – AUD (IAF)	iShares UBS Composite Bond Index Fund	0.00%	0.00%	0.60%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund aims to provide investors with the performance of an index, before fees and expenses, composed of investment grade fixed income bonds issued in the Australian debt market.
iShares UK Property UCITS ETF	iShares UK Property UCITS ETF	0.00%	0.00%	0.80%	<a href="https://www.ishares.com">https://www.ishares.com</a>	The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITS).
iShares UK Property UCITS ETF – GBP (IUKP)	iShares FTSE EPRA/NAREIT UK Property Fund	0.00%	0.00%	0.80%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITS).
iShares US Technology ETF – USD (IYW)	iShares US Technology ETF	0.00%	0.00%	0.84%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The iShares U.S. Technology ETF seeks to track the investment results of an index composed of U.S. equities in the technology sector.
iShares V Public Lim Company S&P 500 Hedged UCITS ETF – GBP (IGUS)	iShares V Public Limited Company	0.00%	0.00%	0.85%	<a href="https://www.ishares.com/us">https://www.ishares.com/us</a>	The Fund seeks to track the performance of an index composed of 500 large cap U.S. companies which also hedges USD currency in the index back to GBP on a monthly basis.
Janus Henderson Tactical Income Trust (W)	Henderson Global Investors	0.00%	0.00%	0.85%	<a href="https://www.janushenderson.com/aupi/fund/378/janus-henderson-tactical-income-fund">https://www.janushenderson.com/aupi/fund/378/janus-henderson-tactical-income-fund</a>	The Janus Henderson Tactical Income Fund ('Fund') seeks to achieve a total return after fees that exceeds the total return of the Benchmark (Bloomberg AusBond Bank Bill Index and Bloomberg AusBond Composite 0+ Yr Index equally weighted), by investing in a diversified portfolio of predominantly Australian income producing assets.
Jefferies Group Inc	Jefferies Group Inc	0.00%	0.00%	0.40%	<a href="http://jefferiesfinancialgroupinvestorrelations.jefferies.com/">http://jefferiesfinancialgroupinvestorrelations.jefferies.com/</a>	Jefferies Financial Group is a diversified financial services company engaged in investment banking and capital markets, merchant banking and the early stages of building an alternative asset management platform.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Johnson & Johnson Incorporated – USD (JNJ)	Johnson & Johnson Incorporated	0.00%	0.00%	0.40%	<a href="http://www.investor.jnj.com/company-overview.cfm">http://www.investor.jnj.com/company-overview.cfm</a>	Johnson & Johnson, through its family of companies, is engaged in the research and development, manufacture and sale of a broad range of products in the health care field in virtually all countries of the world. Johnson & Johnson's primary interest, both historically and currently, has been in products related to human health and well-being. Johnson & Johnson was incorporated in the State of New Jersey in 1887.
Johnson Matthey Plc – GBP (JMAT)	Johnson Matthey Plc	0.00%	0.00%	0.40%	<a href="http://www.matthey.com/">http://www.matthey.com/</a>	We use our expertise in advanced materials and technology to innovate and improve solutions that are valued by our customers.
JP Morgan Chase & Co – USD (JPM)	JP Morgan Chase & Co	0.00%	0.00%	0.40%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	As one of the few full-service and fully-integrated financial institutions in Australia and New Zealand, we provide products and services from J.P. Morgan's Corporate & Investment Bank, Asset Management and Private Bank to corporations, government, institutional and sophisticated investors.
JP Morgan Global Emerging Markets Income Trust PLC	JP Morgan Global Emerging Markets Income Trust PLC	0.00%	0.00%	0.40%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	As one of the few full-service and fully-integrated financial institutions in Australia and New Zealand, we provide products and services from J.P. Morgan's Corporate & Investment Bank, Asset Management and Private Bank to corporations, government, institutional and sophisticated investors.
JPMorgan American IT Plc – GBP (JAM)	JP Morgan American IT Plc	0.00%	0.00%	0.40%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	As one of the few full-service and fully-integrated financial institutions in Australia and New Zealand, we provide products and services from J.P. Morgan's Corporate & Investment Bank, Asset Management and Private Bank to corporations, government, institutional and sophisticated investors.
JPMorgan Emerging Markets Investment Trust PLC – GBP (JMG)	JPMorgan Emerging Markets Investment Trust PLC	0.00%	0.00%	1.54%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	This Trust aims to achieve total return from Emerging Markets worldwide and provides investors with a diversified portfolio of shares in countries and sectors we believe offer the most attractive opportunities for growth. The Company can hold up to 10% cash or utilise gearing of up to 20% of net assets where appropriate.
JPMorgan Eur Smaller Companies Trust PLC	JPMorgan Eur Smaller Companies Trust PLC	0.00%	0.00%	1.44%	<a href="https://am.jpmorgan.com/gb/en/asset-management/gim/per/products/d/jpmorgan-european-smaller-companies-investment-trust-plc-ordinary-shares-gb00bmts0z37">https://am.jpmorgan.com/gb/en/asset-management/gim/per/products/d/jpmorgan-european-smaller-companies-investment-trust-plc-ordinary-shares-gb00bmts0z37</a>	JPMorgan European Smaller Companies Investment Trust plc focuses on generating long-term growth by scouring European markets for tomorrow's European and global market leaders.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
JPMorgan European Investment Trust plc Growth Shares – GBP (JETG)	JPMorgan European Investment Trust	0.00%	0.00%	1.46%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	Aims to provide capital growth from Continental European investments by outperformance of the MSCI Europe ex-UK Index. The company will predominantly invest in quoted companies including, when appropriate, exposure to smaller capitalisation companies. Currency exposure is predominantly hedged back towards the benchmark. The company has the ability to use borrowing to gear the portfolio within the range of 10% net cash to 20% geared in normal market conditions.
JPMorgan Global Growth & Income PLC	JPMorgan Global Growth & Income Plc	0.00%	0.00%	1.04%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	To provide superior capital growth and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world. The manager is focused on building a high conviction portfolio of typically 50 – 90 stocks, drawing on an investment process underpinned by fundamental research. Portfolio construction is driven by bottom-up stock selection rather than geographical or sector allocation. Currency exposure is predominantly hedged back towards the benchmark. The Company uses borrowing to gear the portfolio within a range of 5% cash to 20 geared under normal market conditions. The Company will repurchase its shares with the aim of maintaining an average discount of around 5% calculated with debt at par value.
JPMorgan Global Growth & Income Plc – GBP (JPGI)	JP Morgan Global Growth & Income Plc	0.00%	0.00%	1.04%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	To provide superior capital growth and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world. The manager is focused on building a high conviction portfolio of typically 50 – 90 stocks, drawing on an investment process underpinned by fundamental research. Portfolio construction is driven by bottom-up stock selection rather than geographical or sector allocation. Currency exposure is predominantly hedged back towards the benchmark. The Company uses borrowing to gear the portfolio within a range of 5% cash to 20 geared under normal market conditions. The Company will repurchase its shares with the aim of maintaining an average discount of around 5% calculated with debt at par value.
JPMorgan Global Growth & Income PLC – NZD (JPG)	JP Morgan Overseas Investment Trust	0.00%	0.00%	0.40%	<a href="https://www.jpmorgan.com/country/AU/en/jpmorgan">https://www.jpmorgan.com/country/AU/en/jpmorgan</a>	As one of the few full-service and fully-integrated financial institutions in Australia and New Zealand, we provide products and services from J.P. Morgan's Corporate & Investment Bank, Asset Management and Private Bank to corporations, government, institutional and sophisticated investors.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
JPMorgan Japanese Investment Trust PLC – GBP (JFJ)	JPMorgan Japanese Investment Trust PLC	0.00%	0.00%	1.09%	<a href="https://am.jpmorgan.com/gb/en/asset-management/gim/per/products/d/jpmorgan-japanese-investment-trust-plc-ordinary-shares-gb0001740025">https://am.jpmorgan.com/gb/en/asset-management/gim/per/products/d/jpmorgan-japanese-investment-trust-plc-ordinary-shares-gb0001740025</a>	JPMorgan Japanese Investment Trust plc benefits from our expertise gained as one of Japan's leading foreign asset managers, with over 40 years' experience in seeking out attractively valued Japanese investment themes and companies.
Jupiter European Opportunities Trust Plc – GBP (JEO)	Jupiter European Opportunities Trust Plc	0.00%	0.00%	1.15%	<a href="http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices">http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices</a>	The objective of the company is to invest in securities of European companies and in sectors or geographical areas which are considered by the investment manager to offer good prospects for capital growth, taking into account economic trends and business development.
Jupiter Green Investment Trust Plc – GBP (JGC)	Jupiter Green Investment Trust Plc	0.00%	0.00%	2.00%	<a href="http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices">http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices</a>	Invests globally in companies which have a significant focus on environmental solutions. Specifically, the Company looks to invest across three key areas: infrastructure, resource efficiency and demographics. The portfolio will have a bias towards small and medium sized companies, investing primarily in securities which are quoted, listed or traded on an exchange. However, up to 5% of the Total Assets may be invested in unlisted securities.
Jupiter US Smaller Companies Plc – GBP (JUS)	Jupiter US Smaller Companies Plc	0.00%	0.00%	1.20%	<a href="http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices">http://www.jupiteram.com/en-GB/Individual-investors/Funds-and-prices</a>	To achieve long-term capital growth by investing in a diversified portfolio primarily of quoted US smaller and medium sized companies.
Kingfish Limited – NZD (KFL)	Kingfish Limited	0.00%	0.00%	0.40%	<a href="http://kingfish.co.nz/">http://kingfish.co.nz/</a>	We are a New Zealand based listed investment company that invests in growing New Zealand companies. We offer investors access to a professionally managed portfolio with diversified exposure to the New Zealand equity market. We focus on growing our shareholders' capital through positive portfolio performance and offer regular dividends.
Kingfish Limited Warrants @1.37 Exp 12/07/2019 – NZD (KFLWE)	Kingfish Limited	0.00%	0.00%	0.40%	<a href="http://kingfish.co.nz/">http://kingfish.co.nz/</a>	We are a New Zealand based listed investment company that invests in growing New Zealand companies. We offer investors access to a professionally managed portfolio with diversified exposure to the New Zealand equity market. We focus on growing our shareholders' capital through positive portfolio performance and offer regular dividends.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Kiwi Property Group Bonds @ 6.15% mat 20/08/2021 – (KPG010)	Kiwi Property Group Limited	0.00%	0.00%	0.40%	<a href="https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp">https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp</a>	Back in 2002, we launched with a thought: New Zealand needs a better banking alternative – a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs – right here, in New Zealand.  So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!).
Kiwi Property Group Limited – NZD (KPG)	Kiwi Property Group Limited	0.00%	0.00%	0.40%	<a href="https://kp.co.nz/">https://kp.co.nz/</a>	We've been creating exceptional places to shop and work for more than 20 years, and we're the largest diversified property company on the New Zealand Stock Exchange.
Kiwi Property Group Limited Secured @ 4.33% Mat 19/12/2024 – (KPG030)	Kiwi Property Group Limited	0.00%	0.00%	0.40%	<a href="https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp">https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp</a>	Back in 2002, we launched with a thought: New Zealand needs a better banking alternative – a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs – right here, in New Zealand.  So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!).
Kiwi Property Group Ltd	Kiwi Property Group Ltd	0.00%	0.00%	0.40%	<a href="https://kp.co.nz/">https://kp.co.nz/</a>	We've been creating exceptional places to shop and work for more than 20 years, and we're the largest diversified property company on the New Zealand Stock Exchange.
Kiwibank Capital Funding Cap Notes @7.25% Mat 27/05/2020 – (KCFHA)	Kiwibank Limited	0.00%	0.00%	0.40%	<a href="https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp">https://www.kiwibank.co.nz/about-us/investor-centre/kiwi-capital-funding.asp</a>	Back in 2002, we launched with a thought: New Zealand needs a better banking alternative – a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs – right here, in New Zealand.  So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!).
L1 Long Short Fund Limited	L1 Long Short Fund Limited	0.00%	0.00%	1.80%	<a href="https://www.bloomberg.com/quote/LSF:AU">https://www.bloomberg.com/quote/LSF:AU</a>	L1 Long Short Fund Limited is a listed investment company incorporated in Australia. The Fund aims to deliver strong, positive, risk-adjusted returns over the long term (being a period of more than 5 years), and will be seeking to preserve capital. The Fund will be predominantly comprised of Long and Short Positions in Australian & NZ securities.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
L1 Long Short Fund Limited – AUD (LSF)	L1 Long Short Fund Limited	0.00%	0.00%	1.80%	<a href="https://www.bloomberg.com/quote/LSF:AU">https://www.bloomberg.com/quote/LSF:AU</a>	L1 Long Short Fund Limited is a listed investment company incorporated in Australia. The Fund aims to deliver strong, positive, risk-adjusted returns over the long term (being a period of more than 5 years), and will be seeking to preserve capital. The Fund will be predominantly comprised of Long and Short Positions in Australian & NZ securities.
Land Securities Group PLC – GBP (LAND)	Land Securities Group PLC	0.00%	0.00%	0.40%	<a href="http://www.landsecurities.com/">http://www.landsecurities.com/</a>	We are the largest commercial property company in the UK and a member of the FTSE 100. Founded in 1944, we now own and manage more than 26 million sq ft of property, from shopping centres to London offices.
Lend Lease Group Stapled Securities – AUD (LLC)	Lend Lease Group	0.00%	0.00%	0.40%	<a href="http://www.lendlease.com/au/">http://www.lendlease.com/au/</a>	We are a leading international property and infrastructure group with operations in Australia, Asia, Europe and the Americas. Our vision is to create the best places; places that inspire and enrich the lives of people around the world.
Lloyds Banking Group Plc – GBP (LLOY)	Lloyds TSB Group Plc	0.00%	0.00%	0.40%	<a href="http://www.lloydsbankinggroup.com/">http://www.lloydsbankinggroup.com/</a>	We are a financial services group with millions of UK customers with a presence in nearly every community; we are familiar on the high street and with over 1 million businesses through our portfolio of brands.  Our business is focused on retail and commercial financial services. We thrive based on how well we serve our customers; on our relationships within the communities we serve; and on helping Britain prosper.
MacMahon Holdings Limited – AUD (MAH)	MacMahon Holdings Limited	0.00%	0.00%	0.40%	<a href="http://www.macmahon.com.au/investors/share-information">http://www.macmahon.com.au/investors/share-information</a>	Macmahon Holdings Limited (MAH) is an Australian company providing mining services to clients throughout Australia, New Zealand, South East Asia and Africa. Mining services range from mine development to materials delivery, design, construction and on-site services for mining companies, across a variety of base and precious metals and commodities. MAH provides surface mining, underground mining, engineering, and plant and maintenance.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Macquarie Income Opportunities Fund – Cash	Macquarie Income Opportunities Fund	0.00%	0.00%	0.892%	<a href="https://www.macquarie.com/nz/personal-nz">https://www.macquarie.com/nz/personal-nz</a>	The Fund provides exposure to a wide range of Australian credit-based securities (predominantly floating and fixed rate corporate bonds, and asset-backed securities) and cash. The Fund may also have exposure to global investment grade credit securities, global high yield credit securities, emerging market debt, hybrid securities and a range of other credit opportunities when they are expected to outperform and reduce exposure to these sectors when they are expected to underperform.
Magellan Global	Magellan Financial Group	0.00%	0.00%	1.45%	<a href="https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/">https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/</a>	The Magellan Global Fund seeks to invest in outstanding companies at attractive prices, while exercising a deep understanding of the macroeconomic environment to manage investment risk.
Magellan Global (Hedged)	Magellan Financial Group	Buy Spread 0.15%, Sell Spread 0.15%	10.00% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). Additional	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/">https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/</a>	The Magellan Global Fund seeks to invest in outstanding companies at attractive prices, while exercising a deep understanding of the macroeconomic environment to manage investment risk.
Magellan Global Fund – (Unhedged) (W)	Magellan Financial Group	Buy Spread 0.15%, Sell Spread 0.15%	10.00% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). Additional	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/">https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/</a>	A core holding investing in 20 to 40 of the world's best global stocks.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Magellan Global Fund (hedged) (W)	Magellan Financial Group	Buy Spread 0.15%, Sell Spread 0.15%	10.00% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). Additional	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/">https://www.magellangroup.com.au/funds/magellan-infrastructure-fund/</a>	A core holding investing in 20 to 40 of the world's best global stocks.
Magellan Global Trust	Magellan Financial Group	0.00%	0.00%	1.75%	<a href="https://www.magellangroup.com.au/funds/magellan-global-trust-asx-mgg/">https://www.magellangroup.com.au/funds/magellan-global-trust-asx-mgg/</a>	Magellan was formed in 2006 to generate attractive returns for clients by investing in global equities and global listed infrastructure while protecting their capital.
Magellan Global Trust – AUD (MGG)	Magellan Financial Group	0.00%	0.00%	1.75%	<a href="https://www.magellangroup.com.au/funds/magellan-global-trust-asx-mgg/">https://www.magellangroup.com.au/funds/magellan-global-trust-asx-mgg/</a>	Magellan was formed in 2006 to generate attractive returns for clients by investing in global equities and global listed infrastructure while protecting their capital.
Magellan High Conviction Fund (W)	Magellan Financial Group	0.00%	10.00% of the excess return of the units of the Fund above the Absolute Return performance hurdle (10.00% per annum).	1.90% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.magellangroup.com.au/">http://www.magellangroup.com.au/</a>	The primary objectives of the Fund are to achieve attractive risk-adjusted returns over the medium to long-term.
Magellan Infrastructure	Magellan Infrastructure	0.00%	10.00% of the excess return of the units of the Fund above the Absolute Return performance hurdle (10.00% per annum).	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.magellangroup.com.au/">http://www.magellangroup.com.au/</a>	It invests in the public equity markets across the globe. The fund invests in the stocks of companies operating in infrastructure sector.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Magellan Infrastructure Fund (Unhedged) (W)	Magellan Financial Group	0.00%	10.00% of the excess return of the units of the Fund above the Absolute Return performance hurdle (10.00% per annum).	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.magellangroup.com.au/">http://www.magellangroup.com.au/</a>	The primary objective of the Fund is to achieve attractive risk adjusted returns over the medium to long-term, while reducing the risk of permanent capital loss.
Magellan Infrastructure Fund (W)	Magellan Financial Group	0.00%	10.00% of the excess return of the units of the Fund above the Absolute Return performance hurdle (10.00% per annum).	1.45% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.magellangroup.com.au/">http://www.magellangroup.com.au/</a>	The primary objective of the Fund is to achieve attractive risk adjusted returns over the medium to long-term, while reducing the risk of permanent capital loss.
Mainfreight Limited – NZD (MFT)	Mainfreight Limited	0.00%	0.00%	0.40%	<a href="http://www.mainfreight.com/global/en/global-home.aspx">http://www.mainfreight.com/global/en/global-home.aspx</a>	As a global supply chain business with over 240 branches around the world, Mainfreight offers sophisticated logistics solutions in and out of the most dynamic economies in the world.
Maple-Brown Abbott Global Listed Infrastructure Fund	Maple-Brown Abbott Limited	0.00%	0.00%	1.38%	<a href="https://www.maple-brownabbott.com.au/">https://www.maple-brownabbott.com.au/</a>	The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum.
Maple-Brown Abbott Global Listed Infrastructure Fund – Unhedged (W)	Maple-Brown Abbott Limited	0.00%	0.00%	1.38%	<a href="https://www.maple-brownabbott.com.au/">https://www.maple-brownabbott.com.au/</a>	The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum.
Markel Corp	Markel Corp	0.00%	0.00%	0.40%	<a href="https://www.markelcorp.com/">https://www.markelcorp.com/</a>	Markel is a holding company for insurance, reinsurance, and investment operations around the world. Get information on our structure, operations, leadership, history, financials, and more.
Martin Currie Portfolio Investment Trust Plc – GBP (MNP)	Martin Currie Portfolio Investment Trust	0.00%	0.00%	0.90%	<a href="https://www.martincurrie.com/uk/global-portfolio-trust">https://www.martincurrie.com/uk/global-portfolio-trust</a>	To achieve long-term capital growth in excess of the capital return of the FTSE World index.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Mastercard Inc – Class A	Mastercard Inc – Class A	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/MA:US">https://www.bloomberg.com/quote/MA:US</a>	Mastercard Incorporated provides financial transaction processing services. The Company offers payment processing services for credit and debit cards, electronic cash, automated teller machines, and travelers checks. Mastercard serves customers worldwide.
Melrose PLC	Melrose PLC	0.00%	0.00%	0.40%	<a href="https://www.melroseplc.net/investors/">https://www.melroseplc.net/investors/</a>	Melrose aims to increase value for shareholders through growth in our current businesses, whilst seeking acquisitions of good businesses with solid fundamentals whose performance can be improved in the medium term under Melrose management.
MercadoLibre Inc	MercadoLibre Inc	0.00%	0.00%	0.40%	<a href="http://investor.mercadolibre.com/investor-relations">http://investor.mercadolibre.com/investor-relations</a>	MercadoLibre hosts the largest online commerce and payments ecosystem in Latin America. Our efforts are centered on enabling e-commerce and digital and mobile payments on behalf of our customers by delivering a suite of technology solutions across the complete value chain of commerce. We are present in 18 countries including: Argentina, Brazil, Mexico, Colombia, Chile, Venezuela and Peru. Based on unique visitors and page views we are market leaders in each of the major countries where we are present.
Mercury NZ Limited – NZD (MCY)	Mercury NZ Limited	0.00%	0.00%	0.40%	<a href="https://www.mercury.co.nz/">https://www.mercury.co.nz/</a>	Things have changed at Mercury, and not just our logo. We're on a mission to help Kiwis enjoy our clean, green, renewable energy in more wonderful ways. That's why we love stuff like the hill-flattening, traffic-defying e.bike.
Meridian Energy Limited – NZD (MEL)	Meridian Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.meridianenergy.co.nz/">https://www.meridianenergy.co.nz/</a>	Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia.
Meridian Energy Limited @ 4.21% Mat 27/06/2025 – (MEL050)	Meridian Energy Limited	0.00%	0.00%	0.40%	<a href="http://www.findata.co.nz/Markets/StockQuote/NZX/MEL040.htm">http://www.findata.co.nz/Markets/StockQuote/NZX/MEL040.htm</a>	Meridian is New Zealand's large electricity generator/retailer. It produces -11,500GWh of electricity from its South Island hydro power stations and -1,500GWh from its predominantly North Island wind farms. MEL's retail brands, Meridian and Powershop retail electricity to around 275,000 customers. MEL also has an international presence in Australia owning two wind farms and has launched its Powershop retail brand in Victoria and NSW. MEL's largest customer is New Zealand's largest power user, the Tiwai Point aluminium smelter which consumes -13% of New Zealand's power and -40% of MEL's output.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Meridian Energy Ltd	Meridian Energy Limited	0.00%	0.00%	0.40%	<a href="https://www.meridianenergy.co.nz/">https://www.meridianenergy.co.nz/</a>	Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia.
Meridian Energy Ltd @ 4.88% Mat 20/03/2024 – (MEL040)	Meridian Energy Limited	0.00%	0.00%	0.40%	<a href="http://www.findata.co.nz/Markets/StockQuote/NZX/MEL040.htm">http://www.findata.co.nz/Markets/StockQuote/NZX/MEL040.htm</a>	Meridian is New Zealand's large electricity generator/retailer. It produces -11,500GWh of electricity from its South Island hydro power stations and -1,500GWh from its predominantly North Island wind farms. MEL's retail brands, Meridian and Powershop retail electricity to around 275,000 customers. MEL also has an international presence in Australia owning two wind farms and has launched its Powershop retail brand in Victoria and NSW. MEL's largest customer is New Zealand's largest power user, the Tiwai Point aluminium smelter which consumes -13% of New Zealand's power and -40% of MEL's output.
Metlifecare Limited – NZD (MET)	Metlifegroup Limited	0.00%	0.00%	0.40%	<a href="http://www.metlifecare.co.nz/">http://www.metlifecare.co.nz/</a>	Metlifecare is a leading New Zealand owner and operator of retirement villages, providing rewarding lifestyles and care to more than 5,000 New Zealanders. Established in 1984, it currently operates 24 villages, located primarily in high-value and high-growth regions of New Zealand's upper North Island.
MFF Capital Investments Limited – AUD (MFF)	MFF Capital Investments Limited	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapid=30347299">https://www.bloomberg.com/research/stocks/private/snapshot.asp?privcapid=30347299</a>	MFF Capital Investments Limited is a closed ended equity mutual fund launched and managed by Magellan Asset Management Limited. The fund invests in public equity markets across the globe. It invests in stocks of companies operating across diversified sectors. The fund invests in value stocks of companies. It seeks to benchmark the performance of its portfolio against the MSCI World Index. The fund was formerly known as Magellan Flagship Fund Limited. MFF Capital Investments Limited was formed on November 10, 2006 and is domiciled in Australia.
Microsoft Corporation – USD (MSFT)	Microsoft Corporation	0.00%	0.00%	0.40%	<a href="https://www.microsoft.com/en-nz/">https://www.microsoft.com/en-nz/</a>	Founded in 1975, Microsoft (Nasdaq "MSFT") is the worldwide leader in software, services, devices and solutions that help people and businesses realize their full potential.
Milford Active Growth Fund (PIE)	Milford Funds Limited	None noted in PDS	0.81% based on historical average performance fees	1.74% including an estimated performance fee	<a href="https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf">https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf</a>	A diversified fund that primarily invests in Australasian Equities, with a moderate allocation to International Equities and fixed interest securities.
Milford Conservative Fund (PIE)	Milford Funds Limited	0.00%	0.00%	1.36%	<a href="https://milfordasset.com/">https://milfordasset.com/</a>	To provide moderate returns and protect capital over the minimum recommended investment timeframe. A diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Milford Diversified Income Fund	Milford Funds Limited	0.00%	0.35% Estimate	1.05% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="https://milfordasset.com/">https://milfordasset.com/</a>	To provide income and capital growth over the minimum recommended investment timeframe. A diversified fund that primarily invests in fixed interest and equity incomegenerating securities.
Milford Diversified Income Fund (PIE)	Milford Funds Limited	0.00%	0.35% Estimate	1.05% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="https://milfordasset.com/">https://milfordasset.com/</a>	To provide income and capital growth over the minimum recommended investment timeframe. A diversified fund that primarily invests in fixed interest and equity incomegenerating securities.
Milford Dynamic Fund (PIE)	Milford Funds Limited	None noted in PDS	0.36% based on historical average performance fees	1.75% including an estimated performance fee	<a href="https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf">https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf</a>	To provide capital growth by outperforming the relevant share market index over the minimum recommended investment timeframe. Primarily invests in small to mid-cap Australasian Equities.
Milford Global Equity Fund (PIE)	Milford Funds Limited	None noted in PDS	0.42% based on historical average performance fees	2.25% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf">https://milfordasset.com/wp-content/uploads/2017/11/PIE-Unit-Trust-PDS_WEB.pdf</a>	To provide capital growth through global investments over the minimum recommended investment timeframe. Primarily invests in International Equities.
Milford Trans-Tasman Equity Fund (PIE)	Milford Funds Limited	0.00%	0.00%	1.45%	<a href="https://milfordasset.com/">https://milfordasset.com/</a>	To provide capital growth by outperforming a mix of two relevant share market indices over the minimum recommended investment timeframe. Primarily invests in Australasian Equities.
Milton Corporation Limited – AUD (MLT)	Milton Corporation Limited	0.00%	0.00%	0.40%	<a href="https://www.milton.com.au/history.html">https://www.milton.com.au/history.html</a>	Milton Corporation Limited is a listed investment company incorporated in Australia. The Fund aims to generate an increasing income stream in the form of fully franked dividends by investing in a portfolio that will also provide growth. The Fund invests in companies, trusts, fixed interest securities (including mortgages), and property.
Mint Australia New Zealand Active Equity Trust	Mint Asset Management	0.00%	10.00% of out-performance above NZX 50 Gross Index + 3.0% p.a. with a once and for all high water mark	1.40% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	This fund has a portfolio of actively managed Australian and New Zealand shares which targets consistent capital growth. This is achieved through investing in a range of companies that will benefit at every stage of the economic cycle.
MINT Australia New Zealand Active Equity Trust (PIE)	Mint Asset Management	0.00%	10.00% of out-performance above NZX 50 Gross Index + 3.0% p.a. with a once and for all high water mark	1.40% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	This fund has a portfolio of actively managed Australian and New Zealand shares which targets consistent capital growth. This is achieved through investing in a range of companies that will benefit at every stage of the economic cycle.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
MINT Australia New Zealand Real Estate Investment (PIE)	Mint Asset Management	0.00%	0.00% of out performance above NZ Property Gross Index + 2.0% p.a. with a once and for all high water mark	1.40% excluding performance fees it is not possible to make a reasonable estimate of them	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	A portfolio of actively managed Australian and New Zealand listed property and property-related shares. This fund targets total return from predominantly investing in opportunities with exposure to commercial property and the relatively lower risk long term growth characteristics of those assets.
Mint Australia NZ Real Estate Investment Trust	Mint Asset Management	0.00%	0.00% of out performance above NZ Property Gross Index + 2.0% p.a. with a once and for all high water mark	1.40%	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	A portfolio of actively managed Australian and New Zealand listed property and property-related shares. This fund targets total return from predominantly investing in opportunities with exposure to commercial property and the relatively lower risk long term growth characteristics of those assets.
MINT Diversified Income Fund (PIE)	Mint Asset Management	0.00%	0.00%	1.15%	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	This Fund looks to offer investors a sustainable income, whilst generating enough capital growth to protect the income generating capital at or above inflation levels. The Fund will look to achieve this objective by indirectly investing in a diversified range of assets which will include: cash, New Zealand/Australia and global fixed interest (including credit products), New Zealand/Australia listed property and equities, and global equities.
Mint Diversified Income Fund (Retail)	Mint Asset Management	0.00%	0.00%	1.15%	<a href="http://www.mintasset.co.nz/">http://www.mintasset.co.nz/</a>	This Fund looks to offer investors a sustainable income, whilst generating enough capital growth to protect the income generating capital at or above inflation levels. The Fund will look to achieve this objective by indirectly investing in a diversified range of assets which will include: cash, New Zealand/Australia and global fixed interest (including credit products), New Zealand/Australia listed property and equities, and global equities.
Miton Global Opportunities Plc – GBP (MIGO)	Miton Global Opportunities Ord	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/MIGO:LN">https://www.bloomberg.com/quote/MIGO:LN</a>	Miton Global Opportunities PLC is a closed-end fund incorporated in the United Kingdom. The aim of the Fund is to achieve absolute returns, through exploiting inefficiencies in the pricing of closed-end funds. The Fund invests in closed-end investment funds listed on the Official list, but will have flexibility to invest in funds that are listed on other exchanges or unlisted.
Monks Investment Trust Plc – GBP (MNKS)	Monks Investment Trust Plc	0.00%	0.00%	1.02%	<a href="https://www.bailliegifford.com/individual-investors/funds/monks-investment-trust/">https://www.bailliegifford.com/individual-investors/funds/monks-investment-trust/</a>	The Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Monks Investment Trust PLC/The	Monks Investment Trust Plc	0.00%	0.00%	1.02%	<a href="https://www.bailliegiifford.com/individual-investors/funds/monks-investment-trust/">https://www.bailliegiifford.com/individual-investors/funds/monks-investment-trust/</a>	The Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks.
Moody's Corp	Moody's Corp	0.00%	0.00%	0.40%	<a href="https://www.moody.com/">https://www.moody.com/</a>	Moody's is an essential component of the global capital markets, providing credit ratings, research, tools and analysis that contribute to transparent and integrated financial markets. Moody's Corporation (NYSE: MCO) is the parent company of Moody's Investors Service, which provides credit ratings and research covering debt instruments and securities, and Moody's Analytics, which offers leading-edge software, advisory services and research for credit and economic analysis and financial risk management.
Murray International Trust PLC	Murray International Trust PLC	0.00%	0.00%	1.15%	<a href="http://www.murray-intl.co.uk/itmurrayinternational/">http://www.murray-intl.co.uk/itmurrayinternational/</a>	To achieve a total return greater than its benchmark by investing predominantly in equities worldwide. Within this objective the Manager will seek to increase the Company's revenues in order to maintain an above average dividend yield.
Murray International Trust PLC - GBP (MYI)	Murray International Trust PLC	0.00%	0.00%	1.15%	<a href="http://www.murray-intl.co.uk/itmurrayinternational/">http://www.murray-intl.co.uk/itmurrayinternational/</a>	To achieve a total return greater than its benchmark by investing predominantly in equities worldwide. Within this objective the Manager will seek to increase the Company's revenues in order to maintain an above average dividend yield.
National Australia Bank Limited - AUD (NAB)	National Australia Bank Limited	0.00%	0.00%	0.40%	<a href="https://www.nationalaustraliabank.com/">https://www.nationalaustraliabank.com/</a>	National Australia Bank (NAB) is a leader in providing banking services to Australian businesses, with specialist expertise in agribusiness, health, government, education and community banking - complemented by NAB Group businesses in New Zealand, Asia, United Kingdom (UK) and the United States of America (USA). Our vision is to be Australia and New Zealand's most respected bank.
National Grid - GBP (NG)	National Grid	0.00%	0.00%	0.40%	<a href="http://www2.nationalgrid.com/uk/">http://www2.nationalgrid.com/uk/</a>	We are an international electricity and gas company based in the UK and northeastern US. We play a vital role in connecting millions of people safely, reliably and efficiently to the energy they use.
National Grid Transco PLC	National Grid	0.00%	0.00%	0.40%	<a href="http://www2.nationalgrid.com/uk/">http://www2.nationalgrid.com/uk/</a>	We are an international electricity and gas company based in the UK and north-eastern US. We play a vital role in connecting millions of people safely, reliably and efficiently to the energy they use.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Nestle SA Inc – USD (NSRGY)	Nestle SA Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/NSRGY:US">https://www.bloomberg.com/quote/NSRGY:US</a>	Nestle S.A. is a multinational packaged food company, that manufactures and markets a wide range of food products. The Company's product line includes milk, chocolate, confectionery, bottled water, coffee, creamer, food seasoning and pet foods.
New City High Yield Fund – GBP (NCYF)	New City High Yield Fund	0.00%	0.00%	1.20%	<a href="http://www.ncim.co.uk/nc_top.php">http://www.ncim.co.uk/nc_top.php</a>	To provide investors with a high dividend yield and the potential for capital growth by investing mainly in high yielding fixed interest securities.
New Talisman Gold Mines Limited – NZD – (NTL)	New Talisman Gold Mines Limited	0.00%	0.00%	0.40%	<a href="http://www.newtalismandgoldmines.co.nz/">http://www.newtalismandgoldmines.co.nz/</a>	New Talisman Gold Mines Limited (formerly Heritage Gold NZ Limited) is listed on both the Australian and New Zealand stock exchanges under the code NTL. The company holds a mining permit and an exploration permit over the Talisman Gold mine project in the Hauraki Gold Field. The company has completed all necessary permitting for development of the bulk sampling project at the mine and holds a resource consent for this purpose.
New Zealand Bond Trust (NS) Units – NZD (NZB)	Smartshares	0.00%	0.00%	0.94%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	The NZ Bond Fund invests in New Zealand fixed interest assets, with the objective of outperforming the S&P/NZX A-Grade Corporate Bond Index over rolling 3-year periods. The investment manager for the fund is Nikko Asset Management New Zealand Limited.
New Zealand Dividend Index Trust Units – NZD (DIV)	New Zealand Dividend Index Trust	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/companies/DIV/announcements/288884">https://www.nzx.com/companies/DIV/announcements/288884</a>	The objective of the New Zealand Dividend (DIV) Smartshares fund is to provide a return that closely matches the return on the S&P/NZX 50 High Dividend Index. S&P/NZX 50 High Dividend Index comprises the top 25 stocks with the highest dividend yields and liquidity from the NZX 50 index.
New Zealand King Salmon Ordinary Shares – NZD (NZK)	NZ King Salmon Investments Limited	0.00%	0.00%	0.40%	<a href="https://www.kingsalmon.co.nz/kingsalmon/wp-content/uploads/2016/10/3309_NZKS_PDS_v26-no-forms.pdf">https://www.kingsalmon.co.nz/kingsalmon/wp-content/uploads/2016/10/3309_NZKS_PDS_v26-no-forms.pdf</a>	New Zealand King Salmon is the world's largest aquaculture producer of the premium King salmon species.
New Zealand Property Trust (NS) Units – NZD (NPF)	Smartshares	0.00%	0.00%	0.94%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	The NZ Property Fund invests in property assets listed on the NZX Main Board and is designed to track the return on the S&P/NZX Real Estate Select Index. The S&P/NZX Real Estate Select Index is made up of the largest and most liquid members of the S&P/NZX All Index classified under the GICS Real Estate Industry Group, but excludes products issued by non-New Zealand issuers.



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Nike Inc	Nike Inc	0.00%	0.00%	0.40%	<a href="https://www.nike.com">https://www.nike.com</a>	Nike, Inc. is an American multinational corporation that is engaged in the design, development, manufacturing, and worldwide marketing and sales of footwear, apparel, equipment, accessories, and services.
Nikko AM Concentrated Equity Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	10.00% of gains above the hurdle rate	1.53% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to outperform the RBNZ Official Cash Rate plus 5.0% per annum over a rolling three year period before fees, expenses and taxes. The Fund provides investors with concentrated exposure to New Zealand and Australian equity markets from an actively managed investment portfolio.
Nikko AM Core Equity Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_CoreEquityFund_PDS_23Nov17.pdf">https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_CoreEquityFund_PDS_23Nov17.pdf</a>	The Fund aims to outperform the S&P/NZX 50 Index Gross with Imputation credits by 3.0% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with exposure to New Zealand and Australian equity markets from an actively managed investment portfolio with potential for growth of income and capital.
Nikko AM Income Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_IncomeFund_PDS_23Nov17.pdf">https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_IncomeFund_PDS_23Nov17.pdf</a>	The Fund aims to provide investors with regular income from an actively managed investment portfolio while protecting the capital value by constructing a portfolio of permitted investments that in aggregate target a return of 6.50% p.a. over a rolling three year period before fees, expenses and taxes. The Fund invests in the Nikko AM NZ Corporate Bond Fund and the Nikko AM Wholesale Option Fund.
Nikko AM NZ Bond Fund	Nikko Asset Management New Zealand Limited	0.00%	0.00%	1.13%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.00% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.
Nikko AM NZ Bond Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	0.00%	1.13%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.00% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Nikko AM NZ Bond Fund (PIE) – SWM	Nikko Asset Management New Zealand Limited	0.00%	0.00%	1.13%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.00% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.
Nikko AM NZ Cash Fund	Nikko Asset Management New Zealand Limited	0.00%	0.00%	0.75%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to provide investors with regular income by constructing an actively managed investment portfolio of short term deposits and bonds whilst preserving capital value.
Nikko AM NZ Cash Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	0.00%	0.75%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to provide investors with regular income by constructing an actively managed investment portfolio of short term deposits and bonds whilst preserving capital value.
Nikko AM NZ Cash Funds (PIE) – MPW	Nikko Asset Management New Zealand Limited	0.00%	0.00%	0.75%	<a href="http://www.nikkoam.co.nz/">http://www.nikkoam.co.nz/</a>	The Fund aims to provide investors with regular income by constructing an actively managed investment portfolio of short term deposits and bonds whilst preserving capital value.
Nikko AM NZ Corporate Bond Fund	Nikko Asset Management New Zealand Limited	0.00%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf">https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.50% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.
Nikko AM NZ Corporate Bond Fund (PIE)	Nikko Asset Management New Zealand Limited	0.00%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf">https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.50% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.
Nikko AM NZ Corporate Bond Fund (PIE) – VIP	Nikko Asset Management New Zealand Limited	0.00%	None noted per PDS	0.40% excluding Underlying Management fee as it is not possible to make a reasonable estimate of them	<a href="https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf">https://www.nikkoam.co.nz/files/pdf/funds/individual/disclosure/NikkoAM_NZCorporateBondFund_PDS_23Nov17.pdf</a>	The Fund aims to outperform the Bloomberg NZBond Government 0+ Year Index by 1.50% p.a. over a rolling three year period before fees, expenses and taxes. The Fund provides investors with regular income by constructing an actively managed investment portfolio of New Zealand bonds, deposits and cash while protecting the capital value of investors' funds.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
North American Income Trust PLC	North American Income Trust Plc	0.00%	0.00%	1.43%	<a href="http://www.northamericanincome.co.uk/itnorthamericanincome/">http://www.northamericanincome.co.uk/itnorthamericanincome/</a>	To provide investors with above average dividend income and long term capital growth through active management of a portfolio consisting predominantly of S&P 500 US equities. Dividends are paid quarterly.
North American Income Trust Plc - GBP (NAIT)	North American Income Trust Plc	0.00%	0.00%	1.43%	<a href="http://www.northamericanincome.co.uk/itnorthamericanincome/">http://www.northamericanincome.co.uk/itnorthamericanincome/</a>	To provide investors with above average dividend income and long term capital growth through active management of a portfolio consisting predominantly of S&P 500 US equities. Dividends are paid quarterly.
NXP Semiconductor NV	NXP Semiconductor NV	0.00%	0.00%	0.40%	<a href="https://www.nxp.com/">https://www.nxp.com/</a>	NXP Semiconductors N.V. enables secure connections and infrastructure for a smarter world, advancing solutions that make lives easier, better and safer. As the world leader in secure connectivity solutions for embedded applications, NXP is driving innovation in the secure connected vehicle, end-to-end security and privacy and smart connected solutions markets.
NZ Core Equity Trust (PIE)	Smartshares Limited	0.00%	0.00%	1.05%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	Aims to provide investors with the benefits of long-term capital growth by investing in a diversified portfolio of financial products listed in New Zealand, with increased exposure to small companies and value companies relative to a market weighted portfolio. Value companies are companies with a low price relative to various financial measures, including book value, cash flow and earnings.
NZ Govt Inflation-Indexed Bond @ 2.0% mat 20/09/2025 - (GOVIIB)	New Zealand Government Stock	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/companies/GOV">https://www.nzx.com/companies/GOV</a>	Inflation-indexed bonds are wholesale fixed-term debt securities with an inflation-indexed component the Crown issues to registered tender counterparties. Inflation-indexed bonds have an initial maturity of one year or more.
NZ LOCAL Govt Funding Agency @6.0% Mat 15/05/2021- (LGF040)	NZ Local Government Funding Agency	0.00%	0.00%	0.40%	<a href="http://www.lgfa.co.nz/for-investors/lgfa-bonds">http://www.lgfa.co.nz/for-investors/lgfa-bonds</a>	The New Zealand Local Government Funding Agency (LGFA) is a Council-Controlled Organisation (CCO) operating under the Local Government Act 2002. LGFA specialises in financing the New Zealand local government sector, the primary purpose being to provide more efficient funding costs and diversified funding sources for New Zealand local authorities. LGFA was established to raise debt on behalf of local authorities on terms that are more favourable to them than if they raised the debt directly.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
NZ LOCAL Govt Funding Agency @3.0% Mat 15/04/2020 – (LGF030)	NZ Local Government Funding Agency	0.00%	0.00%	0.40%	<a href="http://www.lgfa.co.nz/for-investors/lgfa-bonds">http://www.lgfa.co.nz/for-investors/lgfa-bonds</a>	The New Zealand Local Government Funding Agency (LGFA) is a Council-Controlled Organisation (CCO) operating under the Local Government Act 2002. LGFA specialises in financing the New Zealand local government sector, the primary purpose being to provide more efficient funding costs and diversified funding sources for New Zealand local authorities. LGFA was established to raise debt on behalf of local authorities on terms that are more favourable to them than if they raised the debt directly.
NZ Mid Cap Fund (NS) – NZD (MDZ)	Smartshares Limited	0.00%	0.00%	1.15%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	The NZ Mid Cap Fund invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX Mid Cap Index. The S&P/NZX Mid Cap Index is made up of financial products listed on the NZX Main Board and included in the S&P/NZX 50 Index, but excludes products included in the S&P/NZX 10 Index and products issued by non-New Zealand issuers.
NZ Post Group Finance @ 6.35% mat 15/11/2039 (Reset 15/11/19) – (NZP010)	New Zealand Post Group Finance Limited	0.00%	0.00%	0.40%	<a href="https://www.nzpost.co.nz/about-us/investor-centre/finance-notes">https://www.nzpost.co.nz/about-us/investor-centre/finance-notes</a>	New Zealand Post is engrained in the kiwi way of life for 170 years and we are committed to a future that meets your mailing needs both physically and digitally.
NZ Top 10 Fund (NS) – NZD (TNZ)	Smartshares Limited	0.00%	0.00%	1.00%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	The NZ Top 10 Fund invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 10 Index. The S&P/NZX 10 Index is made up of ten of the largest financial products listed on the NZX Main Board, but excludes products issued by non-New Zealand issuers.
NZ Top 50 Fund (NS) – NZD (FNZ)	Smartshares Limited	0.00%	0.00%	0.90%	<a href="http://smartshares.co.nz/">http://smartshares.co.nz/</a>	The NZ Top 50 Fund invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 Portfolio Index. The S&P/NZX 50 Portfolio Index is made up of 50 of the largest financial products listed on the NZX Main Board. The S&P/NZX 50 Portfolio Index is made up of the same financial products as the S&P/NZX 50 Index, but with a 5% cap on the weight of each product.
NZSX MidCap Index Fund	NZSX MidCap Index Fund	0.00%	0.00%	1.00%	<a href="https://smartshares.co.nz/types-of-funds/smartmedium/mdz">https://smartshares.co.nz/types-of-funds/smartmedium/mdz</a>	The NZ Mid Cap Fund invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX Mid Cap Index.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Oceania Healthcare Ltd - NZD (OCA)	Oceania Healthcare Limited	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/instruments/OCA/analysis">https://www.nzx.com/instruments/OCA/analysis</a>	Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. Oceania is the freehold owner of 47 of its 50 nationwide sites, comprising 48 aged care and retirement village facilities and two sites held for redevelopment purposes.
Oneanswer (MAC) Balanced Growth Fund (PIE)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.71%	<a href="https://customer.anz.co.nz/kiwisaver/Investment/Documents/Fund-Updates/MAC/OAMAC-FU-032018-BalancedGrowth.pdf">https://customer.anz.co.nz/kiwisaver/Investment/Documents/Fund-Updates/MAC/OAMAC-FU-032018-BalancedGrowth.pdf</a>	The Balanced Growth Fund invests mainly in growth assets.
OneAnswer Balanced Fund (PIE)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.70%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests in similar amounts of income assets (cash and cash equivalents and fixed interest) and growth assets (equities and listed property). The fund may also invest in alternative assets.
OneAnswer International Fixed Interest (PIE)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.34%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests mainly in international fixed interest assets. Investments may include: <ul style="list-style-type: none"> <li>• fixed interest assets issued by governments or international companies, and</li> <li>• cash and cash equivalents.</li> </ul>
OneAnswer International Property Fund (PIE)	ANZ New Zealand Investments Limited	0.00%	None noted per PDS	1.51% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://customer.anz.co.nz/kiwisaver/kiwisaver/documents/OAKS-PDS.pdf">https://customer.anz.co.nz/kiwisaver/kiwisaver/documents/OAKS-PDS.pdf</a>	OneAnswer KiwiSaver Scheme (scheme) offers 13 funds. There is also a Lifetimes option, where your KiwiSaver savings are invested in one of our five multi-asset-class funds or in our Cash Fund, based on your age.
OneAnswer International Property Fund (Single Asset Class)	ANZ New Zealand Investments Limited	0.00%	None noted per PDS	1.51% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://customer.anz.co.nz/kiwisaver/kiwisaver/documents/OAKS-PDS.pdf">https://customer.anz.co.nz/kiwisaver/kiwisaver/documents/OAKS-PDS.pdf</a>	OneAnswer KiwiSaver Scheme (scheme) offers 13 funds. There is also a Lifetimes option, where your KiwiSaver savings are invested in one of our five multi-asset-class funds or in our Cash Fund, based on your age.
OneAnswer International Share Fund (PIE)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.69%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests mainly in International Equities. Investments may include: <ul style="list-style-type: none"> <li>• equities in companies that are listed on a recognised stock exchange, and</li> <li>• cash and cash equivalents.</li> </ul>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
OneAnswer International Share Fund (Single Asset Class)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.69%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests mainly in International Equities. Investments may include: <ul style="list-style-type: none"> <li>• equities in companies that are listed on a recognised stock exchange, and</li> <li>• cash and cash equivalents.</li> </ul>
OneAnswer Property Securities Fund	ANZ New Zealand Investments Limited	0.00%	0.00%	1.59%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests mainly in New Zealand and Australian listed property assets. Investments may include: <ul style="list-style-type: none"> <li>• listed companies, funds or trusts that invest in property, and</li> <li>• cash and cash equivalents.</li> </ul>
OneAnswer Property Securities Fund (PIE)	ANZ New Zealand Investments Limited	0.00%	0.00%	1.59%	<a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>	Invests mainly in New Zealand and Australian listed property assets. Investments may include: <ul style="list-style-type: none"> <li>• listed companies, funds or trusts that invest in property, and</li> <li>• cash and cash equivalents.</li> </ul>
Onemarket Ltd - AUD (OMN)	Onemarket Ltd	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/OMN:AU">https://www.bloomberg.com/quote/OMN:AU</a>	OneMarket Limited operates as a platform as a service company. The Company provides digital ad marketplace and a game-changer for the way brands and retailers engage with consumers and drive sales. OneMarket serves customers in the United States and the United Kingdom.
Pathfinder Commodity Plus Fund (PIE)	Pathfinder Asset Management Limited	0.00%	0.00%	1.40%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests in commodities (generally by using derivatives) and cash. The Fund currently invests in only 6 commodities being corn, wheat, gold, aluminium, crude oil and heating oil. At times the Fund can be fully invested in cash and so have no commodity exposure.
Pathfinder Global Property Fund	Pathfinder Asset Management Limited	0.00%	0.00%	1.40%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests directly in global property stocks that are listed in developed markets. The Fund targets a portfolio of 50 to 100 property stocks.
Pathfinder Global Property Fund (PIE)	Pathfinder Asset Management Limited	0.00%	0.00%	1.40%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests directly in global property stocks that are listed in developed markets. The Fund targets a portfolio of 50 to 100 property stocks.
Pathfinder Global Water Fund	Pathfinder Asset Management Limited	0.00%	0.00%	1.70%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests directly in companies involved in the water industry that satisfy Pathfinder's socially responsible investment screening. The Fund targets a portfolio of 50 to 100 water stocks that are listed in developed markets.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Pathfinder Global Water Fund (PIE)	Pathfinder Asset Management Limited	0.00%	0.00%	1.70%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests directly in companies involved in the water industry that satisfy Pathfinder's socially responsible investment screening. The Fund targets a portfolio of 50 to 100 water stocks that are listed in developed markets.
Pathfinder World Equity Fund (PIE)	Pathfinder Asset Management Limited	0.00%	0.00%	1.71%	<a href="http://www.pfam.co.nz/">http://www.pfam.co.nz/</a>	The Fund invests in global equities from both developed and emerging markets. The Fund targets a highly diversified portfolio of more than 500 underlying company exposures by investing in exchange traded funds (ETFs).
PayPal Holdings Inc	PayPal Holdings Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/PYPL:US">https://www.bloomberg.com/quote/PYPL:US</a>	PayPal Holdings, Inc. operates as a technology platform company that enables digital and mobile payments on behalf of consumers and merchants. The Company offers online payment solutions. PayPal Holdings serves customers worldwide.
Pengana Australian Equities Class A	Pengana Capital Limited	0.00%	0.00%	1.43%	<a href="http://www.pengana.com/">http://www.pengana.com/</a>	The Fund invests principally in listed Australian equities. If Pengana cannot find appropriate securities that meet its investment criteria, the Fund's assets are held in cash or cash equivalents.
Pengana Australian Equities Fund (W)	Pengana Capital Limited	0.00%	10.25% of any increase in the Class' NAV less any loss carried forward, after deduction of the management fee and capped expense recovery amount and adjusted for applications, redemptions and distributions to investors.	1.43% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.pengana.com/">http://www.pengana.com/</a>	The Fund invests principally in listed Australian equities. If Pengana cannot find appropriate securities that meet its investment criteria, the Fund's assets are held in cash or cash equivalents.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Pengana Emerging Companies	Pengana Capital Limited	0.00%	0.00%	1.73%	<a href="http://www.pengana.com/">http://www.pengana.com/</a>	<p>The Pengana Emerging Companies Fund investment process is centred around a very active company visitation programme in the belief that management is the most important factor in assessing investments in the sector. Over time we expect to visit most of the 800 or so companies in our universe.</p> <p>Qualitative based analysis focuses on management, product/service quality, competitive advantage, growth prospects and financial position. Favoured stocks are then fully modelled providing the inputs for a standardised cashflow based valuation. We then cross check this valuation with other investment tools such as the Price Earnings ratio, the Enterprise Value/ Earnings Before Interest Tax and Amortisation ratio and dividend yields.</p>
Pengana Emerging Companies Fund (W)	Pengana Capital Limited	0.00%	20.5% of any return of the Class greater than the return of the Index after deduction of the management fee and adjusted for applications, redemptions and distributions to investors	1.73% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.pengana.com/">http://www.pengana.com/</a>	<p>The Pengana Emerging Companies Fund investment process is centred around a very active company visitation programme in the belief that management is the most important factor in assessing investments in the sector. Over time we expect to visit most of the 800 or so companies in our universe.</p> <p>Qualitative based analysis focuses on management, product/service quality, competitive advantage, growth prospects and financial position. Favoured stocks are then fully modelled providing the inputs for a standardised cashflow based valuation. We then cross check this valuation with other investment tools such as the Price Earnings ratio, the Enterprise Value/ Earnings Before Interest Tax and Amortisation ratio and dividend yields.</p>
Pengana International Fund - Ethical	Pengana Capital Limited	0.00%	0.00%	1.90%	<a href="https://www.pengana.com/our-funds/international-equities/international-fund-ethical-opportunity/">https://www.pengana.com/our-funds/international-equities/international-fund-ethical-opportunity/</a>	The Pengana International Fund - Ethical aims to provide exposure to an ethically screened diversified portfolio of highly cash-generative and growing global companies purchased at compelling valuations.
Pengana International Fund - Ethical (W)	Pengana Capital Limited	0.00%	0.00%	1.90%	<a href="https://www.pengana.com/our-funds/international-equities/international-fund-ethical-opportunity/">https://www.pengana.com/our-funds/international-equities/international-fund-ethical-opportunity/</a>	The Pengana International Fund - Ethical aims to provide exposure to an ethically screened diversified portfolio of highly cash-generative and growing global companies purchased at compelling valuations.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Perennial Value Wealth Defender Fund (W)	Perennial Investment Partners Limited	0.00%	15.00% of the Trust's net return in excess of the benchmark return	1.38% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.perennial.net.au/">http://www.perennial.net.au/</a>	The Trust aims to outperform the S&P/ASX 300 Accumulation Index by investing in a diversified portfolio of Australian shares and using protection strategies to dynamically protect the portfolio through market cycles, thereby reducing the magnitude of significant negative returns in falling equity markets.
Perpetual Income and Growth Investment Trust Plc - GBP (PLI)	Perpetual Investment Management Limited	0.00%	0.00%	1.05%	<a href="https://www.invescopperpetual.co.uk/portal/site/ip/products/productDetail?contentId=46ead1587857d210VgnVCM1000002e1ebf0aRCRD">https://www.invescopperpetual.co.uk/portal/site/ip/products/productDetail?contentId=46ead1587857d210VgnVCM1000002e1ebf0aRCRD</a>	The Company's investment objective is to provide shareholders with capital growth and real growth in dividends over the medium to longer term from a portfolio of securities listed mainly in the UK equity and fixed interest markets.  Further details of the investment trust's Investment Policy and Risk and Investment Limits can be found in the Directors' Report contained within the investment trust's Annual Financial Report.
Perpetual Wholesale Australian Fund (W)	Perpetual Investment Management Limited	0.00%	0.00%	1.39%	<a href="https://www.perpetual.com.au/">https://www.perpetual.com.au/</a>	Aims to provide long-term capital growth and regular income through investment in quality industrial and resource shares - outperform the S&P/ASX 300 Accumulation Index (before fees and taxes) over rolling three-year periods.
Perpetual Wholesale Industrial Fund (W)	Perpetual Investment Management Limited	0.00%	0.00%	1.39%	<a href="https://www.perpetual.com.au/-/media/perpetual/pdf/resources/investor-forms-page-pdfs/perpetual-wholesale-funds/perpetual-wholesale-funds-pds.ashx">https://www.perpetual.com.au/-/media/perpetual/pdf/resources/investor-forms-page-pdfs/perpetual-wholesale-funds/perpetual-wholesale-funds-pds.ashx</a>	Perpetual is an Australian investment and trustee group; providing investment products, financial advice, philanthropic and corporate service to individuals, families, financial advisers and organisations. Perpetual offers a broad range of investment, superannuation and retirement income products.
Personal Assets Trust PLC - GBP (PNL)	Personal Assets Trust PLC	0.00%	0.00%	0.90%	<a href="https://www.patplc.com/">https://www.patplc.com/</a>	The Company is an investment trust with the ability to invest globally. Its investment policy is to protect and increase (in that order) the value of shareholders' funds per share over the long term.
Peters MacGregor Global	Peters MacGregor Global	0.00%	0.00%	0.40%	<a href="https://petersmacgregor.com/">https://petersmacgregor.com/</a>	Peters MacGregor Global Fund is an open-ended equity mutual fund launched and managed by Peters MacGregor Capital Management Pty Ltd. The fund invests in the public equity markets across the globe. It also invests in alternative securities. The fund invests in the stocks of companies operating across diversified sectors.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
PIE Global Small Companies Fund	Pie Funds Management Ltd	0.00%	The performance-based fee is calculated by multiplying 10% of the return for the relevant Fund, for the relevant period	1.40%	<a href="https://www.piefunds.co.nz/assets/Uploads/Pie-Global-Small-Companies-Fund-PDS2.pdf">https://www.piefunds.co.nz/assets/Uploads/Pie-Global-Small-Companies-Fund-PDS2.pdf</a>	Pie Global Small Companies Fund is an open-end fund incorporated in New Zealand. The Fund will predominantly invest in managed funds from around the world that focus investment on smaller companies together with direct investments in global listed smaller companies and cash.
PIE Growth 2 Fund	Pie Funds Management Ltd	0.00%	The performance-based fee is calculated by multiplying 15% of the return for the relevant Fund, for the relevant period	1.90%	<a href="https://www.piefunds.co.nz/assets/Uploads/Growth-2-PDS-7-September-2017.PDF">https://www.piefunds.co.nz/assets/Uploads/Growth-2-PDS-7-September-2017.PDF</a>	The Growth 2 Fund's objective is to deliver long-term capital growth by investing in smaller and medium growth companies listed in New Zealand and Australia. This fund is soft-closed.
Pilbara Minerals Ltd Ordinary Shares – AUD (PLS)	Pilbara Minerals Limited	0.00%	0.00%	0.40%	<a href="http://www.pilbaraminerals.com.au">http://www.pilbaraminerals.com.au</a>	Pilbara Minerals is an emerging lithium and tantalum producer focused on the development of its world-class 100% owned Pilgangoora Lithium-Tantalum Project, located approximately 120kms from Port Hedland in the Pilbara region of Western Australia.
Platinum Asia	Platinum Asset Management	0.00%	1.35% per annum of the fund's net asset value	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The investment objective of this Fund is to provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments in companies in the Asian region.
Platinum Asia Fund – C Class Units (W)	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The investment objective of this Fund is to provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments in companies in the Asian region.
Platinum European Fund – C Class Units (W)	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The investment objective of this Fund is to provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments in companies in the European region.
Platinum International Brands Fund (W)	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The objective of this Fund is to provide capital growth over the long-term by investing in companies around the world with well-recognised consumer brand names (including producers of luxury goods, other consumer durables, as well as food, beverages, household and personal care products, retailers, and financial services).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Platinum International Fund	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The investment objective of this Fund is to provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments in companies in the European region.
Platinum International Fund – C Class Units (W)	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	To provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments around the world.
Platinum Japan Fund – C Class Units (W)	Platinum Asset Management	0.00%	0.00%	1.75%	<a href="https://www.platinum.com.au/">https://www.platinum.com.au/</a>	The investment objective of this Fund is to provide capital growth over the long-term through searching out undervalued listed (and unlisted) investments in companies in Japan and Korea.
PM Capital Enhanced Yield	PM Capital Enhanced Yield	0.00%	25.00%	0.95%	<a href="http://www.pmcapital.com.au/">http://www.pmcapital.com.au/</a>	The Fund targets a return to investors in excess of the Reserve Bank of Australia's (RBA) cash rate. The Fund aims to outperform the RBA cash rate with a low degree of volatility and minimal risk of capital loss.
PM Capital Enhanced Yield Fund (W)	PM Capital Limited	0.00%	25.00%	0.95%	<a href="http://www.pmcapital.com.au/">http://www.pmcapital.com.au/</a>	The Fund targets a return to investors in excess of the Reserve Bank of Australia's (RBA) cash rate. The Fund aims to outperform the RBA cash rate with a low degree of volatility and minimal risk of capital loss.
PM Capital Global Companies	PM Capital Limited	0.00%	0.00%	0.95%	<a href="http://www.pmcapital.com.au/">http://www.pmcapital.com.au/</a>	The Fund targets a return to investors in excess of the Reserve Bank of Australia's (RBA) cash rate. The Fund aims to outperform the RBA cash rate with a low degree of volatility and minimal risk of capital loss.
Polar Capital Technology Trust – GBP (PCT)	Polar Capital Technology Trust	0.00%	0.00%	1.56%	<a href="http://www.polarcapitaltechnologytrust.co.uk/">http://www.polarcapitaltechnologytrust.co.uk/</a>	The Board monitors the portfolio's exposure to different geographical markets, sub-sectors within technology and the spread of investments across different market capitalisations. Cyclical changes in markets and new technologies will bring certain sub-sectors or companies of a particular size or market capitalisation into or out of favour.
Polar Capital Technology Trust PLC	Polar Capital Technology Trust	0.00%	0.00%	1.56%	<a href="http://www.polarcapitaltechnologytrust.co.uk/">http://www.polarcapitaltechnologytrust.co.uk/</a>	The Board monitors the portfolio's exposure to different geographical markets, sub-sectors within technology and the spread of investments across different market capitalisations. Cyclical changes in markets and new technologies will bring certain sub-sectors or companies of a particular size or market capitalisation into or out of favour.
Port of Tauranga Limited – NZD (POT)	Port of Tauranga Limited	0.00%	0.00%	0.40%	<a href="https://www.port-tauranga.co.nz/">https://www.port-tauranga.co.nz/</a>	We are the most horizontally and vertically integrated port in the country.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Precinct Properties New Zealand Limited	Precinct Properties New Zealand Limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	<p>We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.</p> <p>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.</p>
Precinct Properties NZ Limited – NZD (PCT)	Precinct Properties New Zealand Limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	<p>We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.</p> <p>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.</p>
Precinct Properties NZ Ltd Convertible Bonds @ 4.80% – NZD (PCTHA)	Precinct Properties New Zealand Limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	Precinct invests predominately in city centre office and retail real estate which thrives through co-location with office assets. We may also invest in other city centre real estate including land, hotels, and lower quality properties where value can be created through active management or development.
Precinct Properties NZ Ltd Fixed Rate Bonds @ 4.42% Mat 27/11/2024 – (PCT020)	Precinct Properties New Zealand Limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	Precinct invests predominately in city centre office and retail real estate which thrives through co-location with office assets. We may also invest in other city centre real estate including land, hotels, and lower quality properties where value can be created through active management or development.
Precinct Properties NZ Ltd Fixed Rate Bonds @ 5.54% Mat 17/12/2021- (PCT010)	Precinct Properties New Zealand Limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	<p>We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.</p> <p>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.</p>
Premium Asia Income Fund	Premium China	None noted in PDS	None noted per PDS	1.53% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://www.eqt.com.au/-/media/equitytrustees/files/instofunds/premiumchinafunds/premium-asia-income-fund-nz-pds.pdf">http://www.eqt.com.au/-/media/equitytrustees/files/instofunds/premiumchinafunds/premium-asia-income-fund-nz-pds.pdf</a>	The Fund provides exposure to a concentrated portfolio of fixed interest securities issued by companies in Asia and the Middle East. The Fund may also invest in cash, money market instruments and derivatives including over-the counter participatory notes and foreign exchange contracts.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Premium China	Premium China	0.00%	0.00%	2.20%	<a href="http://www.premiumchinafunds.com.au/funds/premium-china-fund.html">http://www.premiumchinafunds.com.au/funds/premium-china-fund.html</a>	Premium China Funds Management ("Premium") is a boutique funds management group providing specialist Asian equity and fixed-income funds to both Australian and New Zealand investors.
Premium China Fund (W) – Cash	Premium China	0.00%	0.00%	2.20%	<a href="http://www.premiumchinafunds.com.au/funds/premium-china-fund.html">http://www.premiumchinafunds.com.au/funds/premium-china-fund.html</a>	Premium China Funds Management ("Premium") is a boutique funds management group providing specialist Asian equity and fixed-income funds to both Australian and New Zealand investors.
Property For Industry Limited @ 4.59% Mat 28/11/2024 – (PF1010)	Property For Industry limited	0.00%	0.00%	0.40%	<a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>	We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.  Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.
Prudential Corporation Plc – GBP (PRU)	Prudential Corporation	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/PRU:LN">https://www.bloomberg.com/quote/PRU:LN</a>	Prudential plc is an international company which provides a wide assortment of insurance and investment products and services. Insurance products include life, accident and health, and property and casualty, as well as fixed and variable annuities. Prudential's services include personal and group pensions, equity plans, mortgages, and deposit accounts.
QBE Insurance Group Limited – AUD (QBE)	QBE Insurance Group Limited	0.00%	0.00%	0.40%	<a href="https://www.group.qbe.com/">https://www.group.qbe.com/</a>	QBE Insurance Group is one of the world's top 20 general insurance and reinsurance companies, with operations in all the key insurance markets. QBE is listed on the Australian Securities Exchange and is headquartered in Sydney. We employ more than 14,500 people in 37 countries.
Rabo Capital Securities Ltd (PIE Cap Secs) @8.3425% – NZD (RCSHA)	Rabo Capital Securities Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Rabobank Nederland NZ Branch @ 6.10% Mat 19/03/2019 – (RNB011)	Rabobank Nederland	0.00%	0.00%	0.40%	<a href="https://www.interest.co.nz/sites/default/files/Rabobank%20terms%20sheet.pdf">https://www.interest.co.nz/sites/default/files/Rabobank%20terms%20sheet.pdf</a>	Rabobank is a bank that is run for clients. Established in the Netherlands, we have grown to become an international financial services provider, active in the area of banking, capital management, leasing, insurance and real estate. We are a cooperative bank with agricultural roots. We know the agricultural sector like no other. Moreover, it is our ambition to be the global leading food and agri bank.
Rabobank Term Deposit 3.70% 18/11/2019	RaboDirect Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).
Rabobank Term Deposit 3.85% 19/02/2020	RaboDirect Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).
RaboDirect 90 Day Term Deposit (Mar/ Jun/Sep/Dec)	RaboDirect Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).
RaboDirect Ltd (NP)	RaboDirect Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).
RaboDirect RaboSaver Account	RaboDirect Limited	0.00%	0.00%	0.40%	<a href="https://www.rabodirect.co.nz/">https://www.rabodirect.co.nz/</a>	RaboDirect is New Zealand's first internet only bank specialising in savings and investments. We don't offer traditional banking products like eftpos, cheques, high-interest loans, mortgages and credit cards. You can keep your existing bank for those services – just use RaboDirect for savings and investments (without the hassle of switching banks).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Ramsay Health Care Limited – AUD (RHC)	Ramsay Health Care Limited	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/RHC:AU">https://www.bloomberg.com/quote/RHC:AU</a>	Ramsay Health Care Ltd. provides health care services. The Company offers private hospital services, including, rehabilitation, psychiatric care, day, and complex surgery. Ramsay Health Care owns, operates, and manages health care facilities throughout Australia, Indonesia, and Europe.
Reckitt Benckiser Plc – GBP (RB)	Reckitt Benckiser Plc	0.00%	0.00%	0.40%	<a href="http://www.rb.com/">http://www.rb.com/</a>	We're 37,000 entrepreneurs, all inspired by a vision of a world where people are healthier and live better. At the heart of our rapidly growing consumer health business is a passionate desire to help people feel better. We invest in research and development to find new ways for people to look after themselves, their families and homes.
ResMed Inc – AUD (RMD)	ResMed Inc	0.00%	0.00%	0.40%	<a href="http://www.resmed.com/au/en/index.html">http://www.resmed.com/au/en/index.html</a>	ResMed Inc. is a holding company. The Company, through its subsidiaries, designs, manufactures and markets equipment for the diagnosis and treatment of sleep-disordered breathing and other respiratory disorders, including obstructive sleep apnea. The Company develops a range of products for sleep-disordered breathing and other respiratory disorders, including airdevices, informatics solutions, diagnostic products, mask systems, headgear and other accessories.
Resolution Capital Global Property Securities Fund	Resolution Capital	0.00%	A performance fee of 20% of the Fund's outperformance of the Benchmark net of the management fee and expenses and subject to a high watermark.	1.20%	<a href="https://www.rescap.com/globalfund">https://www.rescap.com/globalfund</a>	Resolution Capital is a specialist global real estate securities manager with a successful long-term investment track record and ardent culture of fiduciary responsibility.
Restaurant Brands NZ Limited – NZD (RBD)	Restaurant Brands NZ limited	0.00%	0.00%	0.40%	<a href="http://www.restaurantbrands.co.nz/">http://www.restaurantbrands.co.nz/</a>	Restaurant Brands is a corporate franchisee and specialises in managing multi-site branded food retail chains. Its restaurant support centre and corporate office is located in Penrose in Auckland. Restaurant Brands is listed on the New Zealand Stock Exchange under NZX code RBD. As of September 2016, Restaurant Brands has 215 stores: 91 KFC New Zealand, 42 KFC Australia, 37 Pizza Hut, 25 Starbucks and 20 Carl's Jr. stores. It employs nearly 6,000 staff across New Zealand and Australia and serves over 60,000 customers throughout New Zealand every day.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Rio Tinto Limited – AUD (RIO)	Rio Tinto Limited	0.00%	0.00%	0.40%	<a href="https://www.riotinto.com/investors">https://www.riotinto.com/investors</a>	Our long history is filled with firsts. We've developed some of the world's largest and best quality mines and operations, and our people work in around 35 countries across six continents.
RIT Capital Partners PLC	RIT Capital Partners PLC	0.00%	0.00%	0.40%	<a href="http://www.ritcap.com/">http://www.ritcap.com/</a>	RIT Capital Partners plc is an Investment Trust chaired by Lord Rothschild, which aims to protect and enhance shareholders wealth over the long term. Since listing on the London Stock Exchange in 1988, we have generated a share price total return of 12.7% per annum for our shareholders.
RIT Capital Partners PLC – GBP (RCP)	RIT Capital Partners PLC	0.00%	0.00%	0.40%	<a href="http://www.ritcap.com/">http://www.ritcap.com/</a>	RIT Capital Partners plc is an Investment Trust chaired by Lord Rothschild, which aims to protect and enhance shareholders wealth over the long term. Since listing on the London Stock Exchange in 1988, we have generated a share price total return of 12.7% per annum for our shareholders.
Roche Holding AG – USD (RHHBY)	Roche Holdings AG	0.00%	0.00%	0.40%	<a href="http://www.roche.com/">http://www.roche.com/</a>	We have been committed to improving lives since the company was founded in 1896 in Basel, Switzerland. Today, Roche creates innovative medicines and diagnostic tests that help millions of patients globally.
Royal Mail Plc – GBP (RMG)	Royal Mail PLC	0.00%	0.00%	0.40%	<a href="http://www.royalmailgroup.com/">http://www.royalmailgroup.com/</a>	Royal Mail is the UK's designated Universal Postal Service Provider, supporting customers, businesses and communities around the country. We are the only company delivering a 'one-price-goes-anywhere', six days a week service to more than 29 million addresses across the UK.
Ruffer Investment Company Ltd – GBP (RICA)	Ruffer Investment Company Ltd	0.00%	0.00%	0.40%	<a href="http://www.ruffer.co.uk/">http://www.ruffer.co.uk/</a>	We look after investments for private clients and institutions. We also manage funds.
Russell Australian Select Corporate Bond ETF – AUD (RCB)	Russell Investments	0.00%	0.00%	0.40%	<a href="https://russellinvestments.com/au/solutions/exchange-traded-funds/overview">https://russellinvestments.com/au/solutions/exchange-traded-funds/overview</a>	The Russell Australian Select Corporate Bond ETF (the 'Fund') seeks to track the DBIQ 0-4 year Investment Grade Australian Corporate Bond Index ('the Index') which comprises predominantly investment-grade Australian corporate fixed income securities. The Fund aims to provide exposure to the largest and most liquid Australian corporate bonds, as identified by certain eligibility criteria including minimum credit rating, minimum issuance size and term to maturity. The Fund also aims to deliver diversified risk through equally weighting the securities on reconstitution to ensure that the exposure is not biased towards the largest borrowers.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Russell Global Opportunities Fund - \$NZ Hedged - Class B - SWM	Russell Investments	None noted in PDS	None noted per PDS	1.78% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="http://iisolutions.co.nz/russell-global-opportunities-fund-nz-hedged/">http://iisolutions.co.nz/russell-global-opportunities-fund-nz-hedged/</a>	The Russell Investments Global Opportunities Fund - \$NZ Hedged provides unitholders with exposure to an actively managed global shares portfolio, which is typically hedged to New Zealand Dollars. Using a multi-style, multi-manager approach, the fund invests in the major developed markets of the world, has exposure to small capitalisation stocks and may also invest in emerging market securities.
Russell Global Opportunities Fund - \$NZ Hedged - Class B (W)	Russell Investments	0.00%	0.00%	1.78%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	The investment objective of the Fund is to provide a total return, before costs and taxes, higher than the Benchmark over the long term by having an underlying exposure to a diversified portfolio of international shares, targeting a position of being fully hedged back to New Zealand Dollars. The investment policy of the Fund is to be invested with the intent that the Fund's principal investment policy will be investment in equity securities in any country.
Russell Global Opportunities Fund - Class B (W)	Russell Investments	0.00%	0.00%	1.78%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	The investment objective of the Fund is to provide a total return, before costs and taxes, higher than the Benchmark over the long term by having an underlying exposure to a diversified portfolio of international shares, targeting a position of being fully hedged back to New Zealand Dollars. The investment policy of the Fund is to be invested with the intent that the Fund's principal investment policy will be investment in equity securities in any country.
Russell Investments Global Fixed Interest Fund - PIE	Russell Investments	0.00%	0.00%	1.25%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	Provides exposure to international fixed interest securities and is actively managed using a multi-manager approach. Targets being fully hedged back to New Zealand dollars. Objective: to provide a total return, before costs and tax, higher than the Barclays Global Aggregate Index - New Zealand dollar Hedged over the long term (3 years plus).
Russell Investments Global Fixed Interest Fund (PIE)	Russell Investments	0.00%	0.00%	1.25%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	Provides exposure to international fixed interest securities and is actively managed using a multi-manager approach. Targets being fully hedged back to New Zealand dollars. Objective: to provide a total return, before costs and tax, higher than the Barclays Global Aggregate Index - New Zealand dollar Hedged over the long term (3 years plus).



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Russell Investments Global Share Fund (PIE)	Russell Investments	0.00%	0.00%	1.89%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	Provides exposure to international shares and is actively managed using a multi-manager approach. Targets being fully hedged back to New Zealand dollars.  Objective: to provide a total return, before costs and tax, higher than the Russell Global Large Cap NZ Hedged - Net Index over the long term (3 years plus).
Russell Investments Hedged Global Shares Fund (PIE)	Russell Investments	0.00%	0.00%	1.92%	<a href="https://russellinvestments.com/nz">https://russellinvestments.com/nz</a>	Provides exposure to international shares and is actively managed using a multi-manager approach. Targets being fully hedged back to New Zealand dollars.  Objective: to provide a total return, before costs and tax, higher than the Russell Global Large Cap NZ Hedged - Net Index over the long term (3 years plus).
Ryman Healthcare Limited - NZD (RYM)	Ryman Healthcare Limited	0.00%	0.00%	0.40%	<a href="http://www.rymanhealthcare.co.nz/">http://www.rymanhealthcare.co.nz/</a>	We create value every time we establish a new village, as we know how to build and deliver a great village and service to our residents in a cost-effective way. A completed village thereafter provides us with a growing tail of realised profits.
Sainsbury(J) Plc - GBP (SBRY)	Sainsbury(J) Plc	0.00%	0.00%	0.40%	<a href="http://www.j-sainsbury.co.uk/">http://www.j-sainsbury.co.uk/</a>	Sainsbury's commitment to helping customers live well for less has been at the heart of what we do since 1869. Today that means making our customers' lives better and easier every day by offering great quality and service at fair prices - across food, clothing, homeware and financial services - whenever and wherever they want it. As our customers' lives change, so will our business. Sainsbury's acquired Home Retail Group, the owner of Argos and Habitat, on 2 September 2016, creating one of the UK's leading food and non-food retailers - a multi-product, multi-channel business with fast delivery networks.
Salt Enhanced Property Fund	Salt Investment Funds Limited	0.00%	0.00%	1.27%	<a href="https://static1.squarespace.com/static/53e333eee4b08ed4322ef199/t/59dfecabd2b8574319d06239/1507847343440/Salt+Investment+Funds+Product+Disclosure+Statement+13+October+2017.pdf">https://static1.squarespace.com/static/53e333eee4b08ed4322ef199/t/59dfecabd2b8574319d06239/1507847343440/Salt+Investment+Funds+Product+Disclosure+Statement+13+October+2017.pdf</a>	The Salt Enhanced Property Fund targets a portfolio of shares of New Zealand and Australian property trusts, companies and other property related securities with exposure to commercial, residential, retail, tourism, industrial, medical, educational, rural, retirement, and other property sectors.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Salt Long Short Fund	Salt Investment Funds Limited	0.00%	15.00% The performance period is every 12 months up to and including 31 March each year. The performance fee is accrued and adjusted on a daily basis and paid annually in arrears.	3.89%	<a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>	The Fund's investment objective is to outperform the Reserve Bank of New Zealand Official Cash Rate +5% p.a. benchmark on a rolling three year basis.  The Fund aims to deliver positive absolute returns in all market environments. In addition to holding "long-only" New Zealand and Australian securities, the Fund may also short sell securities, hold cash, lever its assets and utilise active currency management to generate returns (although the Fund will generally be fully hedged).
Salt Long/Short Fund (PIE)	Salt Investment Funds Limited	0.00%	15.00% The performance period is every 12 months up to and including 31 March each year. The performance fee is accrued and adjusted on a daily basis and paid annually in arrears.	3.89%	<a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>	The Fund's investment objective is to outperform the Reserve Bank of New Zealand Official Cash Rate +5% p.a. benchmark on a rolling three year basis.  The Fund aims to deliver positive absolute returns in all market environments. In addition to holding "long-only" New Zealand and Australian securities, the Fund may also short sell securities, hold cash, lever its assets and utilise active currency management to generate returns (although the Fund will generally be fully hedged).
Salt Long/Short Fund (PIE) – SWM	Salt Investment Funds Limited	0.00%	15.00% The performance period is every 12 months up to and including 31 March each year. The performance fee is accrued and adjusted on a daily basis and paid annually in arrears.	3.89%	<a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>	The Fund's investment objective is to outperform the Reserve Bank of New Zealand Official Cash Rate +5% p.a. benchmark on a rolling three year basis.  The Fund aims to deliver positive absolute returns in all market environments. In addition to holding "long-only" New Zealand and Australian securities, the Fund may also short sell securities, hold cash, lever its assets and utilise active currency management to generate returns (although the Fund will generally be fully hedged).
Salt NZ Dividend Appreciation Fund (PIE)	Salt Investment Funds Limited	0.00%	0.00%	1.37%	<a href="https://static1.squarespace.com/static/53e333eee4b08ed4322ef199/t/59dfecabd2b8574319d06239/1507847343440/Salt+Investment+Funds+Product+Disclosure+Statement+13+October+2017.pdf">https://static1.squarespace.com/static/53e333eee4b08ed4322ef199/t/59dfecabd2b8574319d06239/1507847343440/Salt+Investment+Funds+Product+Disclosure+Statement+13+October+2017.pdf</a>	The Fund's investment objective is to outperform the S&P/NZX 50 Gross Index on a rolling three year basis by investing predominantly in New Zealand shares that may pay high and sustainable dividends.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Samsung Electronics Co Ltd – USD (SMSN)	Samsung Electronics Co Ltd	0.00%	0.00%	0.40%	<a href="http://www.samsung.com/nz/home/">http://www.samsung.com/nz/home/</a>	From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward.
Sanofi – USD (SNY)	Sanofi	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/SNY:US">https://www.bloomberg.com/quote/SNY:US</a>	Sanofi is a global pharmaceutical company that researches, develops, and manufactures prescription pharmaceuticals and vaccines. The Company develops cardiovascular, thrombosis, metabolic disorder, central nervous system, internal medicine and oncology drugs, and vaccines.
Santos Limited – AUD (STO)	Santos Limited	0.00%	0.00%	0.40%	<a href="https://www.santos.com/">https://www.santos.com/</a>	An Australian energy pioneer since 1954, Santos is one of the leading independent oil and gas producers in the Asia-Pacific region, supplying the energy needs of homes, businesses and major industries across Australia and Asia.
Scales Corporation Limited – NZD (SCL)	Scales Corporation Limited	0.00%	0.00%	0.40%	<a href="https://scalescorporation.co.nz/">https://scalescorporation.co.nz/</a>	Scales origins date back to 1897. Today it is a diversified agribusiness portfolio with divisions that are geographically spread, supplying multiple markets and customers. Scales has exposure to unique and separate components of the New Zealand primary sector.
Scentre Group Stapled Securities – AUD (SCG)	Scentre Group	0.00%	0.00%	0.40%	<a href="https://www.scentregroup.com/">https://www.scentregroup.com/</a>	<p>Scentre Group was created on 30 June 2014 through the merger of Westfield Retail Trust and Westfield Group's Australian and New Zealand management business. It combined the property interests of each of Westfield Retail Trust and Westfield Group in Australia and New Zealand and includes the industry leading operating platform with retail real estate assets under management valued at \$43.3 billion and shopping centre ownership interests valued at \$30.8 billion.</p> <p>Scentre Group manages, develops and has an ownership interest in Westfield branded shopping centres in Australia and New Zealand.</p> <p>The shopping centres are highly productive, with strong franchise value and the ability to attract the world's leading retail brands. They are an essential part of the community's social and economic fabric. In 2015, over 525 million customers visited a Westfield shopping centre, spending in excess of \$22 billion.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Schroder Asia Pacific Fund – GBP (SDP)	Schroder	0.00%	0.00%	0.40%	<a href="http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-asiapacific-fund-plc/">http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-asiapacific-fund-plc/</a>	The Company's principal investment objective is to achieve capital growth through investment primarily in equities of companies located in the continent of Asia (excluding the Middle East and Japan), together with the Far Eastern countries bordering the Pacific Ocean, with the aim of achieving growth in excess of the MSCI All Countries Asia excluding Japan Index in Sterling terms (Benchmark Index) over the longer term.
Schroder AsiaPacific Fund PLC	Schroder	0.00%	0.00%	0.40%	<a href="http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-asiapacific-fund-plc/">http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-asiapacific-fund-plc/</a>	The Company's principal investment objective is to achieve capital growth through investment primarily in equities of companies located in the continent of Asia (excluding the Middle East and Japan), together with the Far Eastern countries bordering the Pacific Ocean, with the aim of achieving growth in excess of the MSCI All Countries Asia excluding Japan Index in Sterling terms (Benchmark Index) over the longer term.
Schroder Japan Growth Fund PLC – GBP (SJG)	Schroder	0.00%	0.00%	0.40%	<a href="http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-japan-growth-fund-plc/">http://www.schroders.com/en/uk/private-investor/fund-centre/funds-in-focus/schroder-japan-growth-fund-plc/</a>	The Company's principal investment objective is to achieve capital growth from an actively managed portfolio principally comprising securities listed on the Japanese stock markets, with the aim of achieving growth in excess of the TSE First Section Total Return Index over the longer term.
Scottish Mortgage Investment Trust PLC	Scottish Mortgage Investment Trust Plc	0.00%	0.00%	0.70%	<a href="https://www.bailliegifford.com/individual-investors/funds/scottish-mortgage-investment-trust/">https://www.bailliegifford.com/individual-investors/funds/scottish-mortgage-investment-trust/</a>	Scottish Mortgage is an actively managed, low cost investment trust, investing in a high conviction, global portfolio of companies with the aim of maximising its total return to its shareholders over the long term. The managers aim to achieve a greater return than the FTSE AllWorld Index (in sterling terms) over a five year rolling period.
Scottish Mortgage Investment Trust Plc – GBP (SMT)	Scottish Mortgage Investment Trust Plc	0.00%	0.00%	0.70%	<a href="https://www.bailliegifford.com/individual-investors/funds/scottish-mortgage-investment-trust/">https://www.bailliegifford.com/individual-investors/funds/scottish-mortgage-investment-trust/</a>	Scottish Mortgage is an actively managed, low cost investment trust, investing in a high conviction, global portfolio of companies with the aim of maximising its total return to its shareholders over the long term. The managers aim to achieve a greater return than the FTSE AllWorld Index (in sterling terms) over a five year rolling period.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Simplicity NZ Bond Index Fund (PIE)	Simplicity NZ Limited	0.00%	0.00%	0.50%	<a href="https://simplicityfunds.kiwi/assets/Uploads/pds.pdf">https://simplicityfunds.kiwi/assets/Uploads/pds.pdf</a>	The NZ Bond Fund invests in New Zealand government bonds and investment grade, liquid bonds issued in New Zealand dollars, designed to generate a return similar to the performance of the New Zealand government bond market and to be the New Zealand bond component of a diversified investment portfolio.
Simplicity NZ Share Index Fund (PIE)	Simplicity NZ Limited	0.00%	0.00%	0.50%	<a href="https://simplicityfunds.kiwi/assets/Uploads/pds.pdf">https://simplicityfunds.kiwi/assets/Uploads/pds.pdf</a>	The NZ Share Fund invests in stocks and shares listed on the New Zealand stock exchange (NZX), designed to generate a return similar to the performance of the New Zealand share market and to be the New Zealand share component of a diversified investment portfolio.
Sky City Entertainment Group Ordinary Shares – NZD (SKC)	Sky City Entertainment Group Limited	0.00%	0.00%	0.40%	<a href="https://www.skycityentertainmentgroup.com/">https://www.skycityentertainmentgroup.com/</a>	SKYCITY Entertainment Group Limited (“SKYCITY” or “SKYCITY Group”) is New Zealand’s largest tourism, leisure and entertainment company with an iconic status and is listed in both New Zealand and Australia. As one of only three publically listed casino operators in Australasia, we operate integrated entertainment complexes in New Zealand (Auckland, Hamilton and Queenstown) and Australia (Adelaide and Darwin). In addition to our contemporary casino gaming facilities at each of our complexes, we also offer world-class restaurants, bars and conference facilities which appeal to both domestic and international visitors alike. Additionally, we also offer award-winning hotel accommodation in Auckland and Darwin.
Sky Network Television Ltd @ 6.25% Mat 31/03/2021 – (SKT020)	Sky Network Television Limited	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/companies/skt/announcements/248299">https://www.nzx.com/companies/skt/announcements/248299</a>	For more than two exhilarating decades, we’ve taken an ambitious team of ten and built a courageous crew of over 1,000. Together we’re leading our customers to a world full of unique TV experiences every day. We love what we do, we put our decades of experience producing, curating and watching the world on screen to good use. The enjoyment we bring to New Zealand homes is an amazing thing. We’re not a big corporate, we’re a collection of Kiwis doing what we love. As the nation’s premium provider of TV we create memorable and rewarding experiences and we deliver new content ideas, technologies and services to our shores. We’ll keep challenging ourselves to go above and beyond and make great things happen.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
SkyCity Entertainment Group Limited @4.65% Mat 28/09/2022 – (SKC040)	Sky City Entertainment Group Limited	0.00%	0.00%	0.40%	<a href="https://www.skycityentertainmentgroup.com/">https://www.skycityentertainmentgroup.com/</a>	SKYCITY Entertainment Group Limited (“SKYCITY” or “SKYCITY Group”) is New Zealand’s largest tourism, leisure and entertainment company with an iconic status and is listed in both New Zealand and Australia. As one of only three publically listed casino operators in Australasia, we operate integrated entertainment complexes in New Zealand (Auckland, Hamilton and Queenstown) and Australia (Adelaide and Darwin). In addition to our contemporary casino gaming facilities at each of our complexes, we also offer world-class restaurants, bars and conference facilities which appeal to both domestic and international visitors alike. Additionally, we also offer award-winning hotel accommodation in Auckland and Darwin.
Smartshares NZ Core Equity	Smartshares	0.00%	0.00%	0.90%	<a href="http://smartshares.co.nz/types-of-funds/nz-core">http://smartshares.co.nz/types-of-funds/nz-core</a>	The NZ Core Fund provides long-term capital growth by gaining exposure to a diversified portfolio of equity securities listed in New Zealand.
Sonic Healthcare Limited – AUD (SHL)	Sonic Healthcare Limited	0.00%	0.00%	0.40%	<a href="https://www.sonichealthcare.com/">https://www.sonichealthcare.com/</a>	Sonic Healthcare is a global healthcare company with a reputation for excellence in laboratory medicine/ pathology, radiology/diagnostic imaging and primary care medical services.
South32 Limited – AUD (S32)	South32 Limited	0.00%	0.00%	0.40%	<a href="https://www.south32.net/">https://www.south32.net/</a>	South32 is a globally diversified metals and mining company with operations mining and producing bauxite, alumina, aluminium, energy and metallurgical coal, manganese, nickel, silver, lead and zinc in Australia, Southern Africa and South America. The roots of South32 are in the Southern Hemisphere, with a head office in Perth and regional hubs in Perth and Johannesburg. Management of South32 Marketing is based in Singapore, with offices in London to support customers in Europe, the Middle East and Atlantic regions and in South Africa and Australia to service our domestic customers.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
South32 Limited – GBP (S32)	South32 Limited	0.00%	0.00%	0.40%	<a href="https://www.south32.net/">https://www.south32.net/</a>	<p>South32 is a globally diversified metals and mining company with operations mining and producing bauxite, alumina, aluminium, energy and metallurgical coal, manganese, nickel, silver, lead and zinc in Australia, Southern Africa and South America.</p> <p>The roots of South32 are in the Southern Hemisphere, with a head office in Perth and regional hubs in Perth and Johannesburg. Management of South32 Marketing is based in Singapore, with offices in London to support customers in Europe, the Middle East and Atlantic regions and in South Africa and Australia to service our domestic customers.</p>
Spark Infrastructure Group – AUD (SKI)	Spark Infrastructure Group	0.00%	0.00%	0.40%	<a href="http://sparkinfrastructure.com/">http://sparkinfrastructure.com/</a>	<p>Spark Infrastructure is a specialist infrastructure fund. Its objective is to invest in regulated utility infrastructure, both within Australia and overseas. This includes electricity and gas distribution and transmission, regulated water and sewerage assets which offer relatively low risk and stable cashflows, facilitate the payment of relatively predictable distributions to investors and offer the potential for long term capital growth.</p>
Spark New Zealand Limited – NZD (SPK)	Spark New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a>	<p>Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).</p>
Spark New Zealand Ltd	Spark New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a>	<p>Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).</p>
SPDR Dow Jones Global Real Estate Fund – AUD (DJRE)	SPDR Dow Jones Global Real Estate Fund	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/DJRE:AU">https://www.bloomberg.com/quote/DJRE:AU</a>	<p>SPDR Dow Jones Global Real Estate Fund is an exchange-traded fund which tracks the performance of the Dow Jones Global Select Real Estate Securities Index. The Index measures the performance of publicly traded real estate securities. It represents equity real estate investment trusts (REITs) and real estate operating companies traded globally.</p>



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
SPDR Gold Shares – USD (GLD)	SPDR Gold Shares	0.00%	0.00%	0.40%	<a href="https://www.spdrgoldshares.com/">https://www.spdrgoldshares.com/</a>	SPDR® Gold Shares (NYSEArca: GLD) offer investors an innovative, relatively cost efficient and secure way to access the gold market. Originally listed on the New York Stock Exchange in November of 2004, and traded on NYSE Arca since December 13, 2007, SPDR® Gold Shares is the largest physically backed gold exchange traded fund (ETF) in the world. SPDR® Gold Shares also trade on the Singapore Stock Exchange, Tokyo Stock Exchange, The Stock Exchange of Hong Kong and the Mexican Stock Exchange (BMV).
SPDR Morningstar Multi-Asset Global Infrastructure UCITS ETF – GBP (GIN)	SPDR Morningstar Multi-Asset Global Infrastructure	0.00%	0.00%	0.80%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	The objective of the SPDR Morningstar Multi-Asset Global Infrastructure UCITS ETF is to track the performance of the global infrastructure market represented by publicly traded infrastructure-related equity and bonds. It aims to do this by tracking the Morningstar Global Multi-Asset Infrastructure Index as closely as possible.
SPDR NYSE Technology ETF – USD (XNTK)	SPDR NYSE Technology	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	The investment seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the NYSE Technology Index that tracks the performance of publicly traded technology companies. The fund generally invests substantially all, but at least 80%, of its total assets in the securities comprising the index.
SPDR S&P 500 ETF Trust – AUD (SPY)	SPDR S&P 500 ETF Trust	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P 500 ETF Trust is an exchange-traded fund incorporated in the USA. The ETF tracks the S&P 500 Index. The Trust consists of a portfolio representing all 500 stocks in the S&P 500 Index. It holds predominantly large-cap U.S. stocks. This ETF is structured as a Unit Investment Trust and pays dividends on a quarterly basis. The holdings are weighted by market capitalization.
SPDR S&P 500 ETF Trust – USD (SPY)	SPDR S&P 500 ETF Trust	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P 500 ETF Trust is an exchange-traded fund incorporated in the USA. The ETF tracks the S&P 500 Index. The Trust consists of a portfolio representing all 500 stocks in the S&P 500 Index. It holds predominantly large-cap U.S. stocks. This ETF is structured as a Unit Investment Trust and pays dividends on a quarterly basis. The holdings are weighted by market capitalization.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
SPDR S&P 600 Small Cap Growth ETF - USD (SLYG)	SPDR S&P 600 Small Cap Growth ETF	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P 600 Small Cap Growth ETF is an exchange-traded fund incorporated in the USA. The Fund's objective is to replicate as closely as possible the performance of the S&P SmallCap 600 Growth Index.
SPDR S&P Bank ETF	SPDR S&P Bank ETF	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P Bank ETF is an exchange-traded fund incorporated in the USA. The Fund's objective is to replicate the performance of the S&P Banks Select Industry Index. The Index itself is a float adjusted modified-market capitalization weighted index of geographically diverse companies representing national money center banks & regional banking institutions listed on US stock markets.
SPDR S&P Homebuilders - USD (XHB)	SPDR S&P Homebuilders	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	The SPDR® S&P® Homebuilders ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P® Homebuilders Select Industry™ Index.
SPDR S&P/ASX 200 Fund - AUD (STW)	SPDR S&P/ASX 200 Fund	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P/ASX 200, seeks to closely match, before fees and expenses, the returns of the S&P/ASX 200 Index. Our approach is designed to provide portfolios with low portfolio turnover, accurate tracking, and lower costs.
SPDR S&P/ASX 200 Listed Property Fund - AUD (SLF)	SPDR S&P/ASX 200 Listed Property Fund	0.00%	0.00%	0.40%	<a href="https://www.spdrs.com/">https://www.spdrs.com/</a>	SPDR S&P/ASX 200 Listed Property Fund, seeks to closely track, before fees and expenses, the returns and characteristics of the S&P/ASX 200 A-REIT Index. The approach is designed to provide a portfolio with low portfolio turnover, accurate tracking, and low costs.
SSE Plc - GBP (SSE)	Scottish & Southern Energy Plc	0.00%	0.00%	0.40%	<a href="http://sse.com/">http://sse.com/</a>	SSE is involved in the generation, transmission, distribution and supply of electricity, in the production, storage, distribution and supply of gas and in other energy services.
SSR Mining Inc - USD (SSRM)	SSR Mining Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/SSRM:US">https://www.bloomberg.com/quote/SSRM:US</a>	SSR Mining Inc. operates as a precious metal mining company. The Company focuses on operation, development, exploration, and acquisition of precious metal projects which includes gold, silver, and mineral properties. SSR Mining serves electronics, coin fabrication, dentistry, jewellery, other industrial, technology, pharmaceuticals, and solar energy markets in the Americas.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Standard Life Investments Property Income Trust Ltd – GBP (SLI)	Standard Life Plc	0.00%	0.00%	1.15%	<a href="http://www.standardlife.com/dotcom/investor-information.page">http://www.standardlife.com/dotcom/investor-information.page</a>	To provide an attractive level of income, along with the prospect of income and capital growth, by investing in a diversified UK commercial property portfolio.
Steel & Tube Holdings Limited – NZD (STU)	Steel & Tube Holdings Limited	0.00%	0.00%	0.40%	<a href="http://steelandtube.co.nz/">http://steelandtube.co.nz/</a>	Steel & Tube is New Zealand's leading provider of steel solutions and a proud, New Zealand-owned company with over 60 years of trading history in this country.
Stride Prop & Stride Invest Mgmt Ltd Stapled Security -NZD (SPG)	Stride Property Ltd & Stride Investment Management	0.00%	0.00%	0.40%	<a href="http://www.strideproperty.co.nz/">http://www.strideproperty.co.nz/</a>	Stride believes the core determinant of property investment performance over the long term is the demand for the business space that we provide to our customers (our tenants). Ultimately that space is a workplace, a place of business; it is used by our customers and their staff, visitors and customers. To be the best, our places need to provide accessibility, amenity, functionality and a value proposition that is compelling.
Summerset Group Holdings Limited – NZD (SUM)	Summerset Group Holdings Limited	0.00%	0.00%	0.40%	<a href="https://www.summerset.co.nz/">https://www.summerset.co.nz/</a>	Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years.
Summerset Group Holdings Ltd @ 4.20% Mat 24/09/2025 – SUM020	Summerset Group Holdings Limited	0.00%	0.00%	0.40%	<a href="https://www.summerset.co.nz/">https://www.summerset.co.nz/</a>	Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years.
Sydney Airport – AUD (SYD)	Sydney Airport	0.00%	0.00%	0.40%	<a href="http://www.sydneyairport.com.au/">http://www.sydneyairport.com.au/</a>	Sydney Airport is Australia's international gateway and primary airport. It is also a major employer in NSW and makes a significant contribution to the local and national economies, estimated at \$5.5bn directly and \$20.8bn when flow-on effects are considered. This contribution is equivalent to 6.4% of the NSW economy and translates into more than 52,890 direct jobs and 258,300 indirect jobs for the people of Sydney.
Synlait Milk Limited – NZD (SML)	Synlait Milk Limited	0.00%	0.00%	0.40%	<a href="https://www.reuters.com/finance/stocks/overview/SML.NZ">https://www.reuters.com/finance/stocks/overview/SML.NZ</a>	Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients.





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T. Rowe Price Global Equity Growth Fund (PIE) – Retail	Harbour Asset Management Limited	0.00%	0.00%	1.62%	<a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a>	The Fund invests primarily in a portfolio of securities of companies which are traded, listed or due to be listed, on recognised exchanges and or markets throughout the world. It may include securities of companies traded on recognised exchanges of developing countries.
Tegel Group Holdings Limited Ordinary Shares – NZD (TGH)	Tegel Group Holdings Limited	0.00%	0.00%	0.40%	<a href="http://investors.tegel.co.nz/share-price/">http://investors.tegel.co.nz/share-price/</a>	Tegel is New Zealand's market leading poultry producer, and has been part of New Zealanders' lives since 1961. The company is involved in breeding, hatching, processing, marketing, sales and distribution of poultry products across New Zealand and to selected international markets.
Templeton Emerging Markets Investment Trust – GBP (TEM)	Templeton Emerging Markets Investment Trust	0.00%	0.00%	0.40%	<a href="http://www.franklintempleton.co.uk/en_GB/investor/funds/investment-trust-temit.page?bps=bpspg">http://www.franklintempleton.co.uk/en_GB/investor/funds/investment-trust-temit.page?bps=bpspg</a>	The Trust seeks long-term capital appreciation through investment in companies operating in emerging markets or whose stocks are listed on the stock markets of such countries. The base currency of the Trust is GBP.
Templeton Global Growth Fund Limited – AUD (TGG)	Templeton Global Growth Fund Limited	0.00%	0.00%	0.40%	<a href="http://www.franklintempleton.co.uk/en_GB/investor/funds/investment-trust-temit.page?bps=bpspg">http://www.franklintempleton.co.uk/en_GB/investor/funds/investment-trust-temit.page?bps=bpspg</a>	The Trust seeks long-term capital appreciation through investment in companies operating in emerging markets or whose stocks are listed on the stock markets of such countries. The base currency of the Trust is GBP.
Tesco Plc – GBP (TSCO)	Tesco Plc	0.00%	0.00%	0.40%	<a href="https://www.tescopl.com/">https://www.tescopl.com/</a>	As one of the world's largest retailers with 476,000 colleagues, we serve millions of customers a week in our stores and online.
The A2 Milk Company Limited – NZD (ATM)	The A2 Milk Company Limited	0.00%	0.00%	0.40%	<a href="https://thea2milkcompany.com/">https://thea2milkcompany.com/</a>	The a2 Milk Company was founded in New Zealand by Dr. Corran McLachlan after a scientific discovery; while studying at Cambridge University, Corran learned that proteins in milk affect people differently.
The City of London Investment Trust PLC – GBP (CTY)	The City Of London Investment Trust PLC	0.00%	0.00%	0.82%	<a href="https://www.henderson.com/ukpi/fund/169/the-city-of-london-investment-trust-plc">https://www.henderson.com/ukpi/fund/169/the-city-of-london-investment-trust-plc</a>	The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders.
The Europe Trust Units – NZD (EUF)	The Europe Trust (NS)	0.00%	0.00%	0.97%	<a href="http://www.europetrust.eu.com/">http://www.europetrust.eu.com/</a>	The Europe Trust (EUF) invests directly in shares in the Vanguard FTSE Europe ETF (VGK). By purchasing units in EUF, investors do not hold a direct interest in a Vanguard fund. However, the Return received by EUF, as a shareholder in the Vanguard FTSE Europe ETF (VGK), is passed on to investors after the deduction of certain fees, costs and tax expenses.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
The Total World Trust Units – NZD (TWF)	The Total World Trust	0.00%	0.00%	0.99%	<a href="https://www.nzx.com/companies/TWF">https://www.nzx.com/companies/TWF</a>	The Total World Trust (TWF) invests directly in shares in the Vanguard Total World Stock ETF (VT). By purchasing units in TWF, investors do not hold a direct interest in a Vanguard fund. However, the Return received by TWF, as a shareholder in the Vanguard Total World Stock ETF (VT), is passed on to investors after the deduction of certain fees, costs and tax expenses.
The US 500 Trust (NS) Units – NZD (USF)	The US 500 Trust (NS)	0.00%	0.00%	0.40%	<a href="https://superlife.co.nz/data_files/Portfolios/US_500 ETF Portfolio.pdf">https://superlife.co.nz/data_files/Portfolios/US_500 ETF Portfolio.pdf</a>	To invest in the Smartshares Exchange Traded Funds' US 500 Fund (USF), which is listed on the NZX Main Board. The US 500 Fund invests in the Vanguard S&P 500 ETF, which is designed to track the return on the S&P 500 Index (NZD).
The Warehouse Group Limited – NZD (WHS)	The Warehouse Group Limited	0.00%	0.00%	0.40%	<a href="http://www.thewarehousegroup.co.nz/investor-centre">http://www.thewarehousegroup.co.nz/investor-centre</a>	The Warehouse operates discount retail department stores selling a broad range of non-grocery and grocery products. As of January 2015, The Warehouse employed over 12,000 people in New Zealand.[4] The Warehouse's corporate headquarters are located in North Shore, New Zealand.
The Warehouse Group Limited Bonds @ 5.30% Mat 15/06/2020 * (WHS020)	The Warehouse Group Limited	0.00%	0.00%	0.40%	<a href="http://www.thewarehouse.co.nz/home?gclid=CMX7qsacvdACFYakvQodD_UA5Q&amp;gclsrc=aw.ds">http://www.thewarehouse.co.nz/home?gclid=CMX7qsacvdACFYakvQodD_UA5Q&amp;gclsrc=aw.ds</a>	The Warehouse Group Limited officially stylized as TW Group, founded by Stephen Tindall in 1982, is the largest retail group operating in New Zealand. The Warehouse Group (TW Group) is a group that consists of The Warehouse, Warehouse Stationery, Noel Leeming, Warehouse Group Financial Services and Torpedo7 Group.
Tourism Holdings Limited – NZD (THL)	Tourism Holdings Limited	0.00%	0.00%	0.40%	<a href="http://www.thlonline.com/">http://www.thlonline.com/</a>	Tourism Holdings Limited (thl) is New Zealand's premier tourism company. We are listed on the New Zealand Stock Exchange under the ticker code thl and are the largest provider of holiday vehicles for rent and sale in Australia and New Zealand under the Maui, Britz, Mighty, KEA Australia and Motek Vehicle Sales brands. In the USA we own and operate the Road Bear RV Rentals and Sales brand. Within New Zealand we operate Kiwi Experience and the Discover Waitomo Group which includes Waitomo Glowworm Caves, Ruakuri Cave, Aranui Cave and The Legendary Black Water Rafting Co. In 2012 thl entered in a joint venture to form RV Manufacturing Group LP, New Zealand's largest motorhome and specialist vehicle manufacturer. RVMG LP has operations both in Auckland and Hamilton.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Toyota Motor Corporation – USD (TM)	Toyota Motor Corporation	0.00%	0.00%	0.40%	<a href="http://www.toyota-global.com/">http://www.toyota-global.com/</a>	Ever since its founding, Toyota has sought to contribute to a more prosperous society through the manufacture of automobiles, operating its business with a focus on vehicle production and sales.
TR Property Investment Trust – GBP (TRY)	TR Property Investment Trust	0.00%	0.00%	0.40%	<a href="http://www.trproperty.com/">http://www.trproperty.com/</a>	The Company's Objective is to maximise shareholders' total returns by investing in the shares and securities of property companies and property related businesses internationally and also in investment property located in the UK.
TR Property Investment Trust PLC	TR Property Investment Trust PLC	0.00%	0.00%	0.40%	<a href="http://www.trproperty.com/">http://www.trproperty.com/</a>	The Company's Objective is to maximise shareholders' total returns by investing in the shares and securities of property companies and property related businesses internationally and also in investment property located in the UK.
Trade Me Group Limited – NZD (TME)	Trade Me Group Limited	0.00%	0.00%	0.40%	<a href="http://investors.trademe.co.nz/">http://investors.trademe.co.nz/</a>	We are Trade Me, the place where Kiwis buy and sell online. We're currently the leading online marketplace and classified advertising platform in New Zealand. This spans from auctions to fixed-priced sales for new and used goods, with automotive, real estate and employment businesses.
Transpower NZ Fixed Rate Bonds @ 3.823% Mat 06/03/2025 – (TRP050)	Transpower New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.transpower.co.nz/keeping-you-connected/investors">https://www.transpower.co.nz/keeping-you-connected/investors</a>	The Bonds offered under this Investment Statement are debt securities and constitute unsecured, unsubordinated, debt obligations of the Issuer. The Bonds will rank equally and without any preference among themselves and equally with all other unsecured and unsubordinated indebtedness of the Issuer, except indebtedness preferred by law and subject to laws affecting creditors' rights generally and equitable principles of general application.
Transpower NZ Fixed Rate Bonds @ 5.14% mat 30/11/2018 – NZD (TRP010)	Transpower New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.transpower.co.nz/keeping-you-connected/investors">https://www.transpower.co.nz/keeping-you-connected/investors</a>	The Bonds offered under this Investment Statement are debt securities and constitute unsecured, unsubordinated, debt obligations of the Issuer. The Bonds will rank equally and without any preference among themselves and equally with all other unsecured and unsubordinated indebtedness of the Issuer, except indebtedness preferred by law and subject to laws affecting creditors' rights generally and equitable principles of general application.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Transpower NZ Limited Unsec Bonds @ 5.448% Mat 15/03/2023 – (TRP0323)	Transpower New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.transpower.co.nz/keeping-you-connected/investors">https://www.transpower.co.nz/keeping-you-connected/investors</a>	The Bonds offered under this Investment Statement are debt securities and constitute unsecured, unsubordinated, debt obligations of the Issuer. The Bonds will rank equally and without any preference among themselves and equally with all other unsecured and unsubordinated indebtedness of the Issuer, except indebtedness preferred by law and subject to laws affecting creditors' rights generally and equitable principles of general application.
Trustpower Limited – NZD (TPW)	Trustpower Limited	0.00%	0.00%	0.40%	<a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a>	Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.
Trustpower Ltd Unsub Bonds @ 4.01% Mat 15/12/2022 – (TPW150)	Trustpower Limited	0.00%	0.00%	0.40%	<a href="https://www.trustpower.co.nz/Company-And-Investor-Information/Share-And-Bondholder-Information">https://www.trustpower.co.nz/Company-And-Investor-Information/Share-And-Bondholder-Information</a>	Trustpower generates electricity that powers numerous New Zealand homes and businesses. We also generate electricity in Australia. We have a strong focus on sustainable generation using hydroelectric power stations. We are continually seeking to expand our generation network, as well as make efficiencies, and minimise environmental impacts.
Trustpower Ltd Unsub Bonds @ 5.63% Mat 15/12/2021 – (TPW140)	Trustpower Limited	0.00%	0.00%	0.40%	<a href="https://www.trustpower.co.nz/Company-And-Investor-Information/Share-And-Bondholder-Information">https://www.trustpower.co.nz/Company-And-Investor-Information/Share-And-Bondholder-Information</a>	Trustpower generates electricity that powers numerous New Zealand homes and businesses. We also generate electricity in Australia. We have a strong focus on sustainable generation using hydroelectric power stations. We are continually seeking to expand our generation network, as well as make efficiencies, and minimise environmental impacts.
UDC Finance Limited	UDC Finance Limited	0.00%	0.00%	0.40%	<a href="https://www.udc.co.nz/index.html">https://www.udc.co.nz/index.html</a>	Providing asset-based finance to New Zealand businesses for purchasing plant, vehicles and equipment, helping them to grow and prosper. We do not rely on land or buildings as security, and we steer well clear of speculative property developments.
UDC Secured Term Investment 3.65% 16/11/2018	UDC Finance Limited	0.00%	0.00%	0.40%	<a href="https://www.udc.co.nz/index.html">https://www.udc.co.nz/index.html</a>	Providing asset-based finance to New Zealand businesses for purchasing plant, vehicles and equipment, helping them to grow and prosper. We do not rely on land or buildings as security, and we steer well clear of speculative property developments.
UDC Secured Term Investment 4.05% 18/05/2020	UDC Finance Limited	0.00%	0.00%	0.40%	<a href="https://www.udc.co.nz/index.html">https://www.udc.co.nz/index.html</a>	Providing asset-based finance to New Zealand businesses for purchasing plant, vehicles and equipment, helping them to grow and prosper. We do not rely on land or buildings as security, and we steer well clear of speculative property developments.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
UK Commercial Property Trust – GBP (UKCM)	UK Commercial Property Trust	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/UKCM:LN">https://www.bloomberg.com/quote/UKCM:LN</a>	UK Commercial Property Trust is a large British investment trust dedicated to investments in UK commercial properties.
Unibail-Rodamco-Westfield – AUD (URW)	Unibail Europe	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/URW:AU">https://www.bloomberg.com/quote/URW:AU</a>	Unibail-Rodamco-Westfield trades together as a stapled security. Unibail-Rodamco-Westfield leases and rents building space, finances real estate investments, and renovates real estate for sale. The Company's properties are mainly shopping centers, office buildings, and convention-exhibition centers.
Unilever Plc – GBP (ULVR)	Unilever Plc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/ULVR:LN">https://www.bloomberg.com/quote/ULVR:LN</a>	Unilever PLC manufactures branded and packaged consumer goods, including food, detergents, fragrances, home and personal care products. Dually-listed company with UNA NA.
Utilico Emerging Markets Ltd – GBP (UEM)	Utilico Limited	0.00%	0.00%	0.40%	<a href="http://www.uil.limited/">http://www.uil.limited/</a>	Our investment objective is to provide long-term total return through a flexible investment policy that permits it to make investments predominantly in infrastructure, utility and related sectors, mainly in emerging markets.
VanEck Vectors Unconventional Oil & Gas ETF – USD (FRAK)	Market Vectors Unconventional Oil & Gas ETF	0.00%	0.00%	0.40%	<a href="https://www.vaneck.com/row/?country=row">https://www.vaneck.com/row/?country=row</a>	VanEck Vectors Unconventional Oil & Gas ETF is an exchange-traded fund incorporated in the USA. The Fund seeks to replicate as closely as possible, before fees and expenses, the price and yield performance of the MVIS Unconventional Oil & Gas Index.
Vanguard Australian Property Securities Index ETF – AUD (VAP)	Vanguard Investments Australia Limited	0.00%	0.00%	0.63%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	The ETF provides a low-cost way to invest in property securities listed on the Australian Securities Exchange. The property sectors in which the ETF invests include retail, office, industrial and diversified. The ETF offers potential long-term capital growth and tax-effective income that may include a tax-deferred component.
Vanguard Australian Shares High Yield ETF – AUD (VHY)	Vanguard Investments Australia Limited	0.00%	0.00%	0.65%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	The ETF provides low-cost exposure to companies listed on the Australian Securities Exchange (ASX) that have higher forecast dividends relative to other ASX-listed companies. Security diversification is achieved by restricting the proportion invested in any one industry to 40% of the total ETF and 10% for any one company. Australian Real Estate Investment Trusts (A-REITS) are excluded from the index.
Vanguard Australian Shares Index ETF – AUD (VAS)	Vanguard Investments Australia Limited	0.00%	0.00%	0.54%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	The ETF provides low-cost, broadly diversified exposure to Australian companies and property trusts listed on the Australian Securities Exchange. It also offers potential long-term capital growth along with dividend income and franking credits.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Vanguard Australian Shares Index Fund ETF	Vanguard Investments Australia Limited	0.00%	0.00%	0.54%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	The ETF provides low-cost, broadly diversified exposure to Australian companies and property trusts listed on the Australian Securities Exchange. It also offers potential long-term capital growth along with dividend income and franking credits.
Vanguard Extended Market ETF – USD (VXF)	Vanguard Investments Australia Limited	0.00%	0.00%	0.49%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Seeks to track the performance of a benchmark index that measures the investment return of stocks from small and midsize companies.
Vanguard FTSE 100 UCITS ETF – GBP (VUKE)	Vanguard Investments Australia Limited	0.00%	0.00%	0.49%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	This Fund seeks to track the performance of the FTSE 100 Index, a widely recognised UK benchmark of the UK market's most highly capitalised blue chip companies. The Fund employs a "passive management" – or indexing – investment approach, through physical acquisition of securities, designed to track the performance of the Index, a free float adjusted market capitalisation weighted index. In tracking the performance of the Index, the Fund attempts to replicate the Index by investing all, or substantially all, of its assets in the stocks that make up the Index, holding each stock in approximately the same proportion as its weighting in the Index and may therefore have exposure to or invest up to 20% of the Net Asset Value of the Fund in stocks issued by the same body, which limit may be raised to 35% for a single issuer in exceptional market conditions which may include the dominance of a particular issuer in the relevant market.
Vanguard FTSE 250 ETF – GBP (VMID)	Vanguard Investments Australia Limited	0.00%	0.00%	0.50%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	This Fund seeks to track the performance of the Index, a widely recognised benchmark of mid cap sized companies of the United Kingdom.
Vanguard FTSE All World Ex-US ETF – USD (VEU)	Vanguard Investments Australia Limited	0.00%	0.00%	0.53%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Seeks to track the performance of the FTSE All-World ex US Index.
Vanguard FTSE All-World Ex US Small Cap Index – USD (VSS)	Vanguard Investments Australia Limited	0.00%	0.00%	0.40%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	This index fund provides investors low-cost exposure to stocks of small-capitalization companies in non-U.S. markets. The fund seeks to track an index of smaller companies located in developed markets, including Europe, the Pacific, and Canada, and in emerging markets.
Vanguard FTSE All-World ex-US ETF – AUD (VEU)	Vanguard Investments Australia Limited	0.00%	0.00%	0.53%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Seeks to track the performance of the FTSE All-World ex US Index.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Vanguard FTSE Asia Pacific ex Japan UCITS ETF – GBP (VAPX)	Vanguard Investments Australia Limited	0.00%	0.00%	0.62%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Vanguard FTSE Developed Asia Pacific ex Japan UCITS ETF seeks to track the performance of the index, a widely recognised benchmark of the large- and mid-cap sized companies of the developed markets of the Asia Pacific region, excluding Japan.
Vanguard FTSE Emerging Markets ETF – USD (VWO)	Vanguard Investments Australia Limited	0.00%	0.00%	0.55%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Invests in stocks of companies located in emerging markets around the world, such as China, Brazil, Taiwan, and South Africa.
Vanguard FTSE Europe ETF – USD (VGK)	Vanguard Investments Australia Limited	0.00%	0.00%	0.47%	<a href="https://www.bloomberg.com/quote/VGK:US">https://www.bloomberg.com/quote/VGK:US</a>	Vanguard FTSE Europe ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the FTSE Developed Europe Index. The ETF holds large and mid-cap European stocks. Its investments are focused in Western Europe. The ETF weights the holdings using a market capitalization methodology.
Vanguard Funds Plc Developed Europe UCITS ETF – GBP (VEUR)	Vanguard Investments Australia Limited	0.00%	0.00%	0.52%	<a href="http://www.morningstar.co.uk/uk/etf/snapshot/snapshot.aspx?id=0P0000YWUQ">http://www.morningstar.co.uk/uk/etf/snapshot/snapshot.aspx?id=0P0000YWUQ</a>	Vanguard FTSE Developed Europe ETF is one of our best picks for comprehensive access to the market of developed European equities.
Vanguard Global ex-U.S. Real Estate ETF- USD (VNQI)	Vanguard Investments Australia Limited	0.00%	0.00%	0.49%	<a href="http://www.morningstar.co.uk/uk/etf/snapshot/snapshot.aspx?id=0P0000P0NO">http://www.morningstar.co.uk/uk/etf/snapshot/snapshot.aspx?id=0P0000P0NO</a>	Vanguard Global ex-U.S. Real Estate VNQI is a sound choice for investors seeking broadly diversified, low-cost exposure to foreign real estate securities.
Vanguard Health Care ETF – USD (VHT)	Vanguard Investments Australia Limited	0.00%	0.00%	0.49%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Seeks to track the performance of a benchmark index that measures the investment return of stocks in the health care sector.
Vanguard International Shares Index Fund (Hedged) – NZD (W)	Vanguard Investments Australia Limited	0.00%	0.00%	0.64%	<a href="https://www.vanguardinvestments.com.au/adviser/jsp/investments/wholesale?portId=8116##overview-tab">https://www.vanguardinvestments.com.au/adviser/jsp/investments/wholesale?portId=8116##overview-tab</a>	The Fund provides exposure to many of the world's largest companies listed in major developed countries. It offers low-cost access to a broadly diversified range of securities that allows investors to participate in the long-term growth potential of international economies outside Australia. The Fund is exposed to the fluctuating values of foreign currencies, as there will not be any hedging of foreign currencies to the Australian dollar.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Vanguard International Shares Index Fund (W)	Vanguard Investments Australia Limited	0.00%	0.00%	0.64%	<a href="https://www.vanguardinvestments.com.au/adviser/jsp/investments/wholesale?portId=8116##overview-tab">https://www.vanguardinvestments.com.au/adviser/jsp/investments/wholesale?portId=8116##overview-tab</a>	The Fund provides exposure to many of the world's largest companies listed in major developed countries. It offers low-cost access to a broadly diversified range of securities that allows investors to participate in the long-term growth potential of international economies outside Australia. The Fund is exposed to the fluctuating values of foreign currencies, as there will not be any hedging of foreign currencies to the Australian dollar.
Vanguard International Shares Select Exclusions Index Fund - NZD Hedged (W)	Vanguard Investments Australia Limited	None noted in PDS	None noted per PDS	0.66% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.investsmart.com.au/managed-funds/fund/vanguard-intl-shares-sel-exclusions-idx/41550">https://www.investsmart.com.au/managed-funds/fund/vanguard-intl-shares-sel-exclusions-idx/41550</a>	The Fund provides exposure to many of the world's largest companies listed in major developed countries. It offers low-cost access to a broadly diversified range of securities that allows investors to participate in the long-term growth potential of international economies outside Australia. The fund divests from securities involved in the production, manufacturing or significant sales of tobacco, controversial weapons, in addition to companies that manufacture nuclear weapons, components that were developed or significantly modified for exclusive use in nuclear weapons, and companies that provide auxiliary services related to nuclear weapons.
Vanguard Intl Shares Sel Exclusions Idx	Vanguard Investments Australia Limited	None noted per PDS	None noted per PDS	0.66% excluding performance fees as it is not possible to make a reasonable estimate of them	<a href="https://www.investsmart.com.au/managed-funds/fund/vanguard-intl-shares-sel-exclusions-idx/41550">https://www.investsmart.com.au/managed-funds/fund/vanguard-intl-shares-sel-exclusions-idx/41550</a>	The Fund provides exposure to many of the world's largest companies listed in major developed countries. It offers low-cost access to a broadly diversified range of securities that allows investors to participate in the long-term growth potential of international economies outside Australia. The fund divests from securities involved in the production, manufacturing or significant sales of tobacco, controversial weapons, in addition to companies that manufacture nuclear weapons, components that were developed or significantly modified for exclusive use in nuclear weapons, and companies that provide auxiliary services related to nuclear weapons.
Vanguard REIT Index ETF - USD (VNQ)	Vanguard Investments Australia Limited	0.00%	0.00%	0.52%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property.





Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Vanguard S&P 500 EFT – GBP (VUSA)	Vanguard Investments Australia Limited	0.00%	0.00%	0.47%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	This Fund seeks to track the performance of the Standard & Poor's 500 ("S&P 500") Index, a widely recognised benchmark of U.S. stock market performance that is comprised of the stocks of large U.S. companies. The Fund employs a "passive management" – or indexing – investment approach, through physical acquisition of securities, designed to track the performance of the Index, a free float adjusted market capitalisation weighted index. In tracking the performance of the Index, the Fund attempts to replicate the Index by investing all, or substantially all, of its assets in the stocks that make up the Index, holding each stock in approximately the same proportion as its weighting in the Index. The Index is a capitalisation weighted index of 500 U.S. stocks.
Vanguard S&P 500 ETF – USD (VOO)	Vanguard Investments Australia Limited	0.00%	0.00%	0.45%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies.
Vanguard Small-Cap ETF – USD (VB)	Vanguard Investments Australia Limited	0.00%	0.00%	0.42%	<a href="https://investor.vanguard.com/etf/profile/overview/vb">https://investor.vanguard.com/etf/profile/overview/vb</a>	Seeks to track the performance of the CRSP US Small Cap Index, which measures the investment return of small-capitalization stocks.
Vanguard Total World Stock Index ETF – USD (VT)	Vanguard Investments Australia Limited	0.00%	0.00%	0.54%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Seeks to track the performance of the FTSE Global All Cap Index, which covers both well-established and still-developing markets
Vanguard UK Government Bond UCITS ETF – GBP (VGOV)	Vanguard Investments Australia Limited	0.00%	0.00%	0.55%	<a href="https://investor.vanguard.com/corporate-portal/">https://investor.vanguard.com/corporate-portal/</a>	Vanguard U.K. Government Bond Index Fund seeks to provide returns consistent with the performance of the index, a market-weighted index of UK government fixed income securities denominated in pound sterling.
Vector Limited Capital Notes @5.70% Mat 15/06/2022 – NZD (VCT080)	Vector Ltd	0.00%	0.00%	0.40%	<a href="https://www.vector.co.nz/investors/bonds">https://www.vector.co.nz/investors/bonds</a>	Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns.
Vector Limited Ordinary Shares – NZD (VCT)	Vector Ltd	0.00%	0.00%	0.40%	<a href="https://www.vector.co.nz/investors">https://www.vector.co.nz/investors</a>	Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns.



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Verizon Communications Inc – USD (VZ)	Verizon Communications Inc	0.00%	0.00%	0.40%	<a href="https://www.verizon.com/about/">https://www.verizon.com/about/</a>	Verizon is one of the largest communication technology companies in the world.  Every day, we connect millions of people, companies and communities with our powerful technology. We're using our award-winning network to make breakthroughs in interactive entertainment, digital media, the Internet of Things and broadband services for customers. Whatever the future looks like, whatever the digital world promises, we will deliver.
Visa Incorporated – USD (V)	Visa Incorporated	0.00%	0.00%	0.40%	<a href="https://usa.visa.com/">https://usa.visa.com/</a>	We are a global payments technology company working to enable consumers, businesses, banks and governments to use digital currency.
Vista Group International – NZD (VGL)	Vista Group International	0.00%	0.00%	0.40%	<a href="http://www.vistagroup.co.nz/">http://www.vistagroup.co.nz/</a>	Vista Group is a world leading New Zealand company comprising nine businesses that provide software, digital or online solutions to the global film industry. Building on the leading position of Vista Entertainment Solutions in the cinema exhibition sector, Vista Group is expanding to offer solutions to other sectors of the industry.
Vital Healthcare Property Trust Units – NZD (VHP)	Vital Healthcare Property Trust	0.00%	0.00%	0.40%	<a href="http://www.vitalhealthcareproperty.co.nz/">http://www.vitalhealthcareproperty.co.nz/</a>	Vital Healthcare Property Trust is an NZX-listed fund that invests in high-quality health and medical-related properties in New Zealand and Australia. Our tenants are hospital and healthcare operators who provide a wide range of medical and health services and carry out vital research.
Vodafone Group Plc – GBP (VOD)	Vodafone Group Plc	0.00%	0.00%	0.40%	<a href="http://www.vodafone.com/content/index/investors.html">http://www.vodafone.com/content/index/investors.html</a>	We are one of the world's largest telecommunications companies providing a wide range of services including voice, messaging and data across mobile and fixed networks.
Wal-Mart Stores Inc – USD (WMT)	Wal-Mart Stores Inc	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/WMT:US">https://www.bloomberg.com/quote/WMT:US</a>	Walmart Inc. is an American multinational retail corporation that operates a chain of hypermarkets, discount department stores, and grocery stores.
Walt Disney Co	Walt Disney Co	0.00%	0.00%	0.40%	<a href="https://thewaltdisneycompany.com/">https://thewaltdisneycompany.com/</a>	The mission of The Walt Disney Company is to be one of the world's leading producers and providers of entertainment and information. Using our portfolio of brands to differentiate our content, services and consumer products, we seek to develop the most creative, innovative and profitable entertainment experiences and related products in the world.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
WAM Research Ltd - AUD (WAX)	WAM Research Ltd	0.00%	0.00%	0.40%	<a href="http://wilsonassetmanagement.com.au/lic/wam-research/">http://wilsonassetmanagement.com.au/lic/wam-research/</a>	WAM Research provides investors with exposure to a diversified portfolio of undervalued growth companies, which are generally small-to-medium sized industrial companies listed on the ASX.
Wellington International Airport Ltd @ 5% Mat 16/06/2025 - NZD (WIA050)	Wellington International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.wellingtonairport.co.nz/">https://www.wellingtonairport.co.nz/</a>	Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money.
Wellington International Airport Ltd @4.25% Mat 12/05/2023 - (WIA030)	Wellington International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.wellingtonairport.co.nz/">https://www.wellingtonairport.co.nz/</a>	Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money.
Wellington International Airport Ltd @6.25% Mat 15/05/2021 - (WIA020)	Wellington International Airport Limited	0.00%	0.00%	0.40%	<a href="https://www.wellingtonairport.co.nz/">https://www.wellingtonairport.co.nz/</a>	Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money.
Wells Fargo & Company - USD (WFC)	Wells Fargo & Company	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/WFC:US">https://www.bloomberg.com/quote/WFC:US</a>	Wells Fargo & Company is a diversified financial services company providing banking, insurance, investments, mortgage, leasing, credit cards, and consumer finance. The Company operates through physical stores, the internet, and other distribution channels worldwide.



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Wesfarmers Limited – AUD (WES)	Wesfarmers Limited	0.00%	0.00%	0.40%	<a href="http://www.wesfarmers.com.au/">http://www.wesfarmers.com.au/</a>	<p>From its origins in 1914 as a Western Australian farmers' cooperative, Wesfarmers has grown into one of Australia's largest listed companies. With headquarters in Western Australia, its diverse business operations cover: supermarkets, liquor, hotels and convenience stores; home improvement; office supplies; department stores; and an industrial division with businesses in chemicals, energy and fertilisers, industrial and safety products and coal. Wesfarmers is one of Australia's largest private sector employers with around 220,000 employees and has a shareholder base of approximately 530,000.</p> <p>The primary objective of Wesfarmers is to provide a satisfactory return to its shareholders.</p>
Westpac Bank Subord Notes @ 4.695% Mat 01/09/2026 – (WBC010)	Westpac Banking Corporation Limited	0.00%	0.00%	0.40%	<a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>	<p>Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.</p>
Westpac Banking Corporation Limited – AUD (WBC)	Westpac Banking Corporation Limited	0.00%	0.00%	0.40%	<a href="https://www.westpac.com.au/about-westpac/global-locations/westpac-australia/">https://www.westpac.com.au/about-westpac/global-locations/westpac-australia/</a>	<p>Westpac is Australia's oldest bank and company, one of four major banking organisations in Australia and one of the largest banks in New Zealand. We provide a broad range of banking and financial services in these markets, including consumer, business and institutional banking and wealth management services.</p>
Westpac NZ Limited FRN @ 3.795% Mat 28/04/2021 – (WPO421)	Westpac New Zealand Limited	0.00%	0.00%	0.40%	<a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>	<p>Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.</p>
Wheaton Precious Metals Corp – USD (WPM)	Wheaton Precious Metals Corp	0.00%	0.00%	0.40%	<a href="https://www.bloomberg.com/quote/WPM:US">https://www.bloomberg.com/quote/WPM:US</a>	<p>Wheaton Precious Metals Corp. operates as a precious metals streaming company. The Company purchases silver and gold production from mines that it does not own and operates. Wheaton Precious Metals serves customers worldwide.</p>



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Wisdom Tree Japan Hedged Equity – USD (DXJ)	Wisdom Tree Japan Hedged Equity	0.00%	0.00%	0.40%	<a href="https://www.wisdomtree.com/etfs/equity/epi">https://www.wisdomtree.com/etfs/equity/epi</a>	WisdomTree Fund seeks to track the investment results of profitable companies in the Indian equity market
WisdomTree India Earnings Fund – USD (EPI)	WisdomTree India Earnings Fund	0.00%	0.00%	0.40%	<a href="https://www.wisdomtree.com/etfs/equity/epi">https://www.wisdomtree.com/etfs/equity/epi</a>	WisdomTree India Earnings Fund is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the price and yield performance of the WisdomTree India Earnings Index.
Woodside Petroleum Limited – AUD (WPL)	Woodside Petroleum Limited	0.00%	0.00%	0.40%	<a href="http://www.woodside.com.au/Pages/home.aspx">http://www.woodside.com.au/Pages/home.aspx</a>	We are Australia's most experienced LNG operator and largest independent oil and gas company. Our proven track record and distinctive capabilities are underpinned by 60 years of experience, making us a partner of choice.
Woolworths Group Limited – AUD (WOW)	Woolworths Limited	0.00%	0.00%	0.40%	<a href="https://www.woolworths.com.au/Shop/Discover/about-us">https://www.woolworths.com.au/Shop/Discover/about-us</a>	Woolworths Limited operates supermarkets, specialty and discount department stores, liquor and electronics stores throughout Australia. Woolworths also manufactures processed foods, exports and wholesales food and offers petrol retailing. The Company also operates hotels which includes pubs, food, accommodation, and gaming operations.
Works Finance (NZ) Limited @ 6.15% – NZD (WKSHA)	Works Finance NZ Limited	0.00%	0.00%	0.40%	<a href="https://www.nzx.com/companies/WKS">https://www.nzx.com/companies/WKS</a>	Works Finance (NZ) Limited is a New Zealand-based company, which is engaged in the business of issuing redeemable optionally adjustable distributing securities (ROADS) to predominantly New Zealand residents and advances to Downer New Zealand Limited. The Company is a subsidiary of Downer EDI Limited. Downer EDI Limited is a provider of services to customers in various markets, such as transport services; technology and communications services; utilities services; engineering, construction and maintenance; mining, and rail.
Worldwide Healthcare Trust PLC – GBP (WWH)	Worldwide Healthcare Trust	0.00%	0.00%	0.70%	<a href="http://www.worldwidewh.com/">http://www.worldwidewh.com/</a>	The Company's Investment Objective is to invest in the global healthcare sector with the objective of achieving a high level of capital growth. In order to achieve its investment objective, the Company invests worldwide in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector. It uses gearing, and derivative transactions to mitigate risk and also enhance returns.



Asset Name	Issuer Name	Individual Action Fees	Performance Fees charged by Underlying Investment	Total Annual Estimated Fund Charges as % of NAV	Link to publicly available information about Investment	Description of Investment
Xero Limited – AUD (XRO)	Xero Limited	0.00%	0.00%	0.40%	<a href="https://www.xero.com/nz/?gclid=CPrzheievdACFYaVvAodBvcPxA&amp;gclsrc=aw.ds&amp;dclid=CMm6quievdACFQQolgodMZoFyg">https://www.xero.com/nz/?gclid=CPrzheievdACFYaVvAodBvcPxA&amp;gclsrc=aw.ds&amp;dclid=CMm6quievdACFQQolgodMZoFyg</a>	Founded in 2006 in New Zealand, Xero is one of the fastest growing Software as a Service companies globally. We lead the New Zealand, Australian, and United Kingdom cloud accounting markets, employing a world-class team of more than 1,400 people in 20 offices across the planet. Forbes identified Xero as the World's Most Innovative Growth Company in 2014 and 2015.
Yamana Gold Inc – USD (AUY)	Yamana Gold Inc	0.00%	0.00%	0.40%	<a href="http://www.yamana.com/English/Home/default.aspx">http://www.yamana.com/English/Home/default.aspx</a>	Yamana Gold has a diversified portfolio of assets providing sustainable gold production supported by a large resource base. We have industry-low cash costs and operations in some of the world's most stable mining jurisdictions. Every Yamana Gold share offers significant exposure and increasing leverage to gold through continued production and mineral resource growth. In 2016, we expect to deliver gold production of between 1.23 million and 1.31 million ounces of gold. Silver production is projected at between 6.9 million and 7.2 million ounces of silver and copper production is projected at between 122 million and 125 million pounds.
Z Energy Limited – NZD (ZEL)	Z Energy Limited	0.00%	0.00%	0.40%	<a href="https://z.co.nz">https://z.co.nz</a>	Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.
Z Energy Limited @ 4.01% Mat 01/11/2021 – NZD (ZEL040)	Z Energy Limited	0.00%	0.00%	0.40%	<a href="http://z.co.nz/investor-centre/home/bonds/">http://z.co.nz/investor-centre/home/bonds/</a>	Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.
Z Energy Limited Sr Sec Fixed Rate Debt @6.50% Mat 15/11/2019 – (ZEL030)	Z Energy Limited	0.00%	0.00%	0.40%	<a href="http://z.co.nz/investor-centre/home/bonds/">http://z.co.nz/investor-centre/home/bonds/</a>	Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.
Z Energy Ltd	Z Energy Limited	0.00%	0.00%	0.40%	<a href="https://z.co.nz">https://z.co.nz</a>	Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.



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